

Fundamentals of Crystallography

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Brookhaven Biology

Outline for the Lecture

- Remind you how much you already know -- lenses, crystals
- Show why crystals give diffraction spots.
- Develop the idea of “The Reciprocal Lattice”
- Give some idea how we might actually measure diffraction data
- Show how, given a crystal, we can calculate the diffraction pattern
- Conversely, show how to calculate the structure from the diffraction
- Describe the importance of symmetry to diffraction
- Outline the structure-solving methods -- heavy atoms and MADness

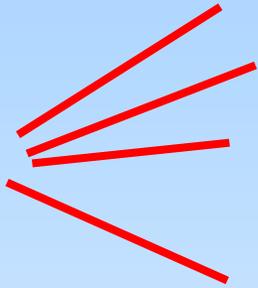
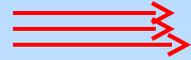
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Creation of a molecule's image from a crystal has similarities to creating an image with a lens

LIGHT

X-Rays



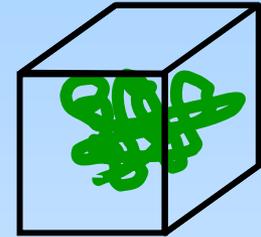
Detector



Computer

Fourier

Synthesis



Object

Lens

Image

Visible

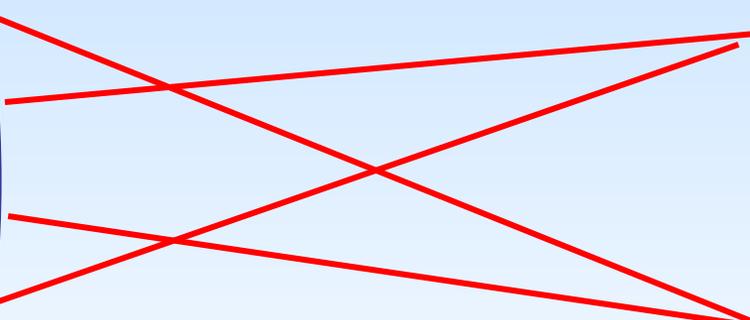
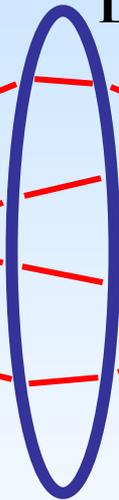
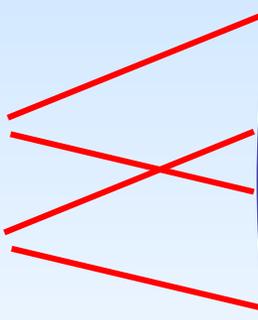
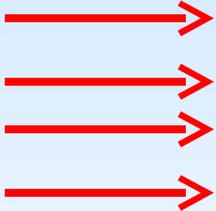
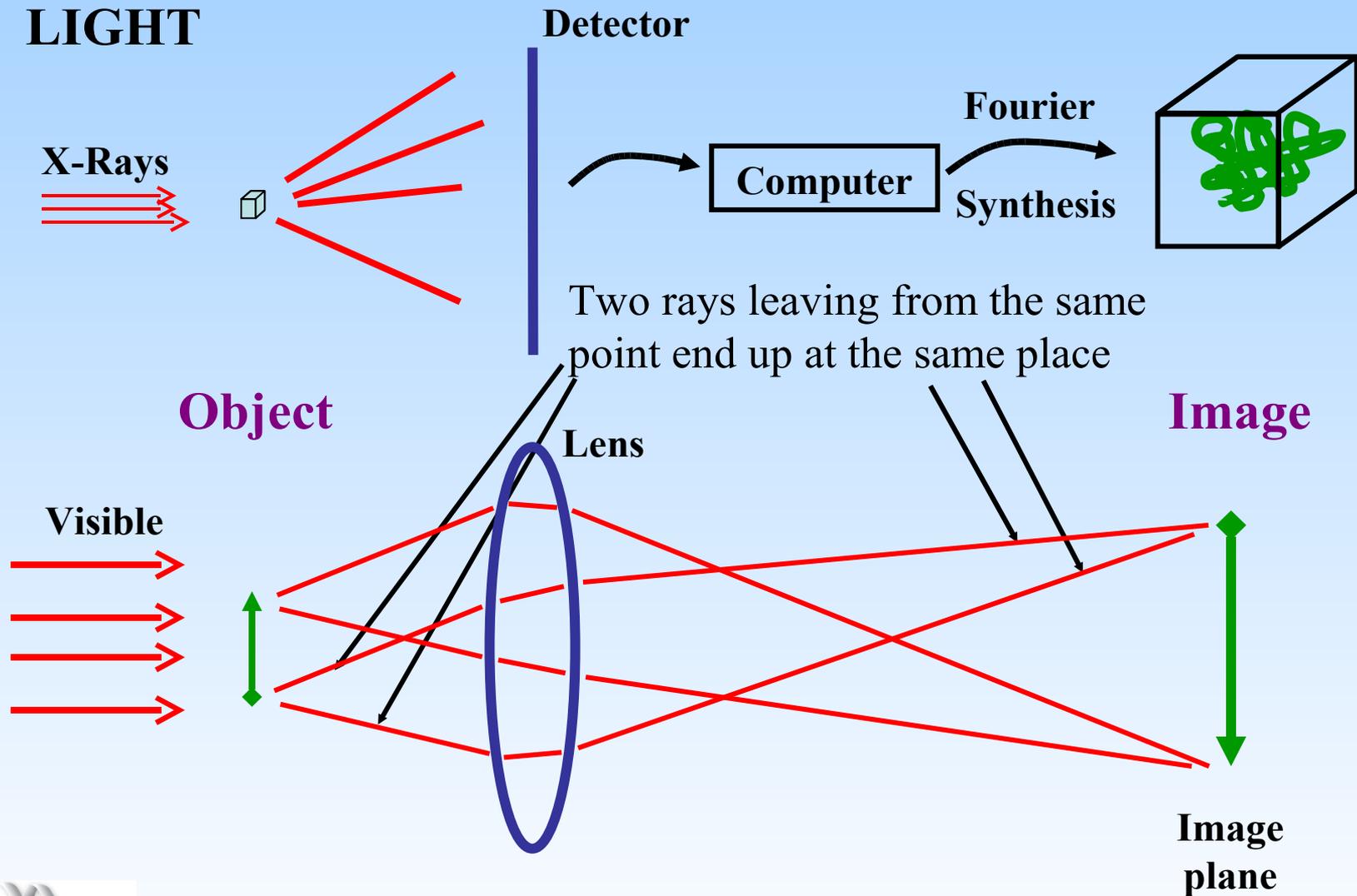


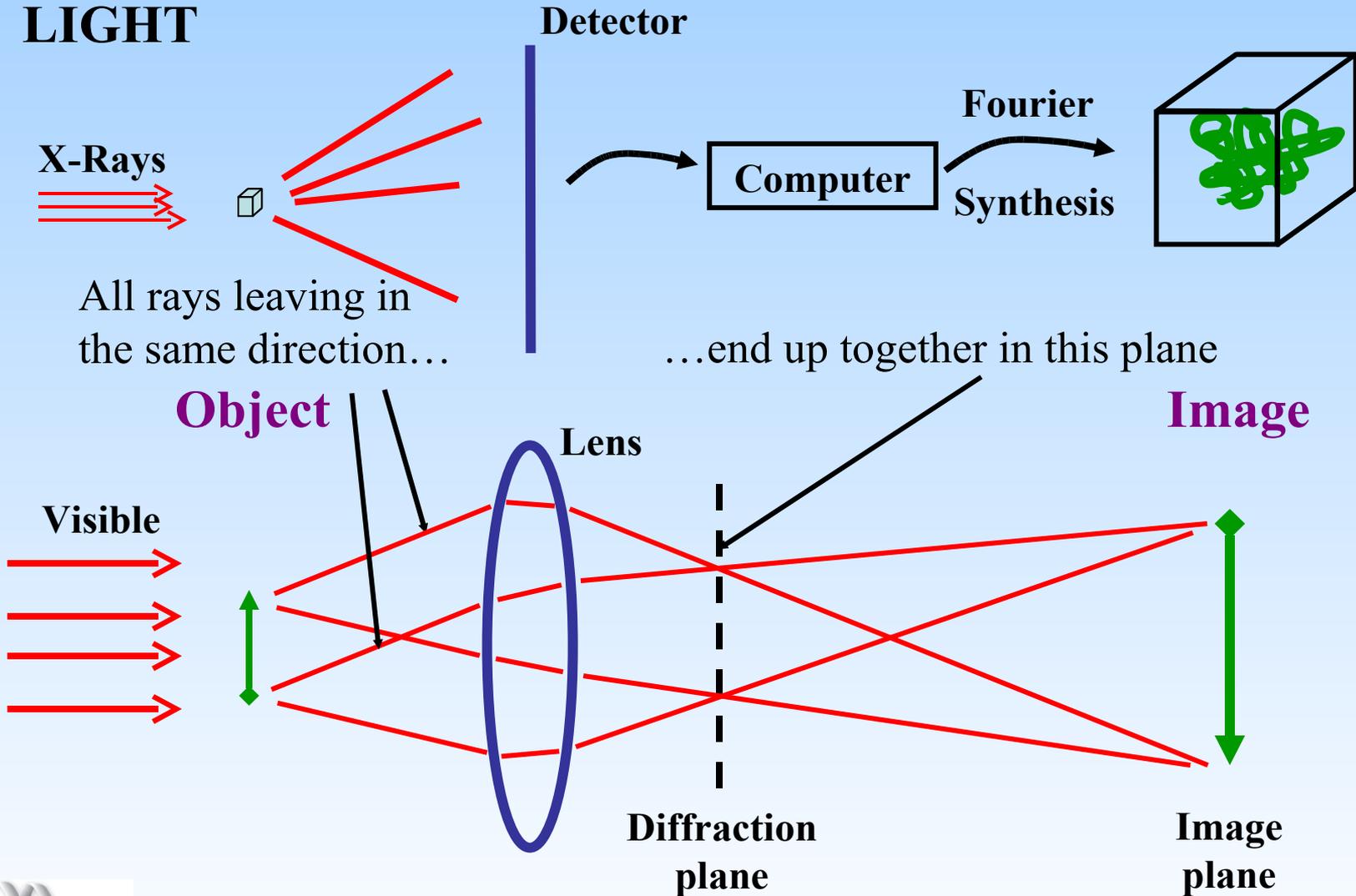
Image plane

You already understand a little about how lenses work



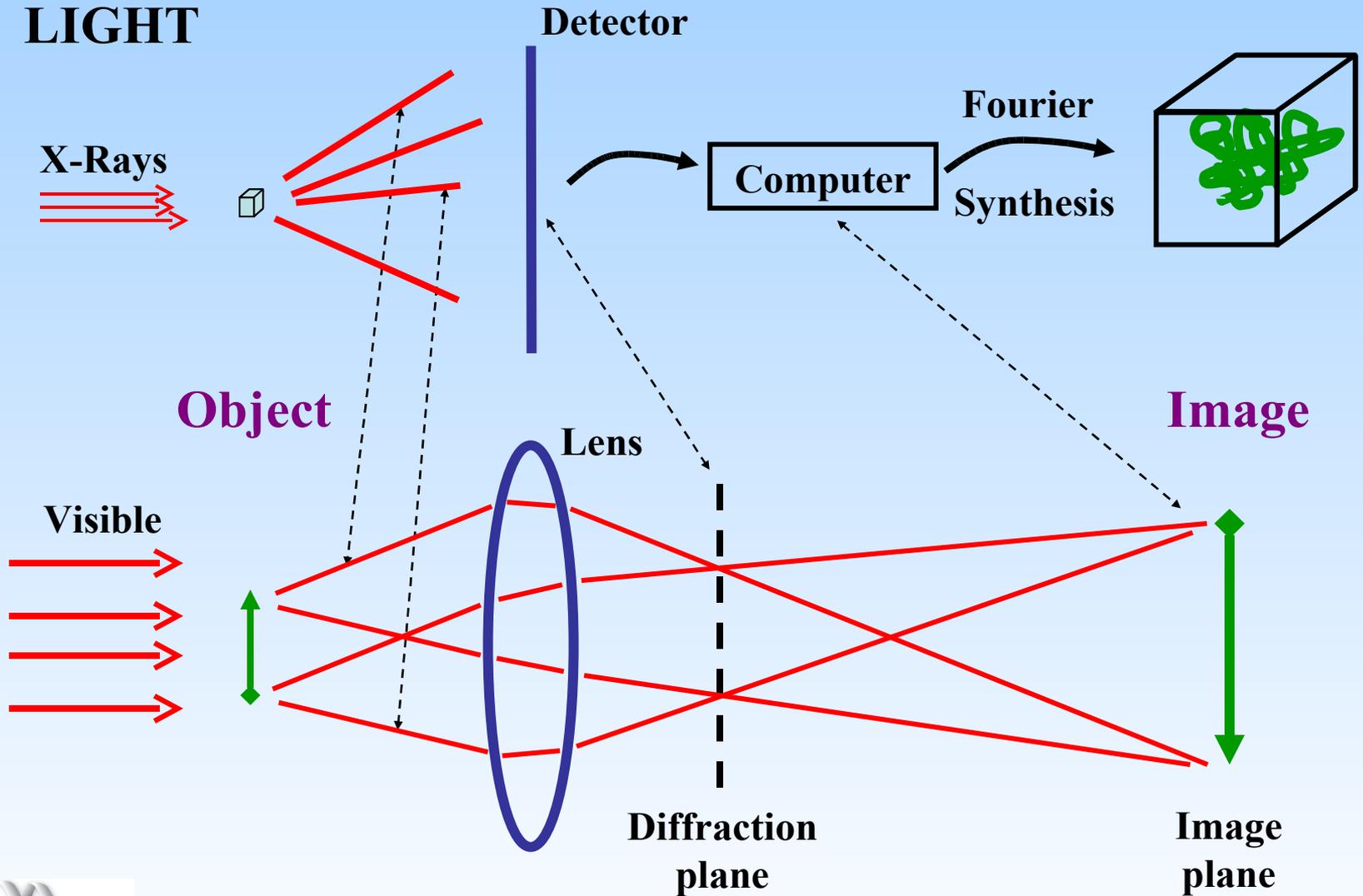
Maybe you didn't know ...

LIGHT



We use a crystal to give us diffraction, and computation to do the rest of the work of the lens.

LIGHT



**We'll see that the diffraction pattern
gives information about the
dimensions and periodicity of this
one view of the object.**

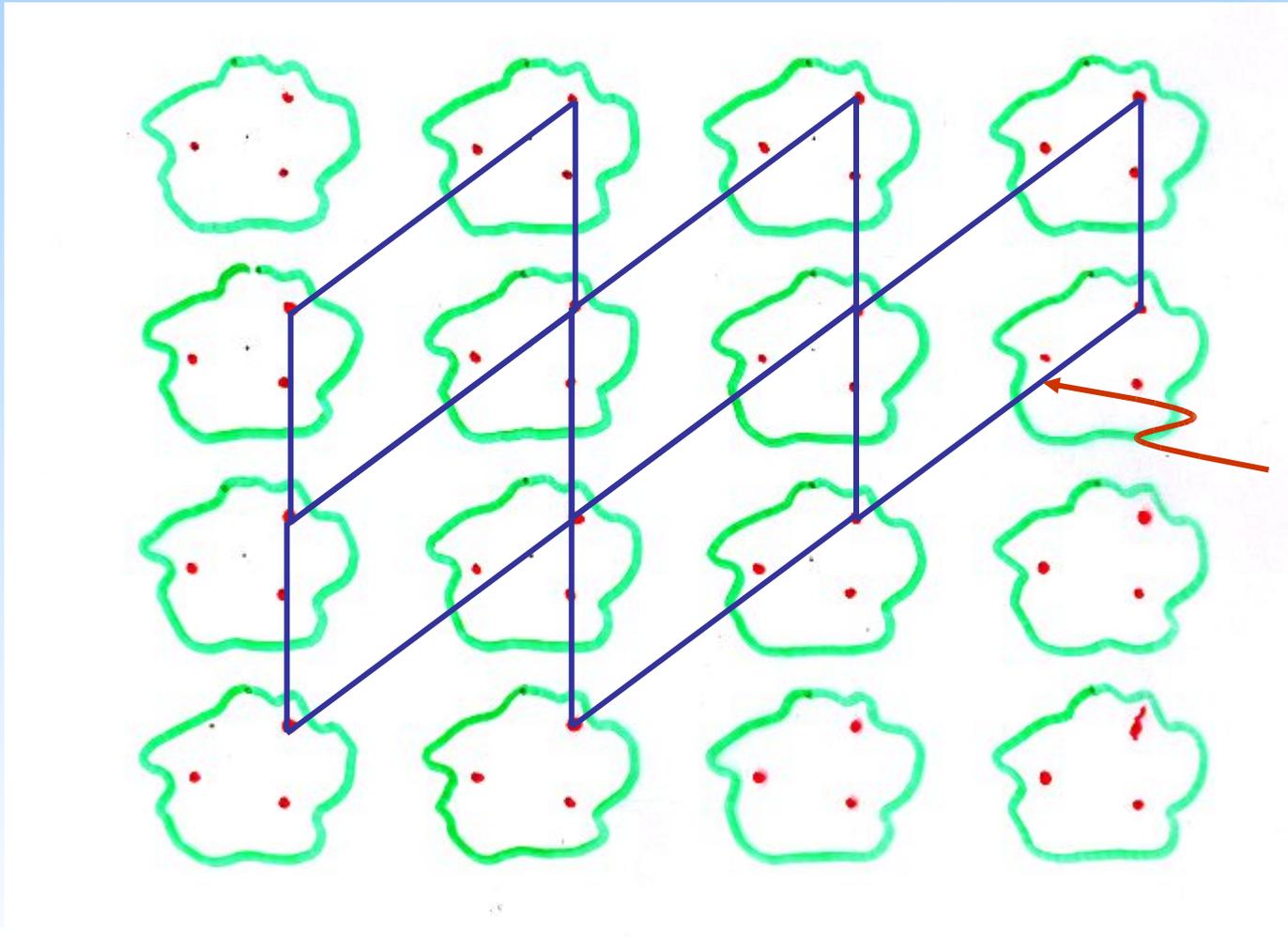
Why do we use **x-rays**?

- The features we're trying to see are on the order of the **distance between atoms: 10^{-10}** meters.
- To “see” the atoms, we need to use light with a **wavelength** that is near to **this distance**.
- X-Rays (x-ray light) have a **suitable wavelength**.

What is a **crystal**?

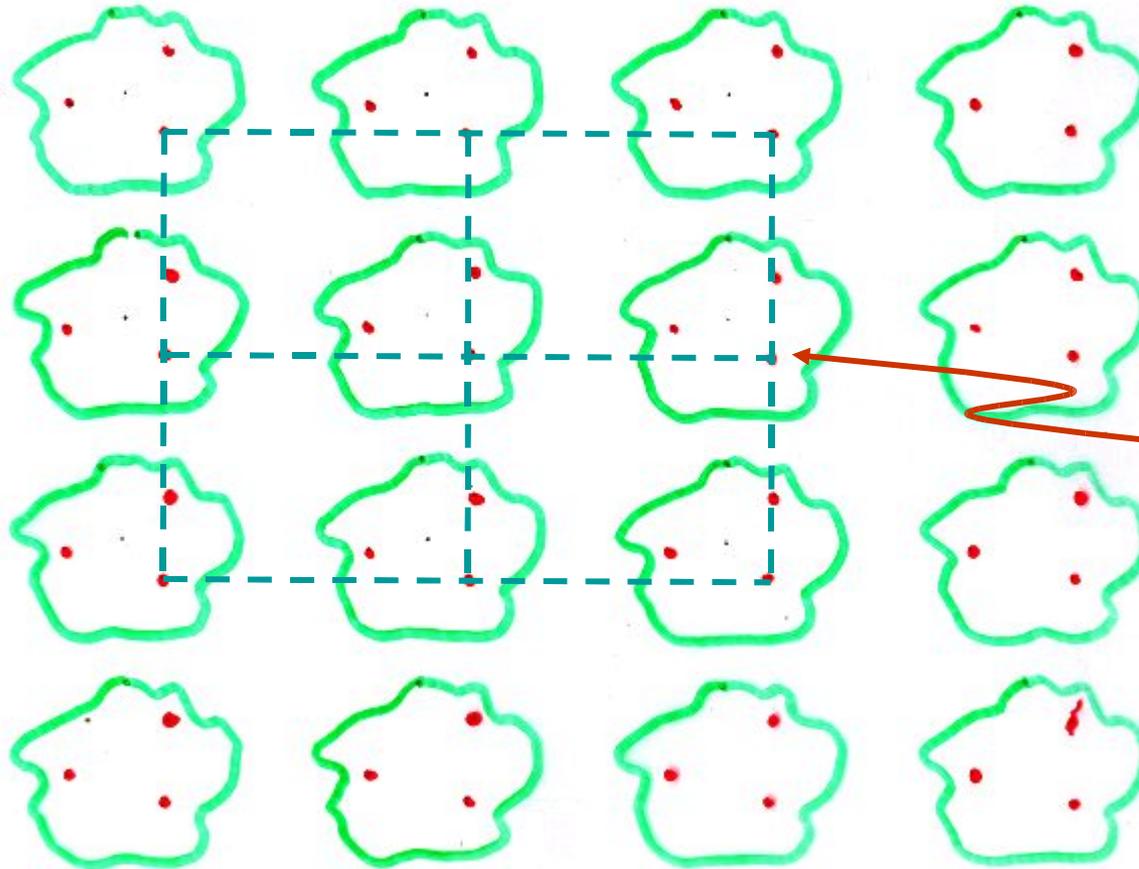
- A crystal is a **periodic arrangement** of objects (molecules) repeating in two or three dimensions.
- The **repeating unit** is a parallelepiped (**in 3-D**) or a parallelogram (**in 2-D**).
- A crystal of a typical protein will be half a mm on a side and contain **10^{15} molecules**.

Here's one choice of repeating unit in this crystal made of apple trees



Parallelograms defining crystal repeat.

We could make a different choice of repeating unit



Other
parallelograms
defining crystal
repeat.

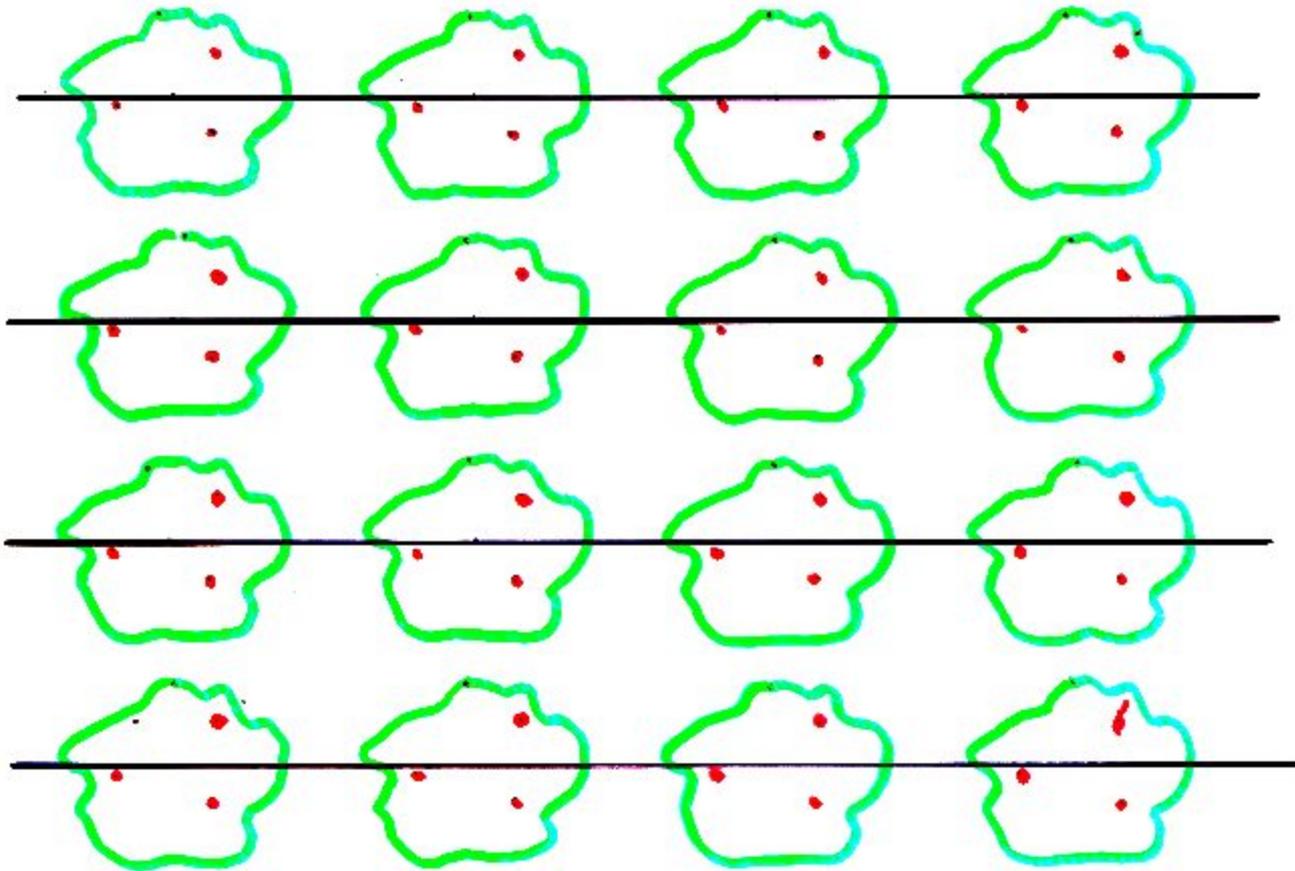
Why do we use crystals when we'd like to see one molecule?

- We can't **focus** enough x-rays into a small enough volume to “see” a molecule. We use lots of molecules in a **crystal** to get a bigger target.
- Even if we could focus them, the x-rays would **burn up** the molecule.
- Even if that would work, we **don't have a lens** for the x-rays.
- The crystal **amplifies the signal**, and gives us a way to get the **phase information** back.

Let's return to our crystal made of apple trees, and define "planes" in that crystal.

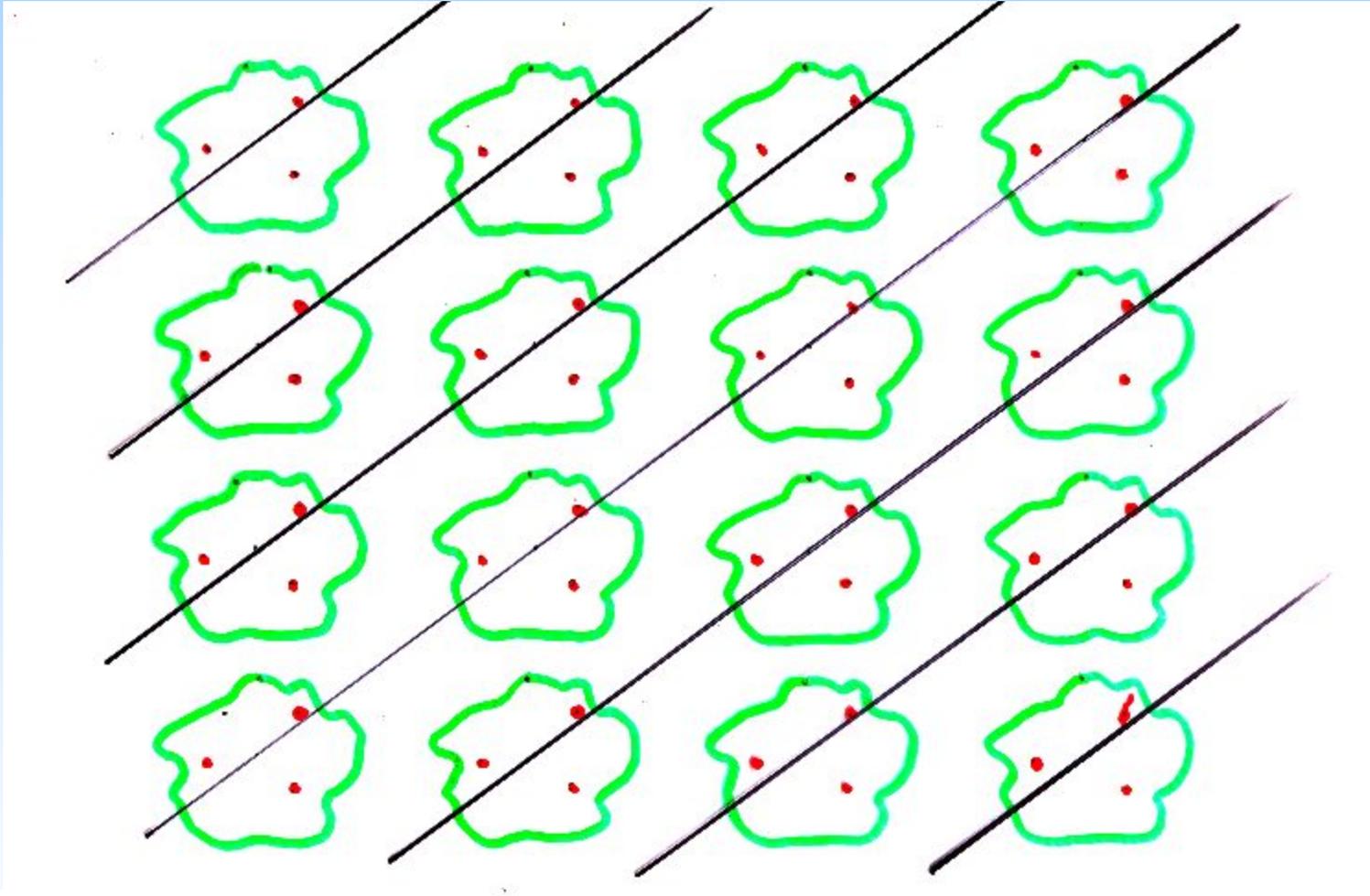


We can slice the crystal at **lattice points**:
all planes pass through the same apple

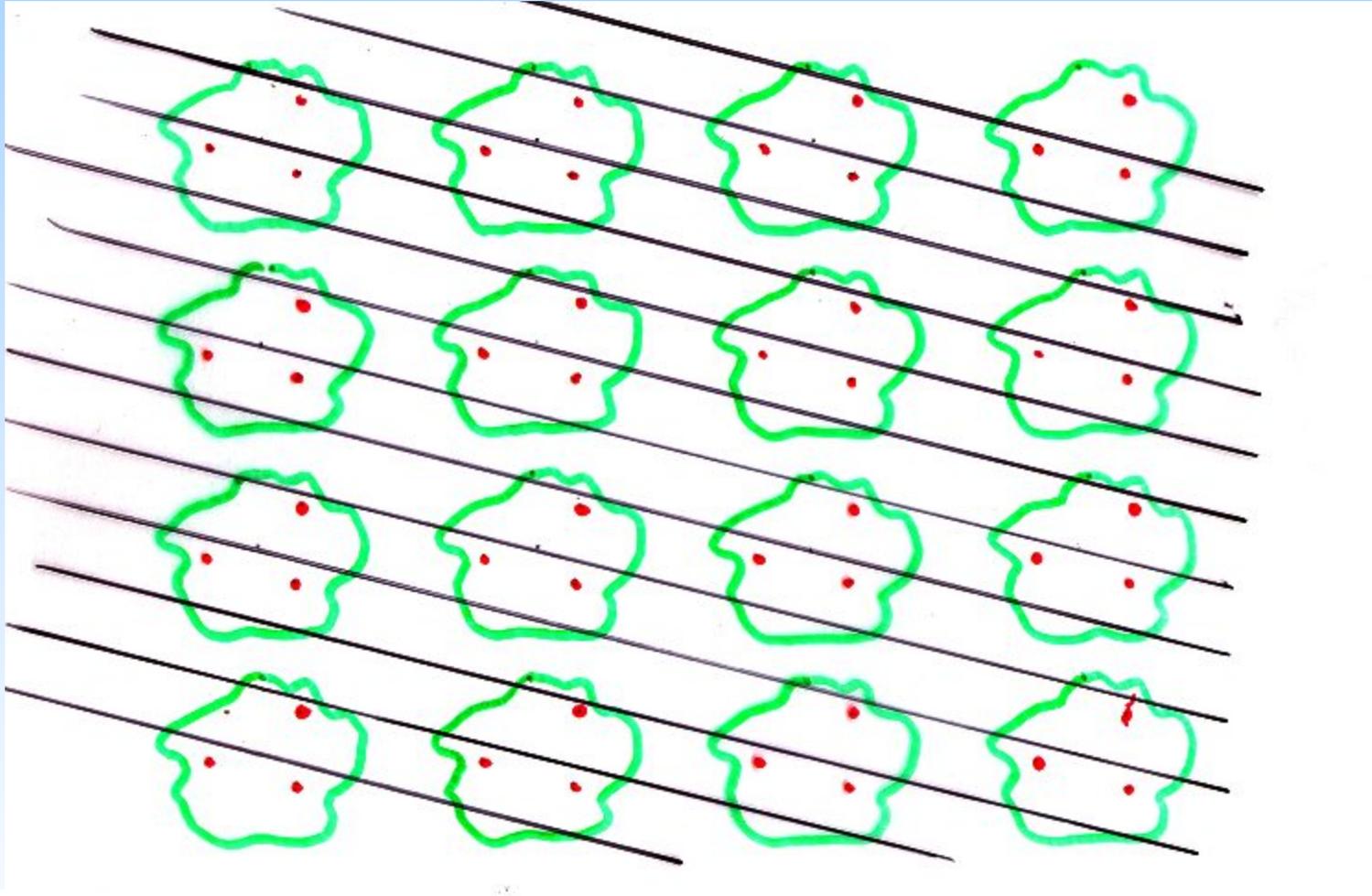


And at other angles. Notice:

- planes all pass by the same apple;
- the “stuff” between pairs of planes is always the same.



And one more time...

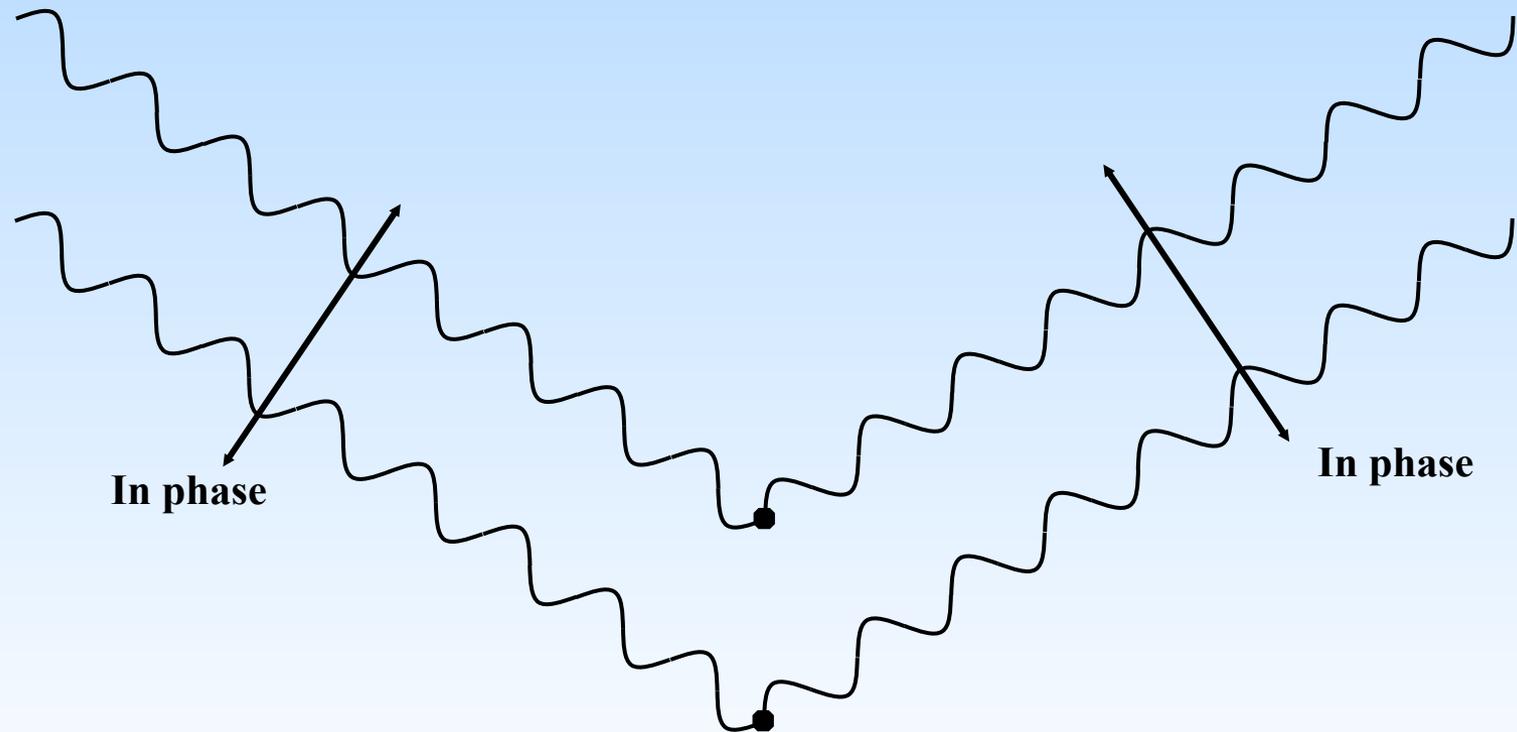


Outline for the Lecture

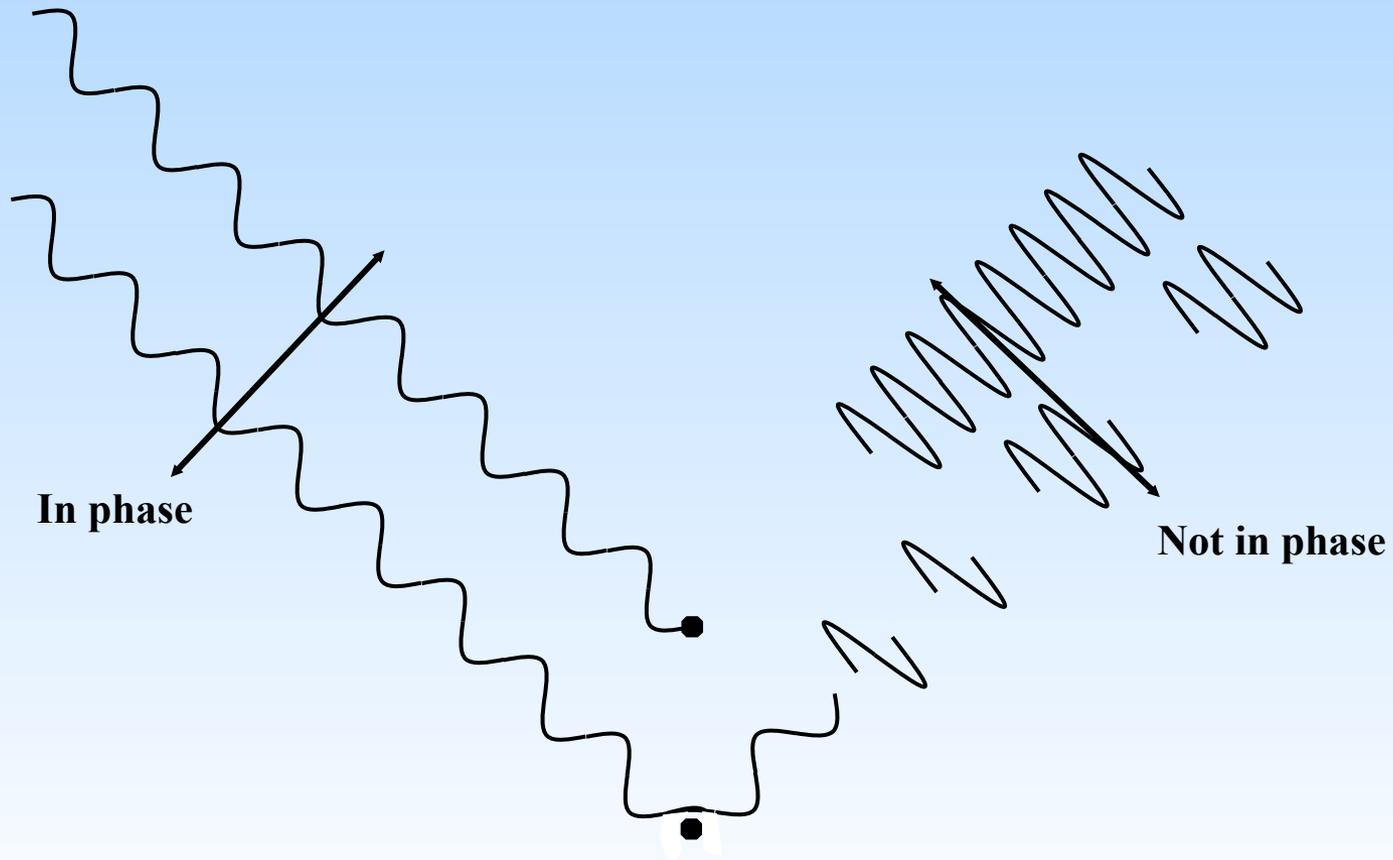
- Remind you how much you already know -- lenses, crystals
- **Show why crystals give diffraction spots.**
- Develop the idea of “The Reciprocal Lattice”
- Give some idea how we might actually measure diffraction data
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Diffraction

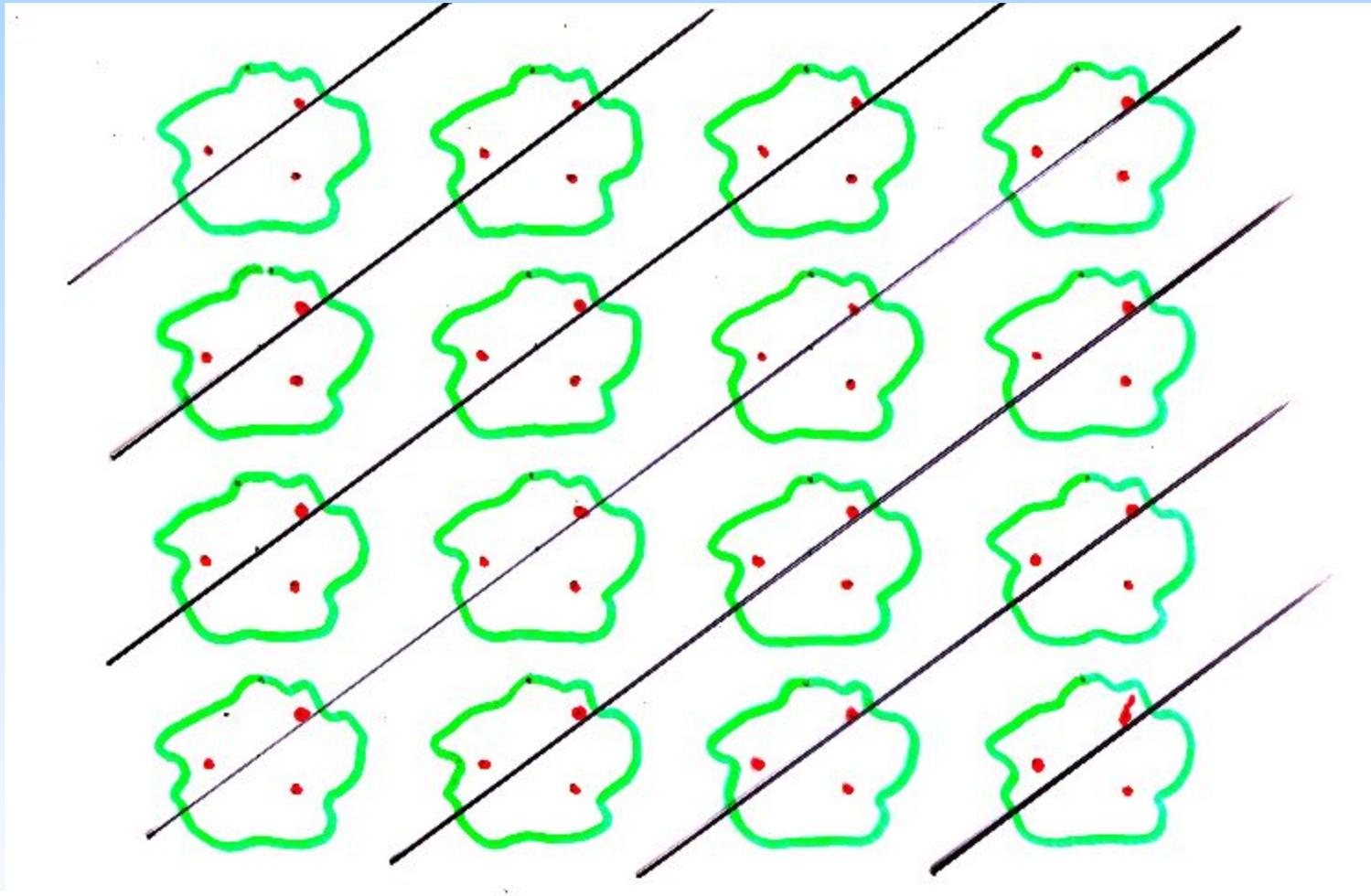
Let's do a thought experiment. Send a beam of x-rays at a pair of single atoms, suspended in space. If the angle is just right for the wavelength and distance between the atoms, the scattered x-rays will be in phase, and they will interfere constructively.

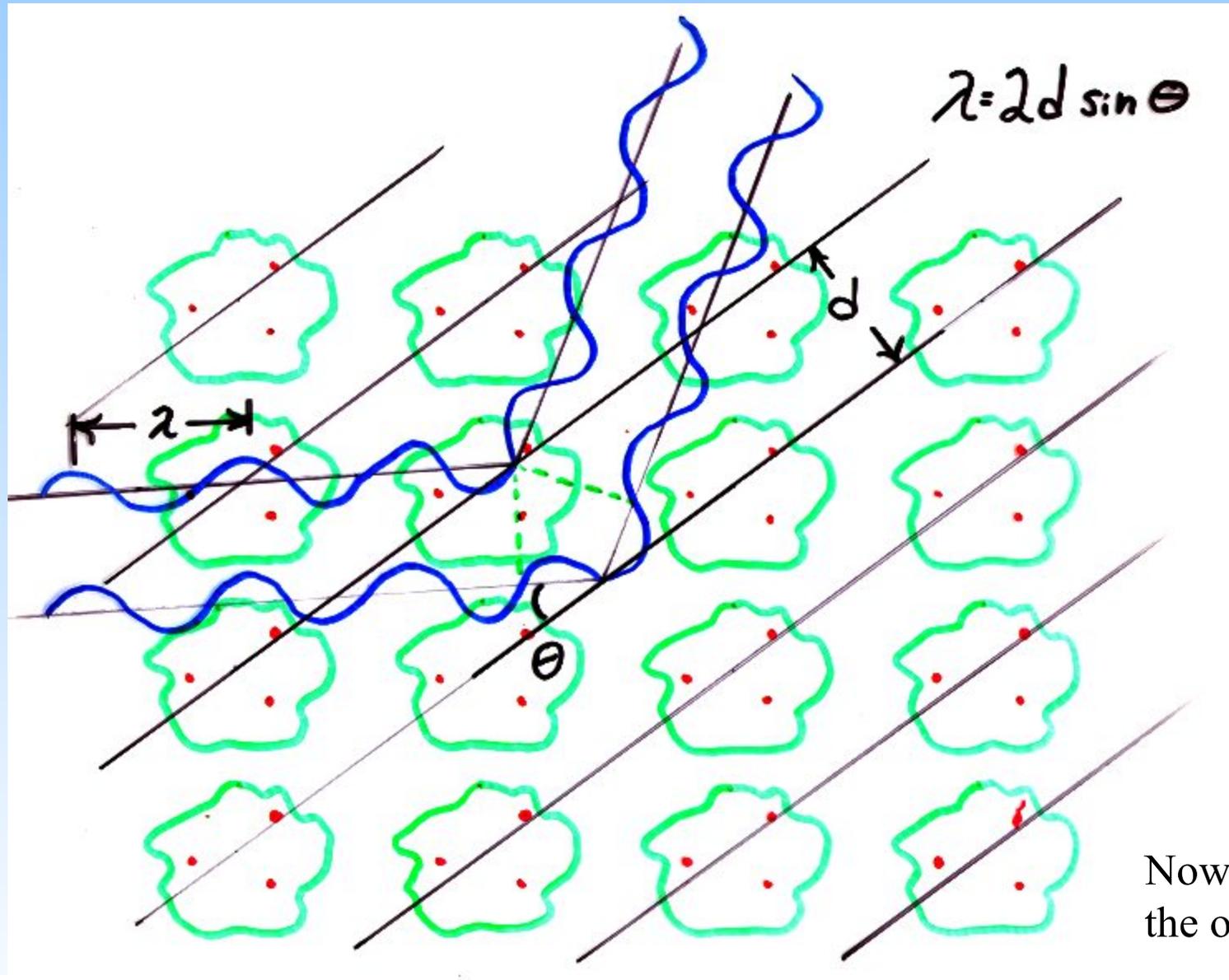


**On the other hand, if things are not right,
they won't be in phase, and there will be no
constructive interference, no diffraction.**



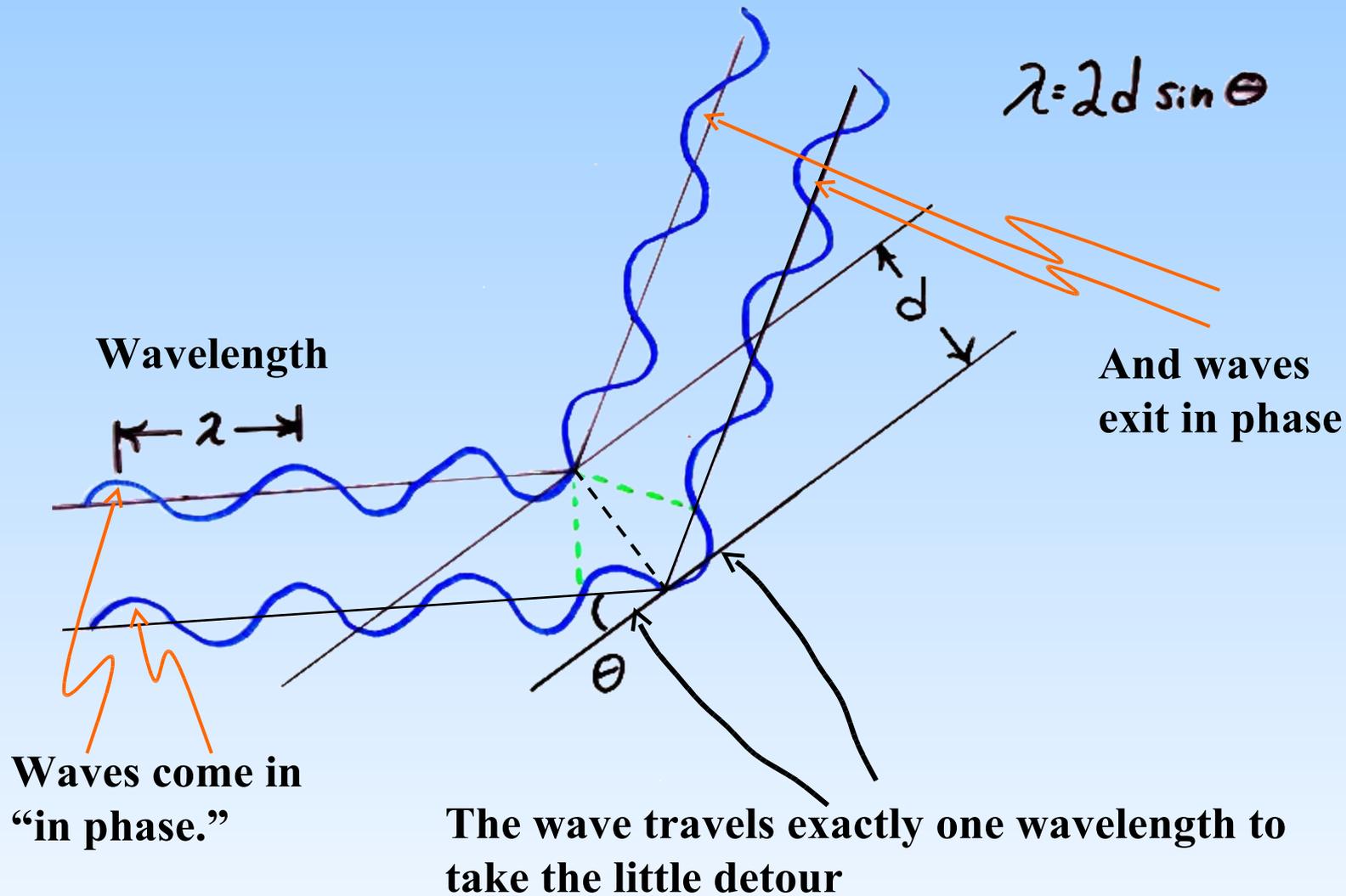
Now, let's think of the stuff between the lattice planes as being like those two atoms, and try to write a law that will show conditions to get diffraction.



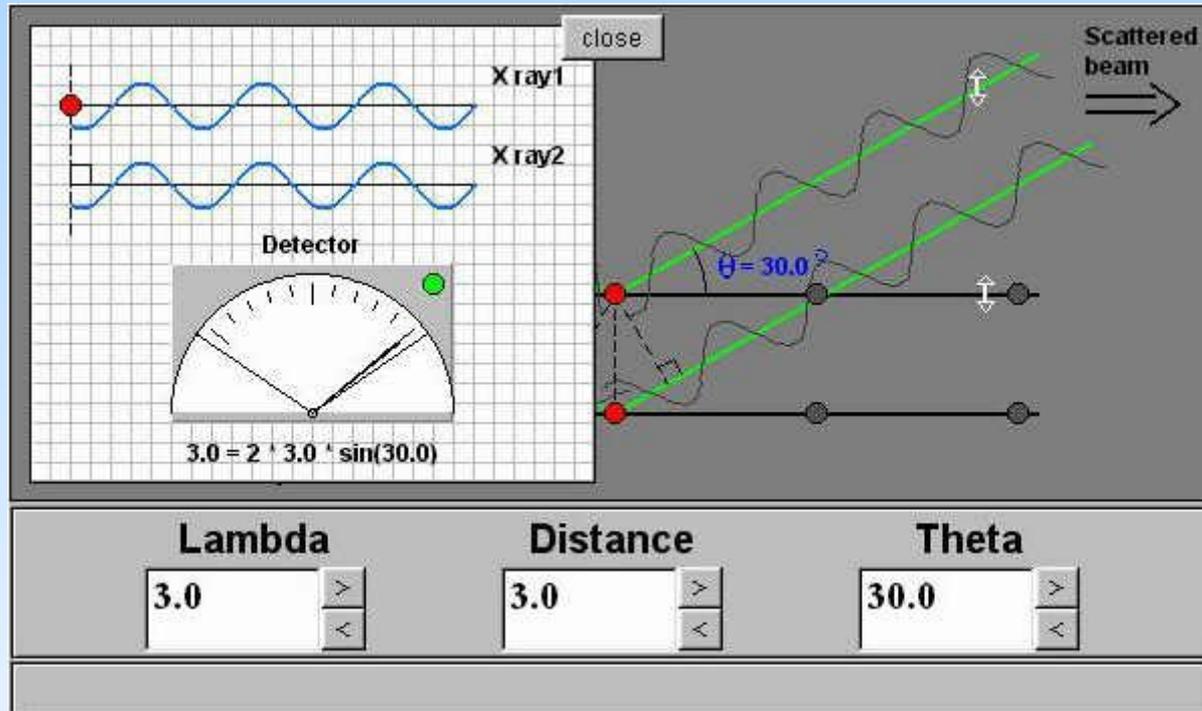


Now get rid of the orchard...

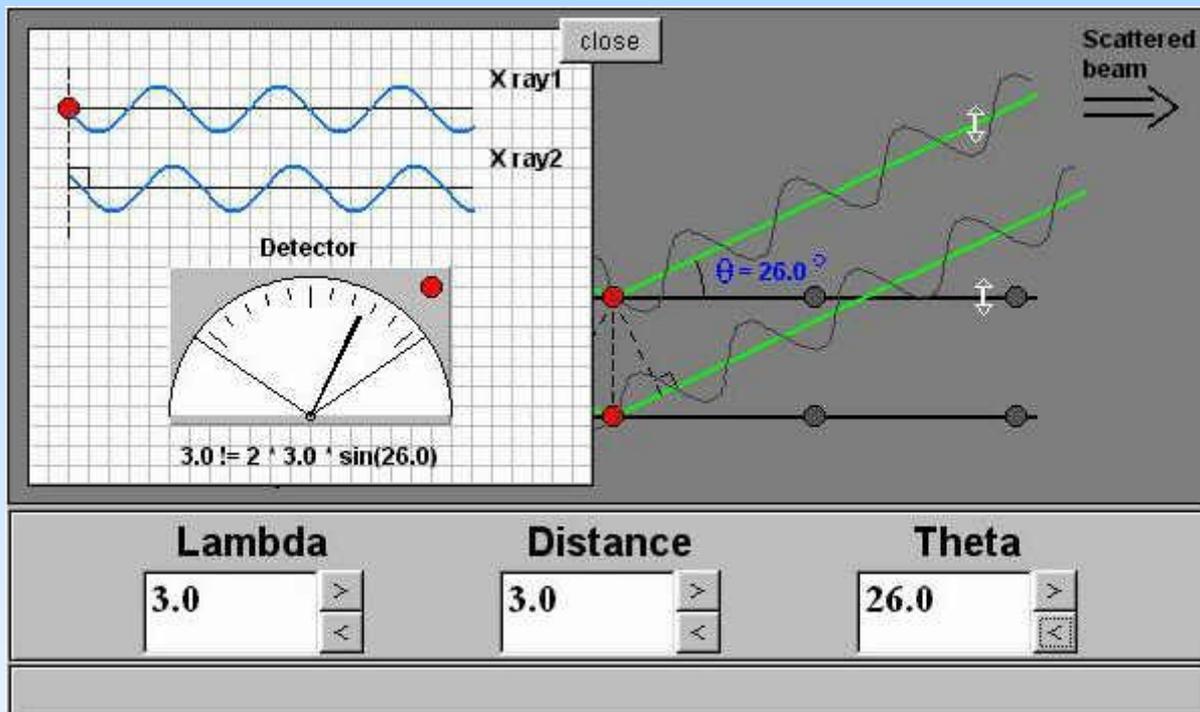
Bragg's Law describes diffraction as reflection from planes

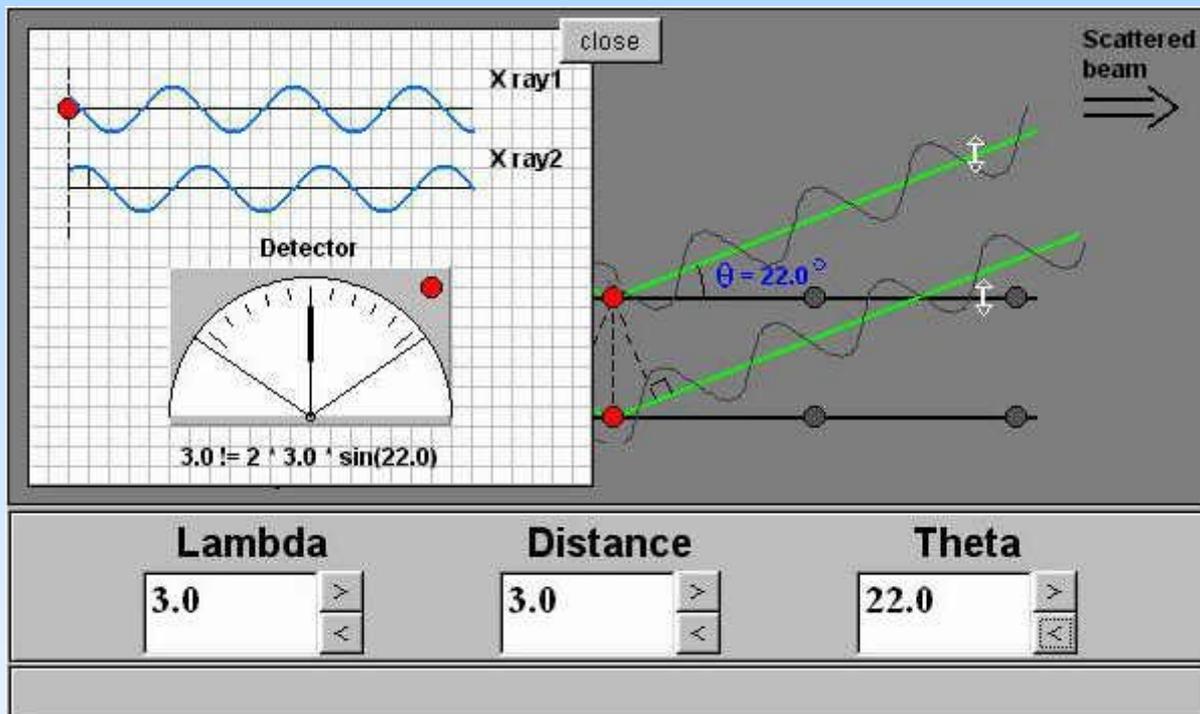


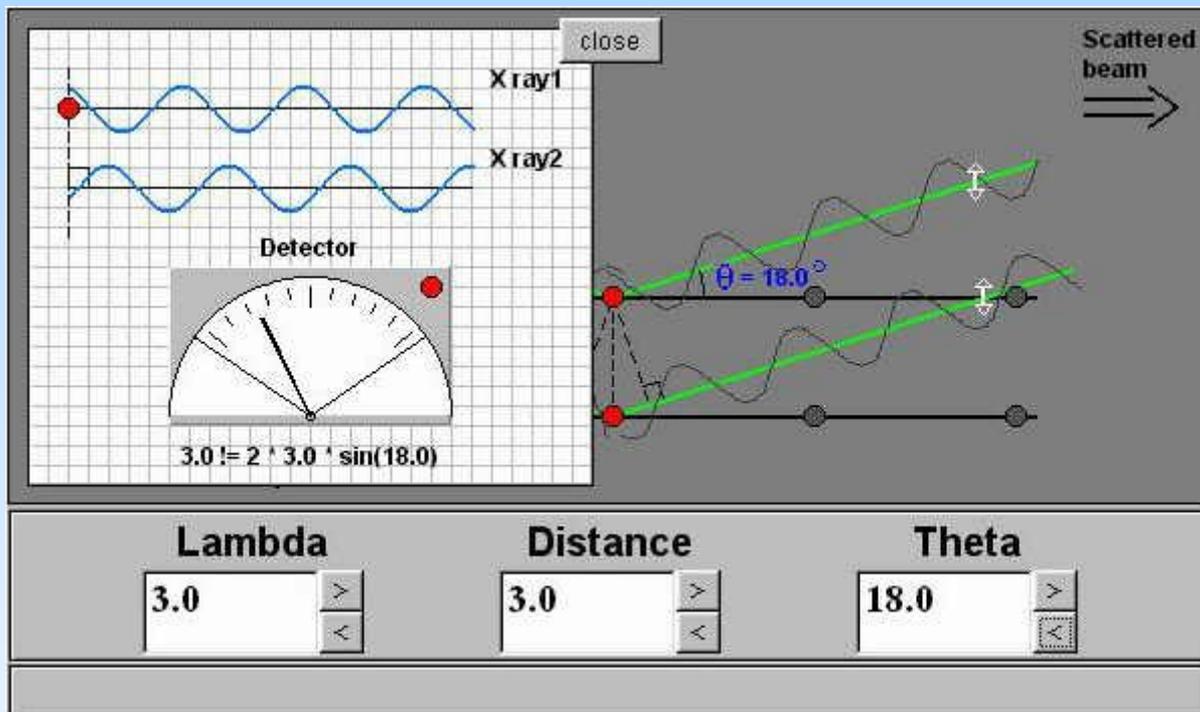
Watch what happens as we go from maximum to minimum diffracting position and back.

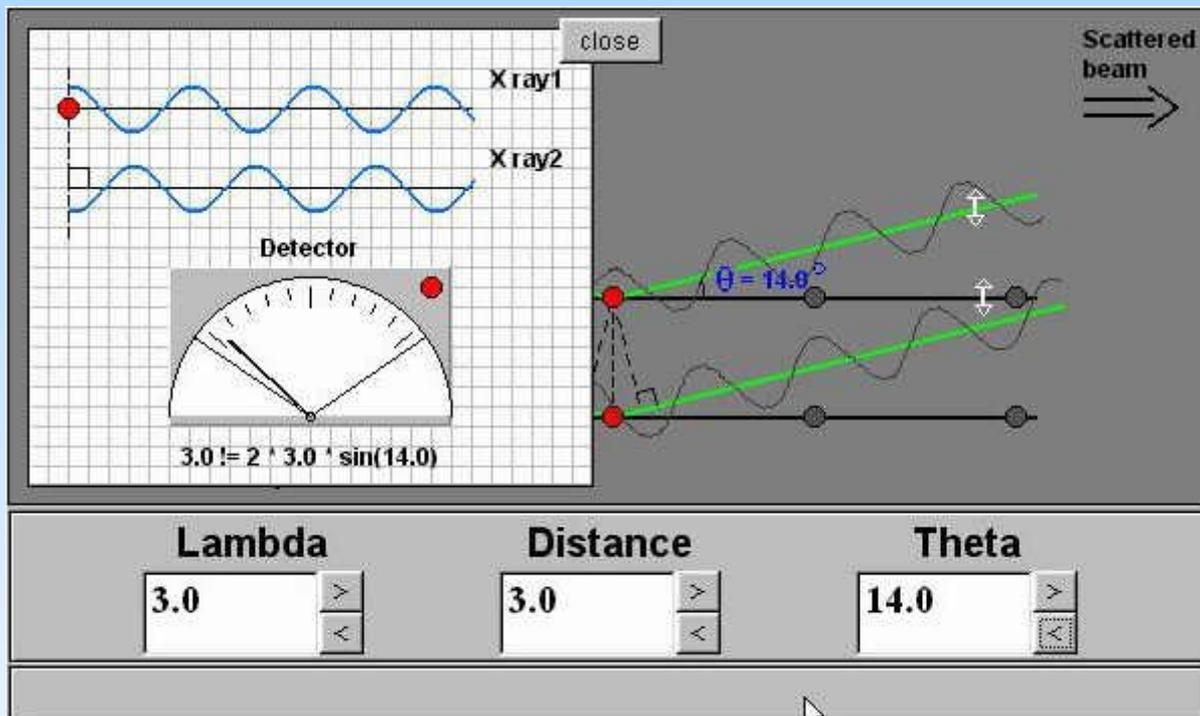


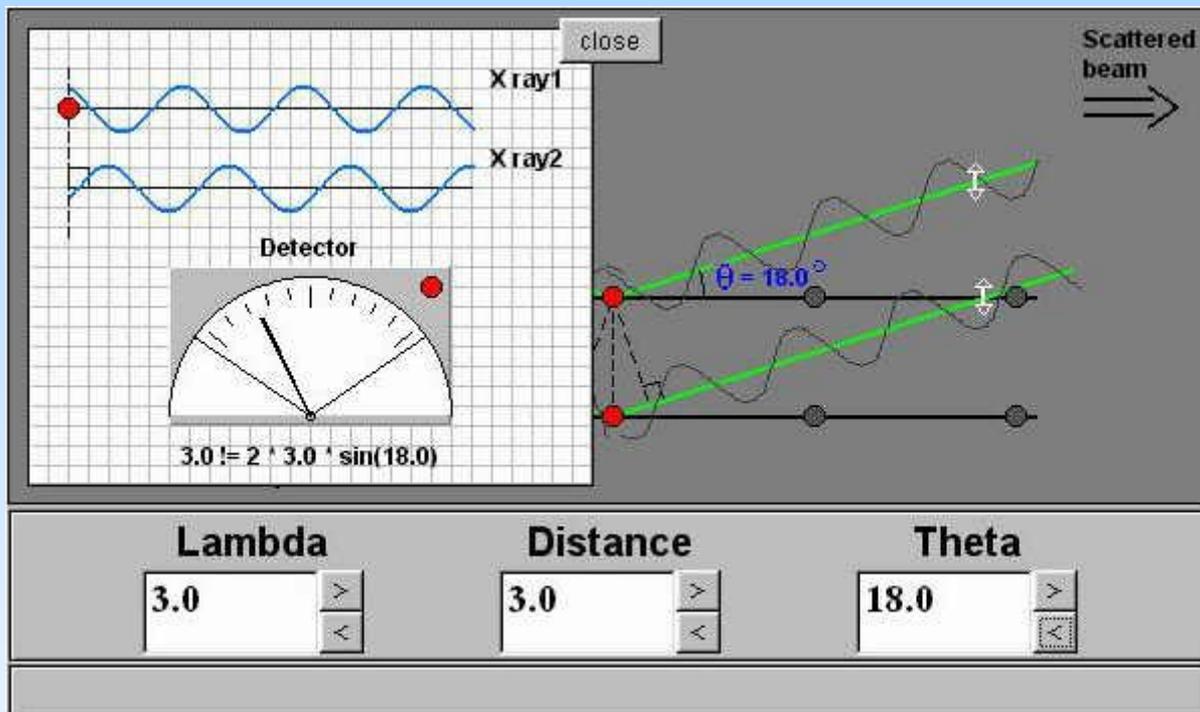
See: www.journeysunysbedu/ProjectJava/Bragg/home.html

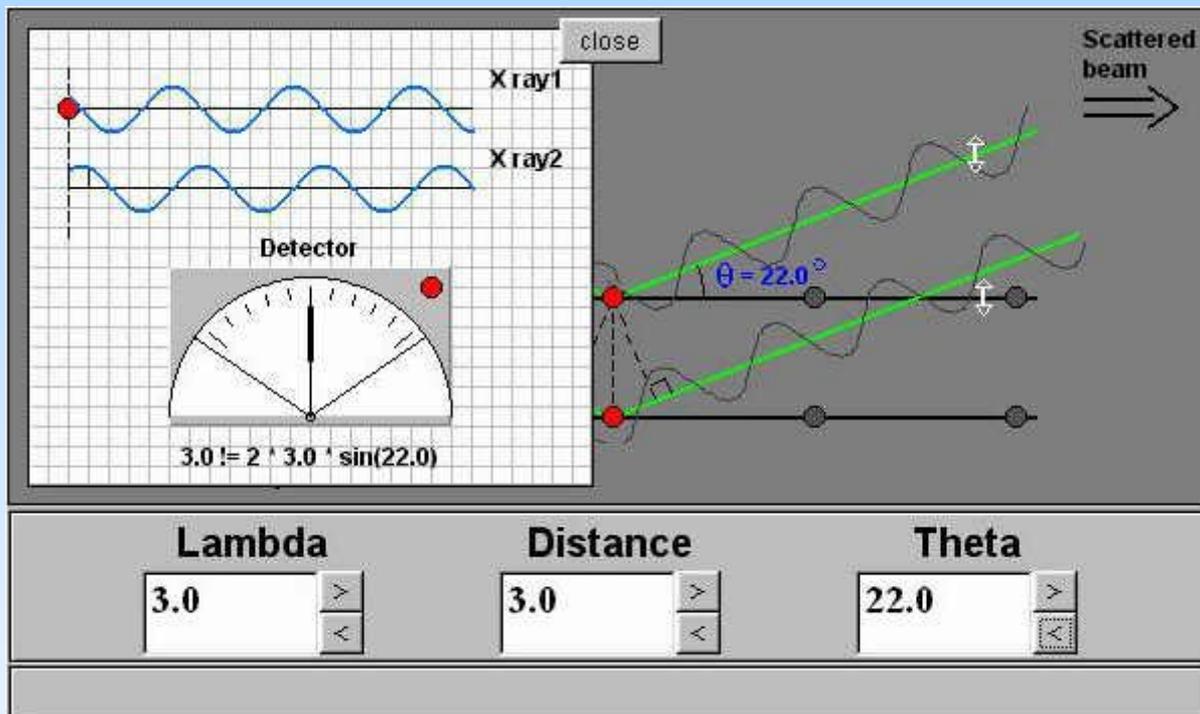


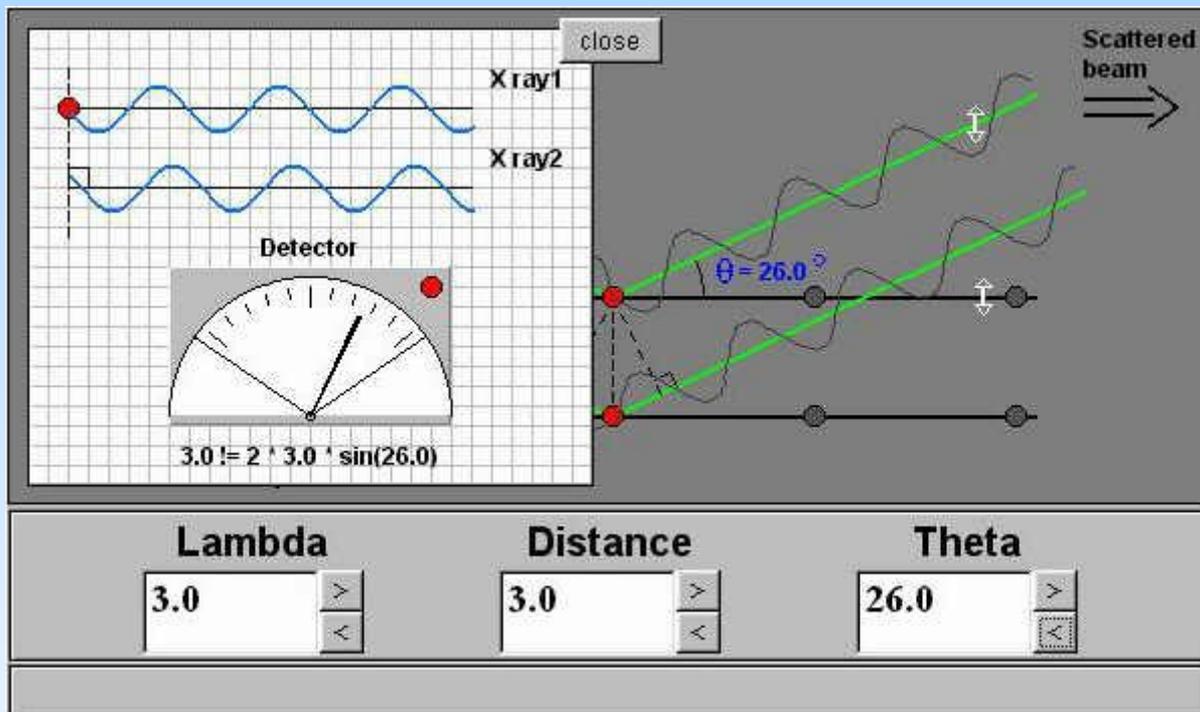


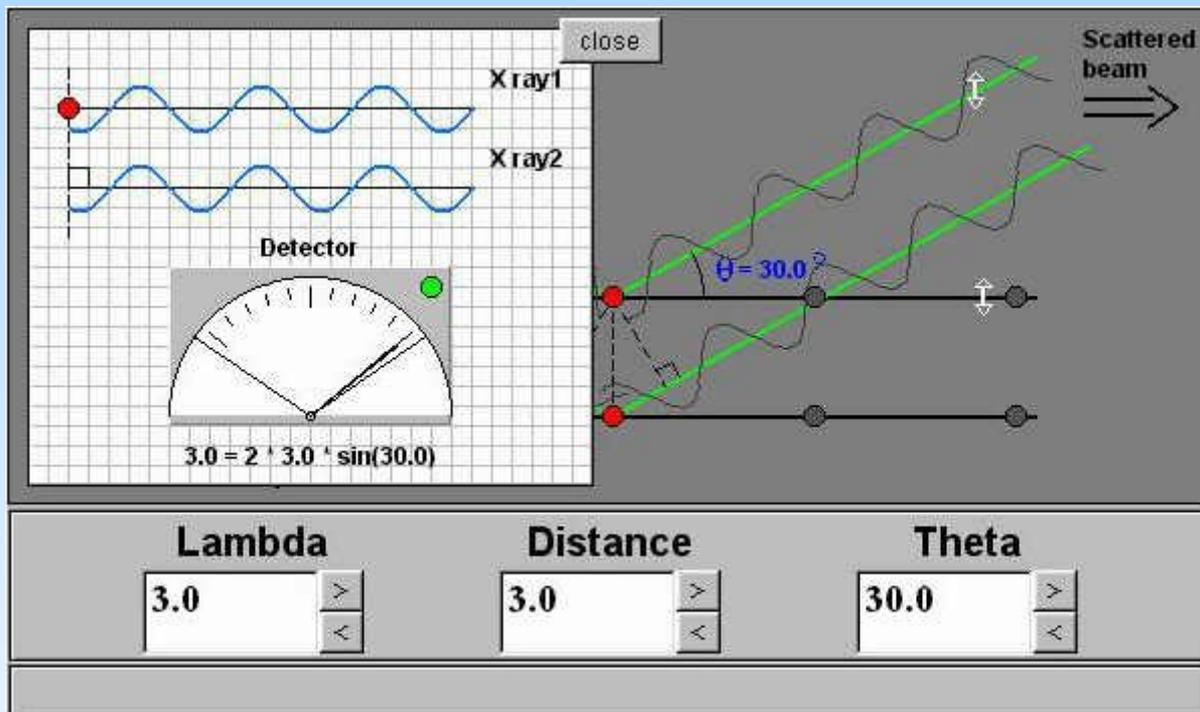




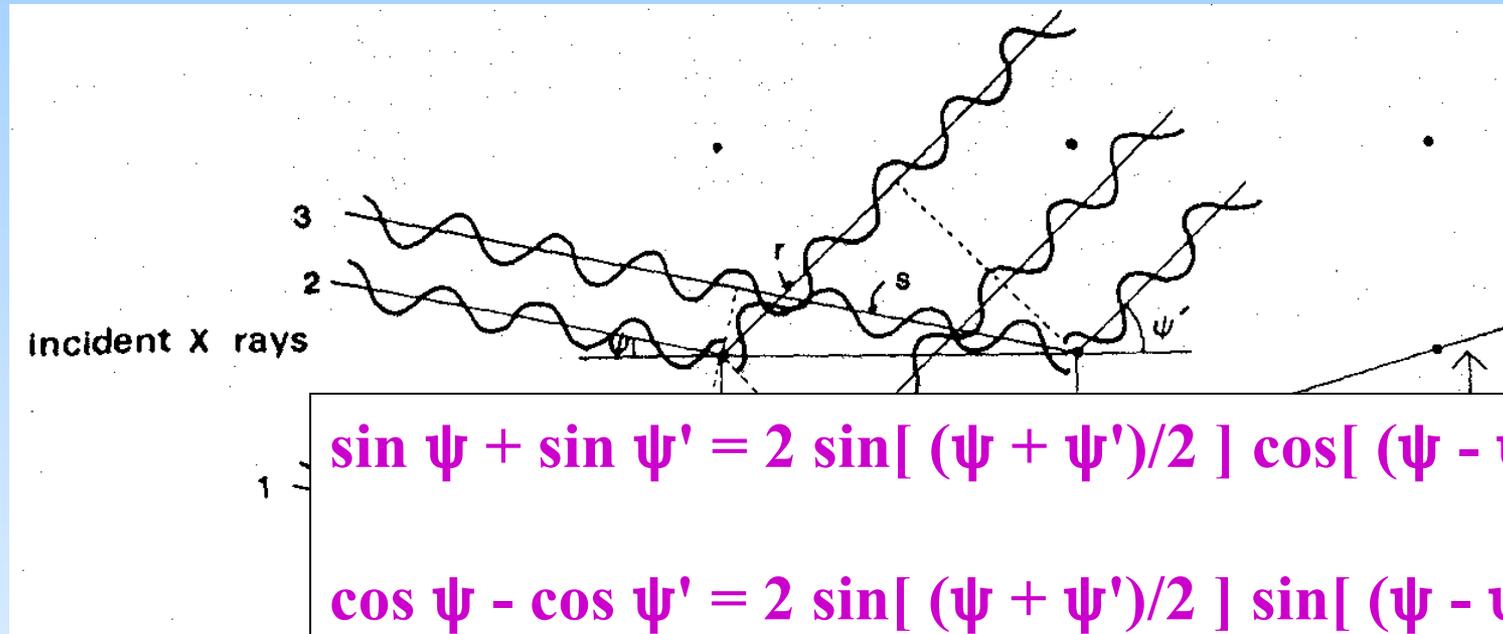








Now let's use a similar diagram to learn something new about diffraction from a crystal



$$\sin \psi + \sin \psi' = 2 \sin \left[\frac{\psi + \psi'}{2} \right] \cos \left[\frac{\psi - \psi'}{2} \right]$$

$$\cos \psi - \cos \psi' = 2 \sin \left[\frac{\psi + \psi'}{2} \right] \sin \left[\frac{\psi - \psi'}{2} \right]$$

Notice that some of the path length differences are an integral number of wavelengths:

If we make this substitution, to define
a reflecting plane:

$$\begin{aligned} p + q &= b \sin \psi + b \sin \psi' = n\lambda \\ s - r &= a \cos \psi - a \cos \psi' = m\lambda \end{aligned}$$

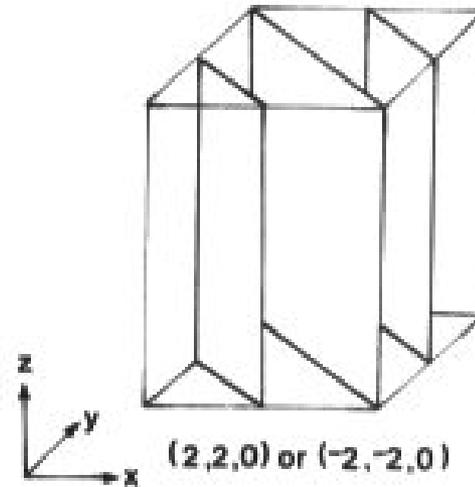
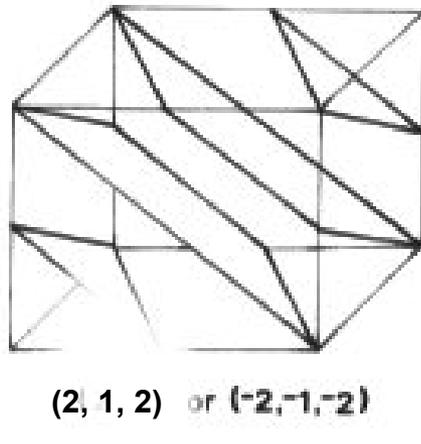
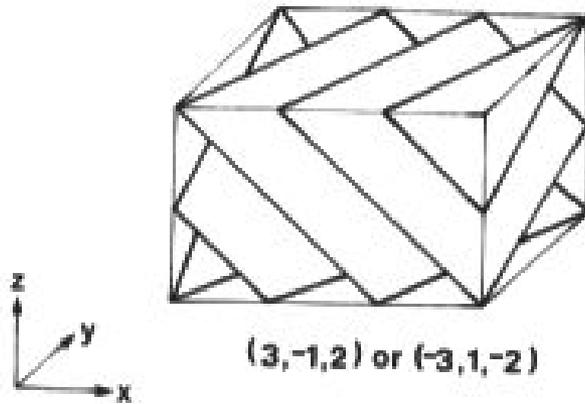
$$\psi = \theta - \alpha, \quad \psi' = \theta + \alpha$$

We discover that the reflecting plane must pass through lattice points; **it is a general lattice plane!**

Sometimes we call them **Bragg planes.**

$$\tan \alpha = mb/na$$

We have a way to “index” planes in a parallelepiped to give a unique description of them.



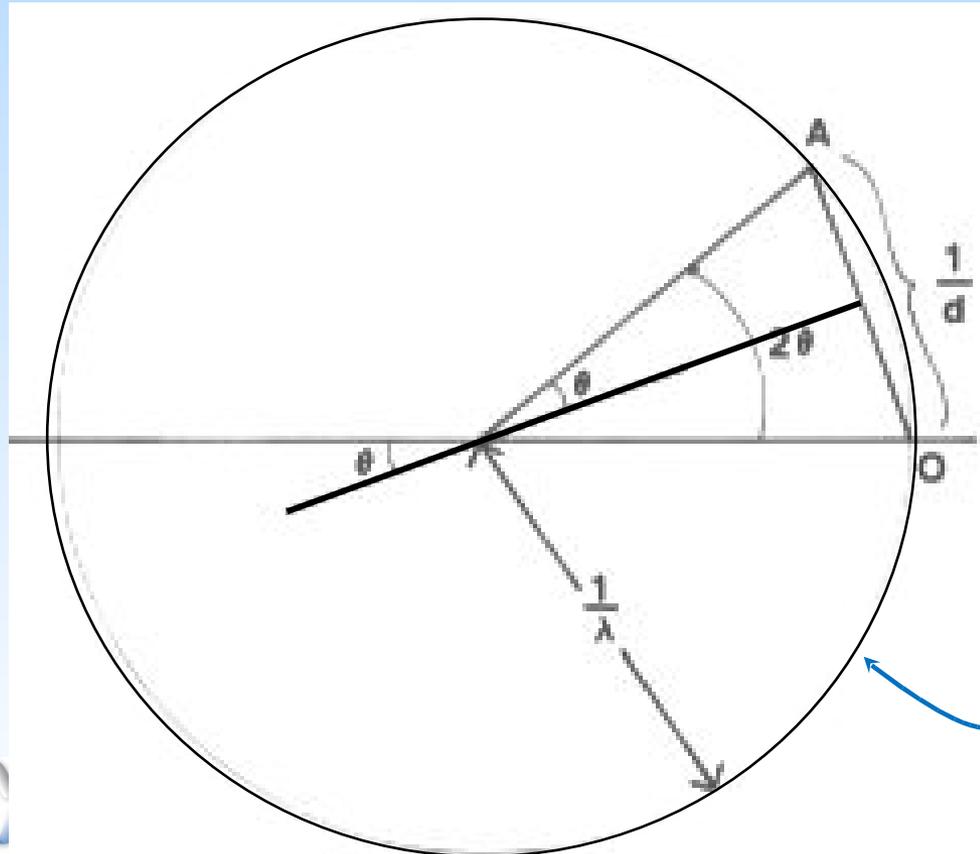
The **indices** are the number of times each plane cuts the axis of the “unit cell” of the crystal -- the smallest repeating unit that makes up the crystal.

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To relate the planes in the crystal lattice to the points in the diffraction pattern, we make Ewald's construction.

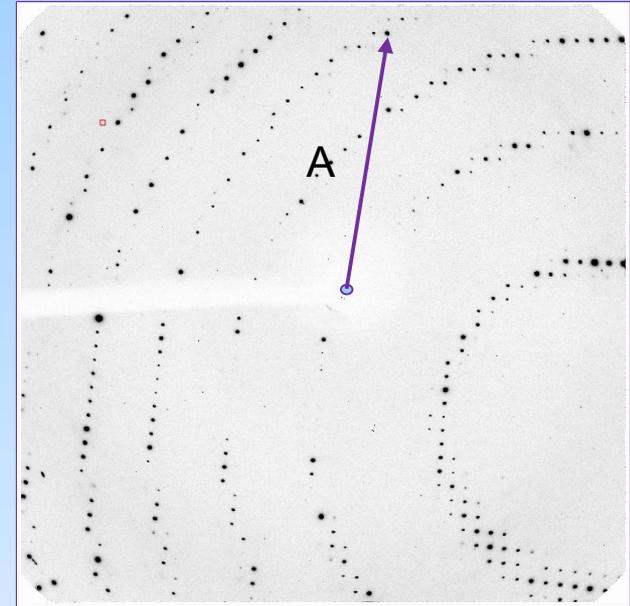
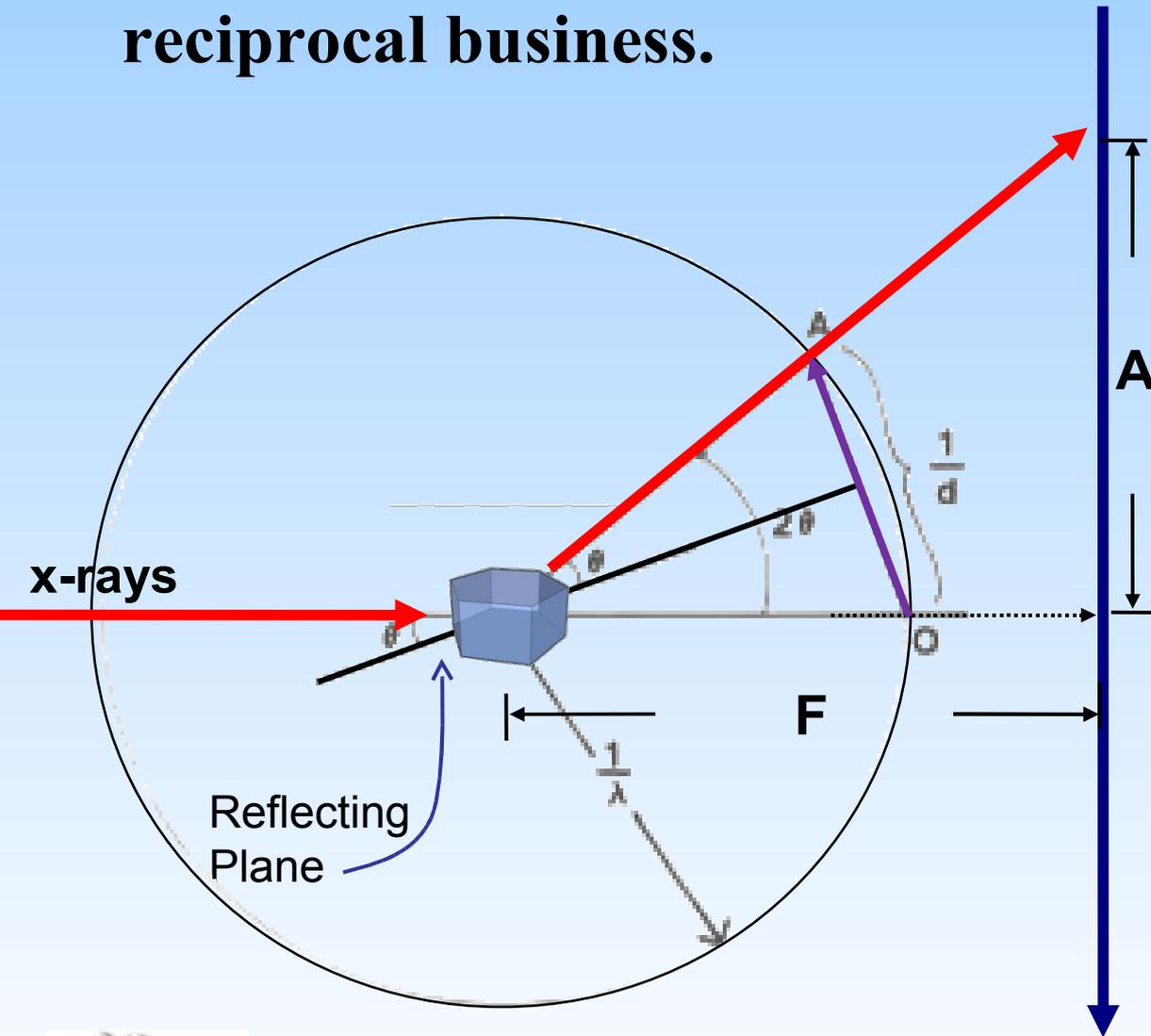
We have that $\sin \theta = (OA/2)/(1/\lambda) = \lambda \times OA/2$, or $\lambda = 2 \sin \theta/OA$. Compare this to Bragg's Law: $\lambda = 2d \sin \theta$. We take $1/OA$ as being equivalent to d . Notice the reflection plane, and that OA is perpendicular to it. **The Ewald construction exists in a space with dimensions of reciprocal distance!**



This defines Reciprocal Space! **The vector of length $1/d$ is perpendicular to the reflecting plane that lies θ from the "rays."**

The Ewald Sphere

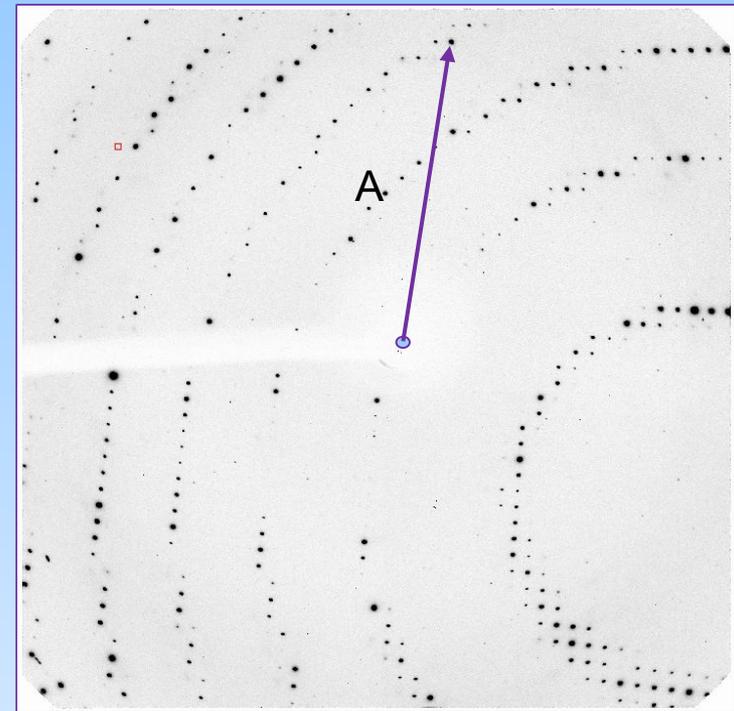
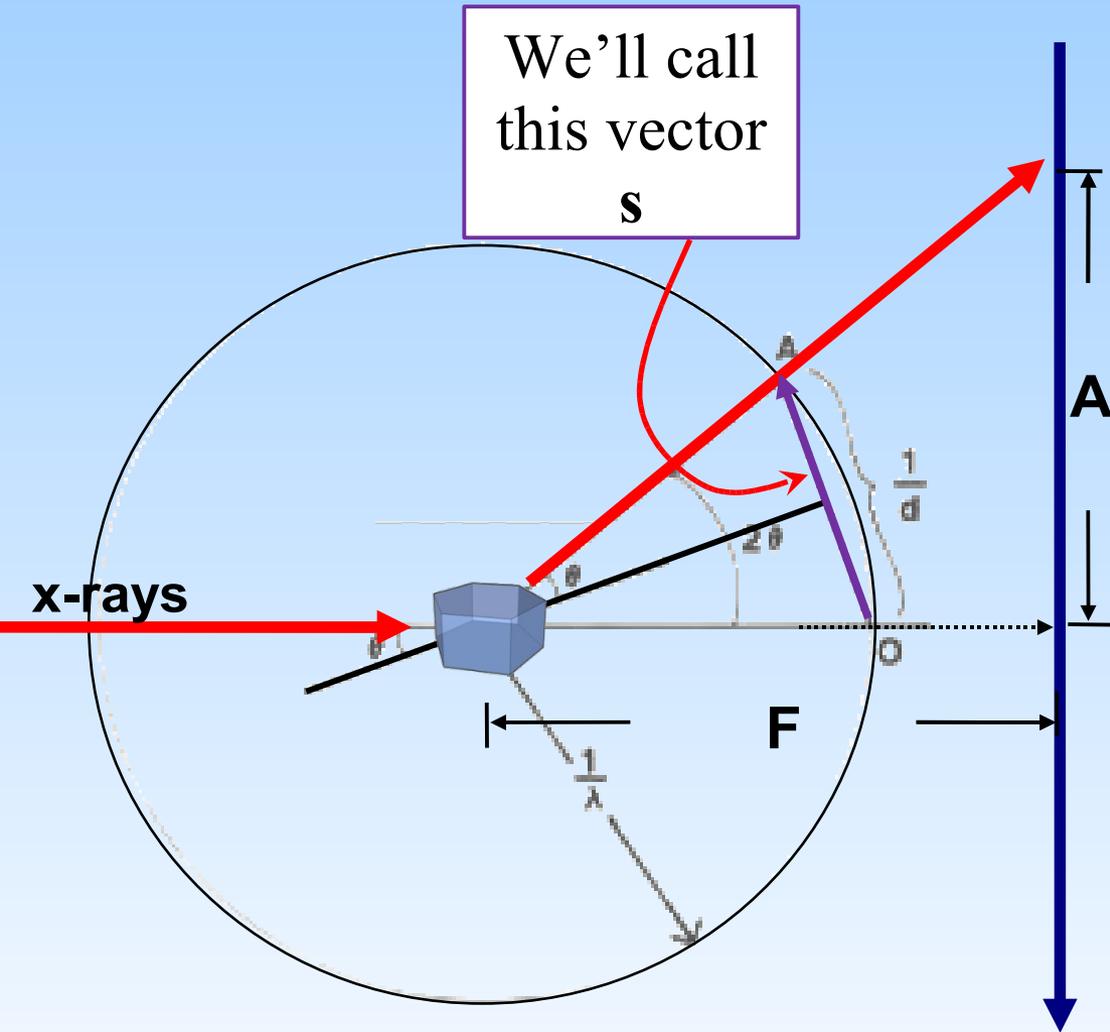
First, let's understand what's happening in the real experiment, then we'll try to understand the reciprocal business.



Bragg's Law is obeyed: diffraction occurs when a vector of length $1/d_{hkl}$, that is perpendicular to the lattice planes (hkl), **touches the Ewald sphere** of radius $1/\lambda$.

A little trigonometry:

We'll call
this vector
s

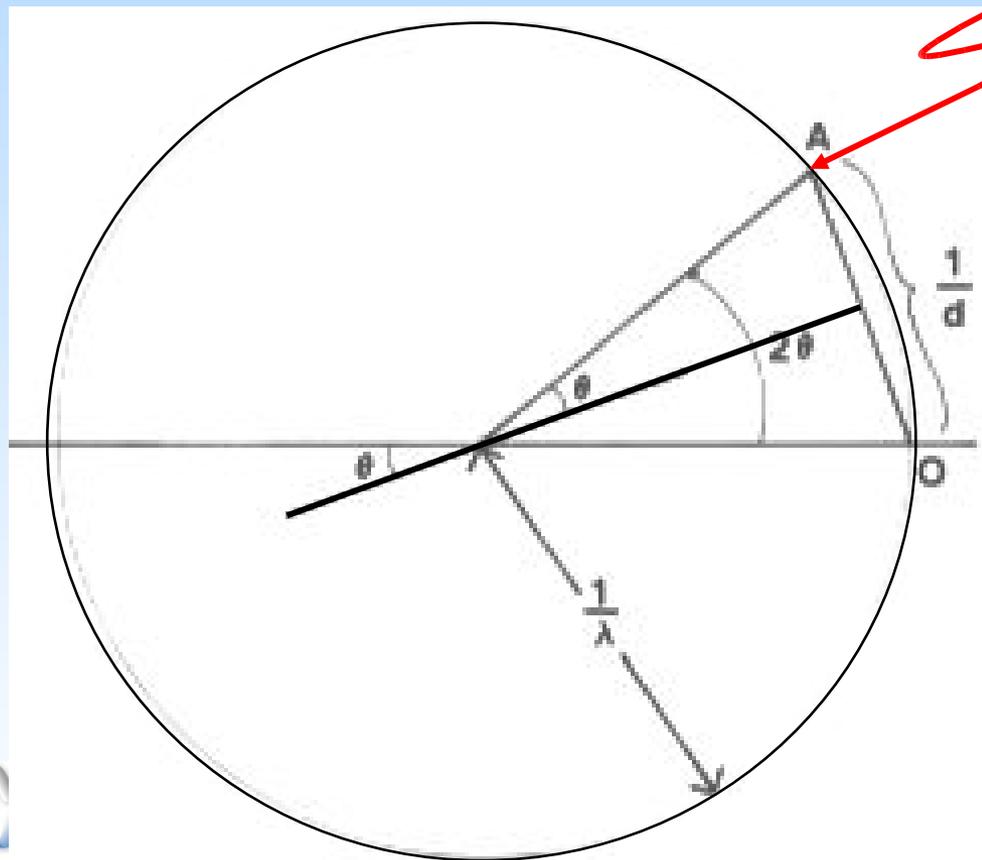


$$\mathbf{A} / \mathbf{F} = \tan(2\theta)$$

$$\lambda = 2d \sin(\theta)$$

We can get the
d-spacing for
the reflection.

And Bragg's Law is obeyed – diffraction will occur – when that vector of length $1/d_{hkl}$, that is perpendicular to the lattice plane (hkl), **touches the Ewald sphere** of radius $1/\lambda$.

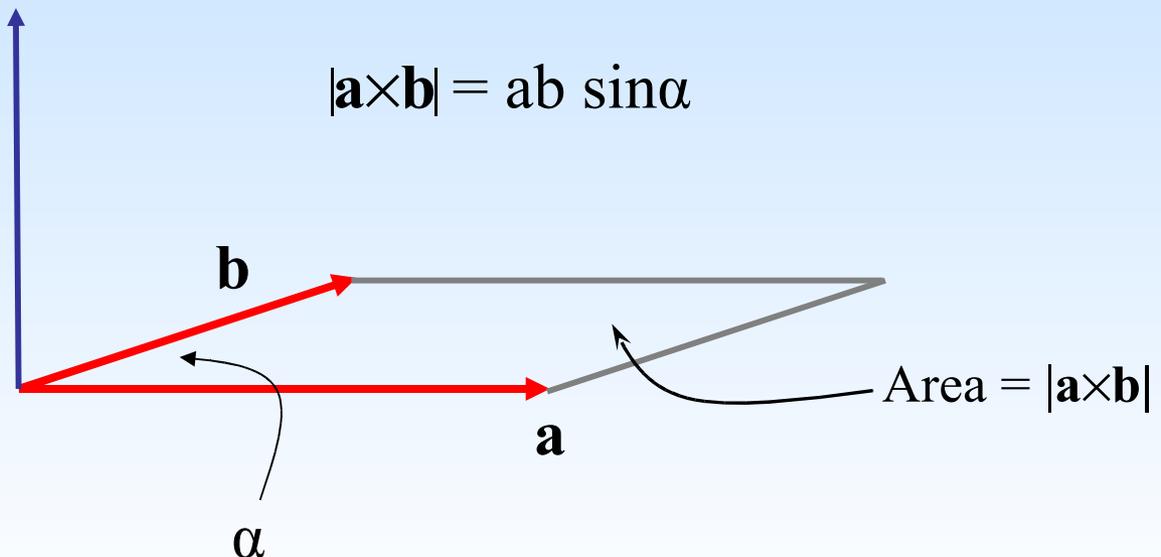


Because we have this tool, the Ewald sphere and reciprocal space, we never need to think about lattice planes and Bragg's law again.

How can we define this vector that is perpendicular to the Bragg plane, and has a length that is the reciprocal of the distance between the planes?

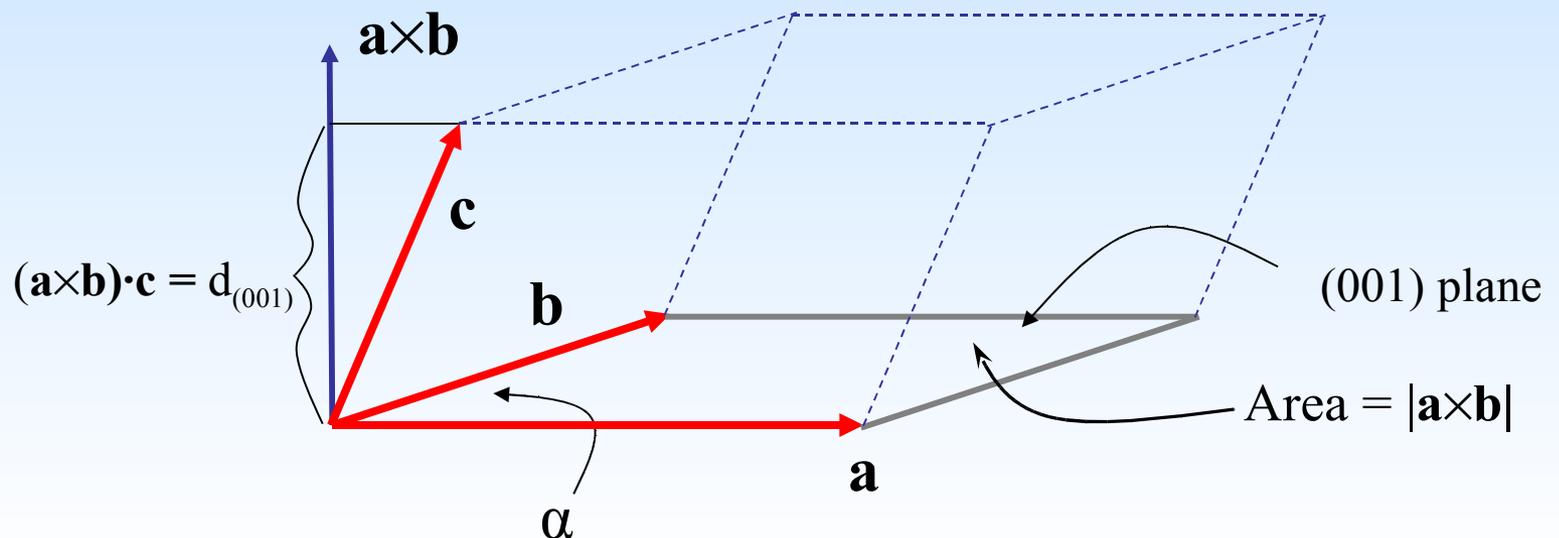
We'll define the edges of a unit cell with three vectors. Start with **a** and **b**. We know that the cross product of two vectors lies **perpendicular** to the plane of the two vectors.

This is the direction we want. The **amplitude** of **$\mathbf{a} \times \mathbf{b}$** is the **area** of the parallelogram defined by the vectors:



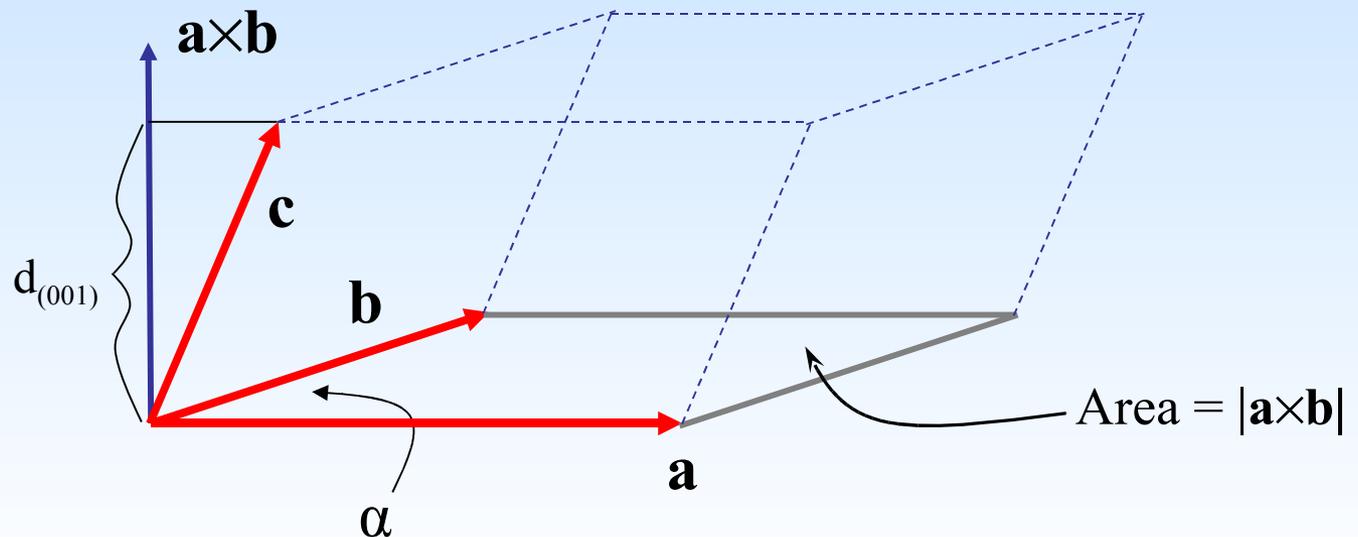
We've described the base of the unit cell of the crystal by two vectors **a** and **b**, and **the area** of the base is the **amplitude** of the cross product of **a** and **b**.

Now we'll include the third vector **c**. We want to know the spacing $d_{(001)}$, between the **ab** planes [the **(001)** lattice planes]. It must be the projection of **c** on the vector $\mathbf{a} \times \mathbf{b}$. We know that we get the product of the projection of one vector on another with the vector dot product: $(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}$.



So $\mathbf{a} \times \mathbf{b} \cdot \mathbf{c}$, known as a **vector triple product**, is the area of \mathbf{ab} times $d_{(001)}$, the spacing between the planes. That, of course is the **Volume** of the unit cell. If we divide this quantity into the area, we get **the reciprocal of the spacing**, which is what we want!!

$$1/d_{(001)} = \text{Area}/\text{Volume} = |\mathbf{s}_{001}| = \frac{|\mathbf{a} \times \mathbf{b}|}{\mathbf{a} \times \mathbf{b} \cdot \mathbf{c}} = c^*$$

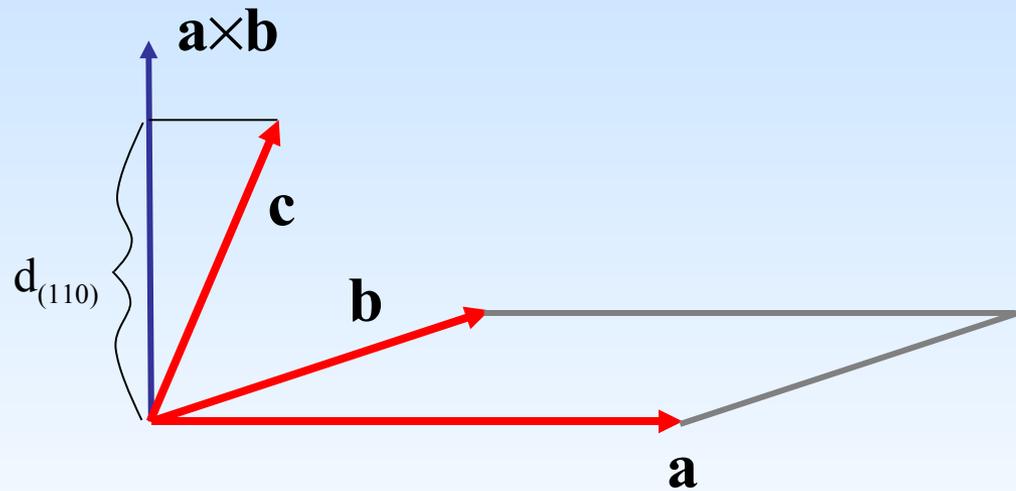
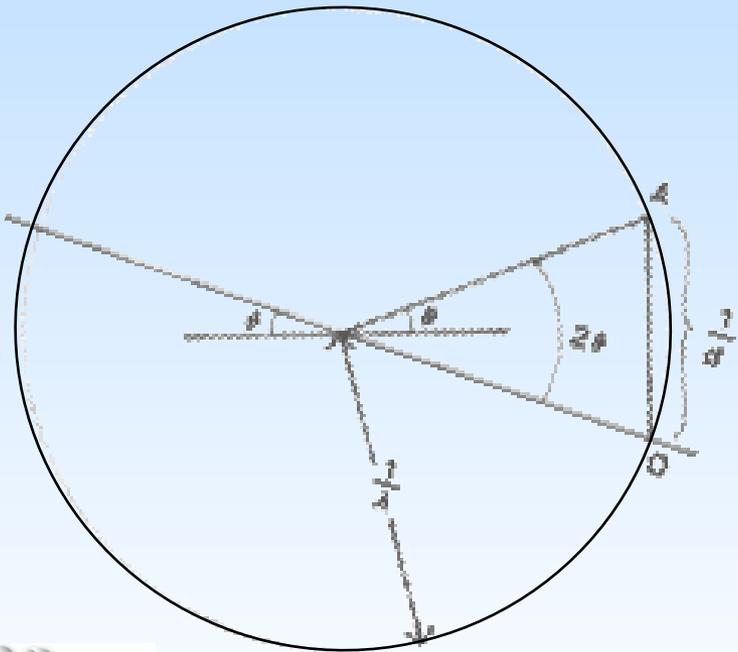


So the **reciprocal** lattice vector that represents the (001) planes is

$$\mathbf{s}_{001} = \mathbf{a} \times \mathbf{b} / \mathbf{a} \times \mathbf{b} \cdot \mathbf{c} \quad \text{and} \quad |\mathbf{s}_{001}| = 1/d_{(001)}$$

We define each **axial** reciprocal lattice vector as a **reciprocal unit cell axis**:

$$\mathbf{s}_{100} = \mathbf{a}^* \quad \mathbf{s}_{010} = \mathbf{b}^* \quad \mathbf{s}_{001} = \mathbf{c}^*$$



$$\mathbf{s}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$$

It is easy to define the reciprocal lattice vector \mathbf{s}_{hkl} to be perpendicular to the planes (hkl) and to have length $s_{hkl} = 1/d_{hkl}$:

$$\mathbf{s}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^* \quad (11)$$

The principal reciprocal space vectors \mathbf{a}^* , \mathbf{b}^* , and \mathbf{c}^* are defined, in terms of the “real space” vectors or unit cell principal axes, as

$$\mathbf{a}^* = \frac{\mathbf{b} \times \mathbf{c}}{\mathbf{a} \times \mathbf{b} \cdot \mathbf{c}}, \quad \mathbf{b}^* = \frac{\mathbf{c} \times \mathbf{a}}{\mathbf{a} \times \mathbf{b} \cdot \mathbf{c}}, \quad \mathbf{c}^* = \frac{\mathbf{a} \times \mathbf{b}}{\mathbf{a} \times \mathbf{b} \cdot \mathbf{c}} \quad (12)$$

One can use the reciprocal lattice vector \mathbf{s}_{hkl} to calculate useful parameters for the crystal. For example, one can easily calculate the spacings for a particular set of lattice planes. For the case where the angle between unit cell edges \mathbf{a} and \mathbf{c} is unconstrained (call it β) but both \mathbf{a} and \mathbf{c} are perpendicular to \mathbf{b} , we can readily derive an expression for d_{hkl} :

$$d_{hkl} = (\mathbf{s}_{hkl} \cdot \mathbf{s}_{hkl})^{-1/2} = (h^2 a^{*2} + k^2 b^{*2} + l^2 c^{*2} + hla^*c^* \cos \beta^*)^{-1/2}$$

$$(\beta^* = \pi - \beta)$$

$$a^* = \frac{bc}{abc \cos(\beta - 90^\circ)} = \frac{1}{a \sin \beta}, \quad b^* = \frac{1}{b}, \quad c^* = \frac{1}{c \sin \beta} \quad (13)$$

$$d_{hkl} = \left(\frac{h^2}{a^2 \sin^2 \beta} + \frac{k^2}{b^2} + \frac{l^2}{c^2 \sin^2 \beta} - \frac{hl \cos \beta}{ac \sin^2 \beta} \right)^{-1/2}$$

Let's be sure this is perfectly clear:

We define each **principal** reciprocal lattice vector
as a **reciprocal unit cell axis**:

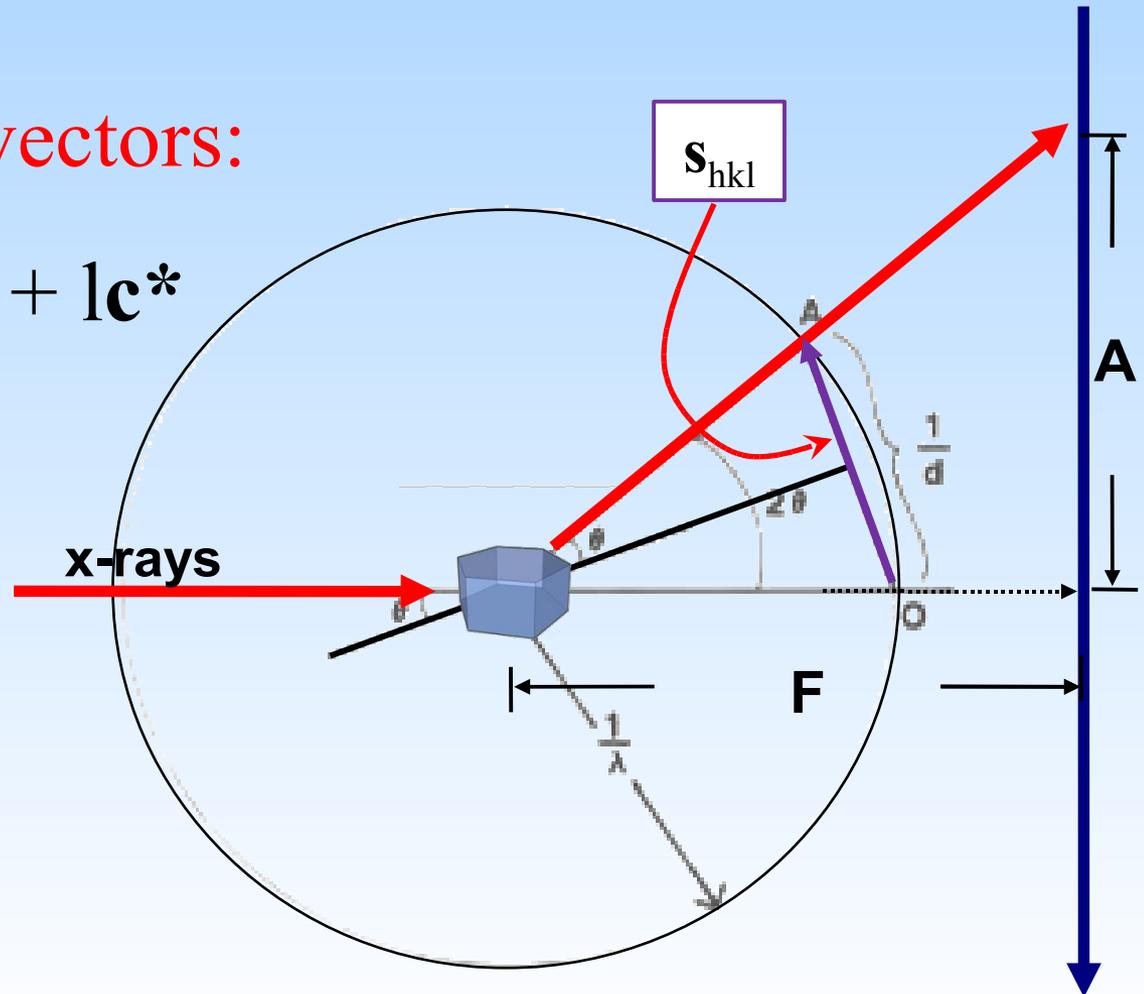
$$\mathbf{a}^* = \mathbf{s}_{100} = \mathbf{b} \times \mathbf{c} / \mathbf{a} \times \mathbf{b} \cdot \mathbf{c} \quad \text{and} \quad \|\mathbf{s}_{100}\| = 1/d_{(100)}$$

$$\mathbf{b}^* = \mathbf{s}_{010} = \mathbf{c} \times \mathbf{a} / \mathbf{a} \times \mathbf{b} \cdot \mathbf{c} \quad \text{and} \quad \|\mathbf{s}_{010}\| = 1/d_{(010)}$$

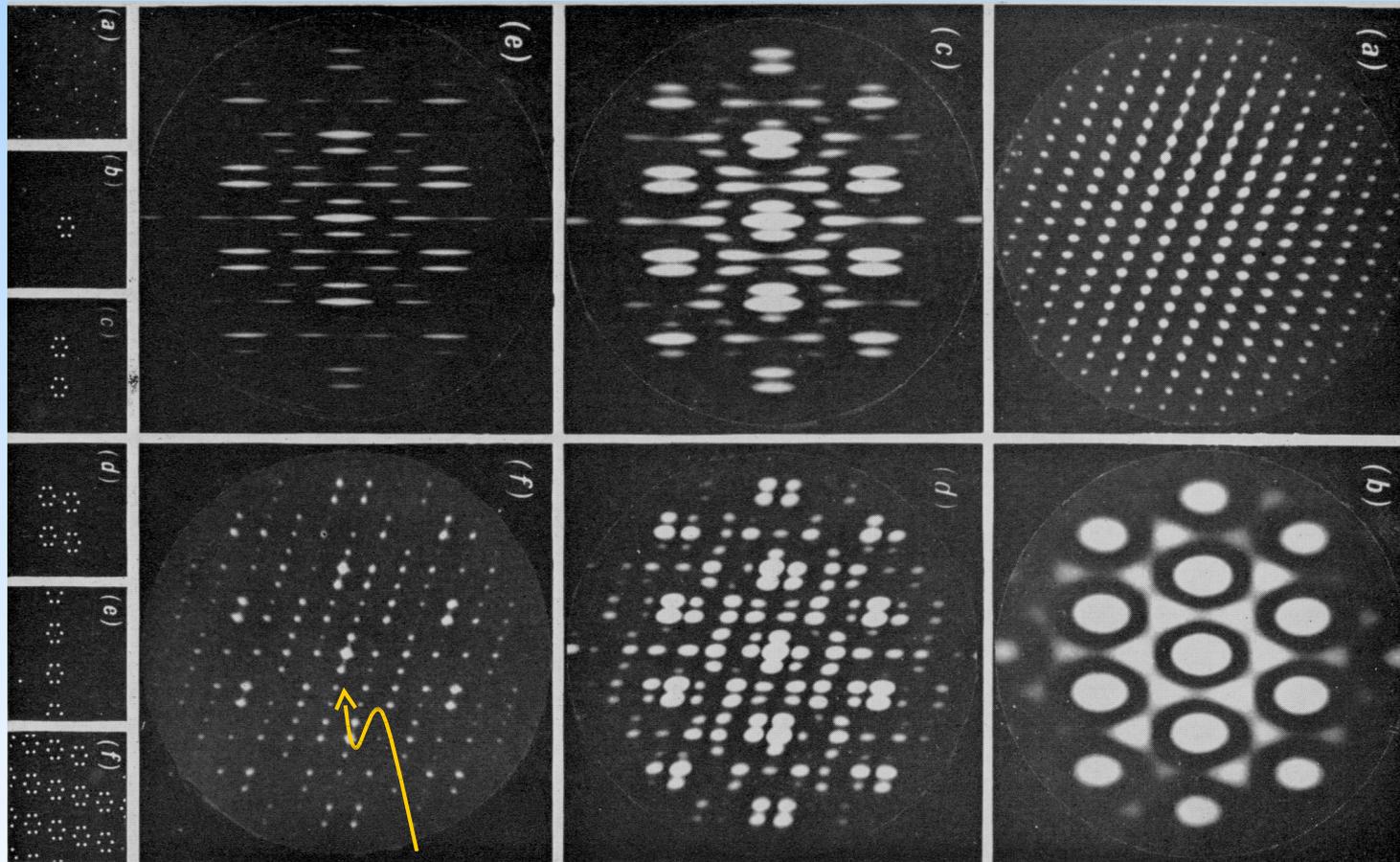
$$\mathbf{c}^* = \mathbf{s}_{001} = \mathbf{a} \times \mathbf{b} / \mathbf{a} \times \mathbf{b} \cdot \mathbf{c} \quad \text{and} \quad \|\mathbf{s}_{001}\| = 1/d_{(001)}$$

The implication of this is that we need not think about **Bragg** planes again, we think only of **reciprocal-lattice vectors**:

$$\mathbf{s}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$$



Now we use the Taylor and Lipson figures to see how the **contents** of the crystal relate to the diffraction pattern.



crystal

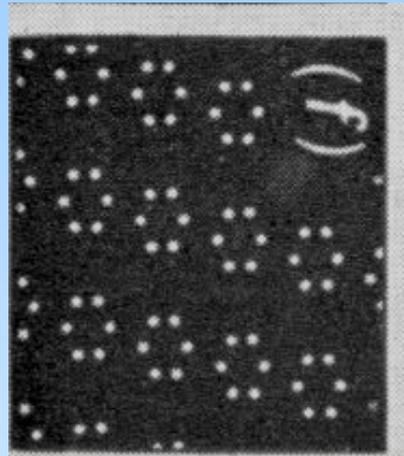
Coordinates of points
are "indices."

diffraction

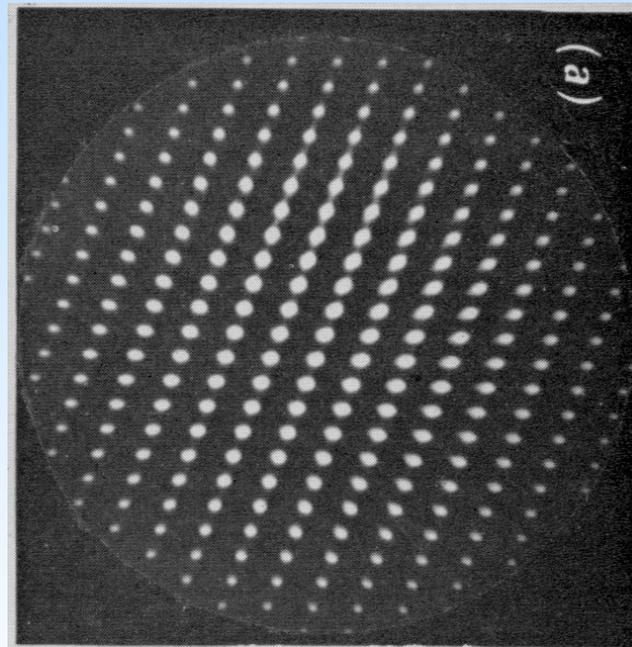
Notice (1)
The sym-
metry, and
(2) how the
continuous
diffraction
pattern of
one molec-
ule (b) is
"sampled"
by the lat-
tice of dif-
fraction
points.

Do we understand the real/reciprocal lattice idea?

Crystal –
Real Lattice



Diffraction –
Reciprocal Lattice



Confirm that the vectors perpendicular to the **Crystal-Lattice planes** are parallel to the **Reciprocal Lattice vectors**, and that the reciprocal distances make sense.

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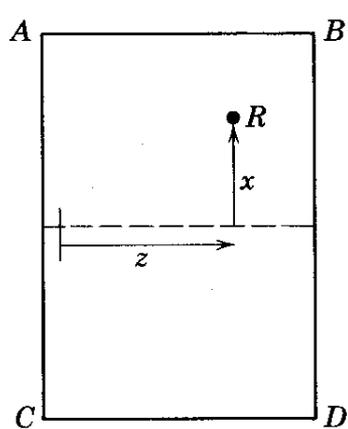
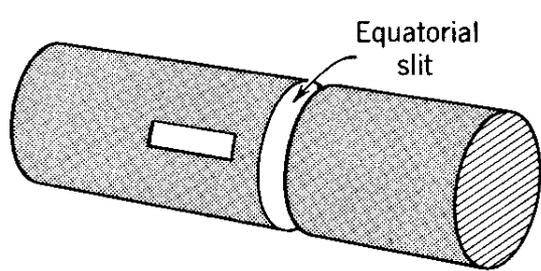
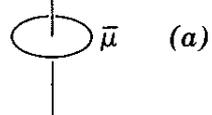
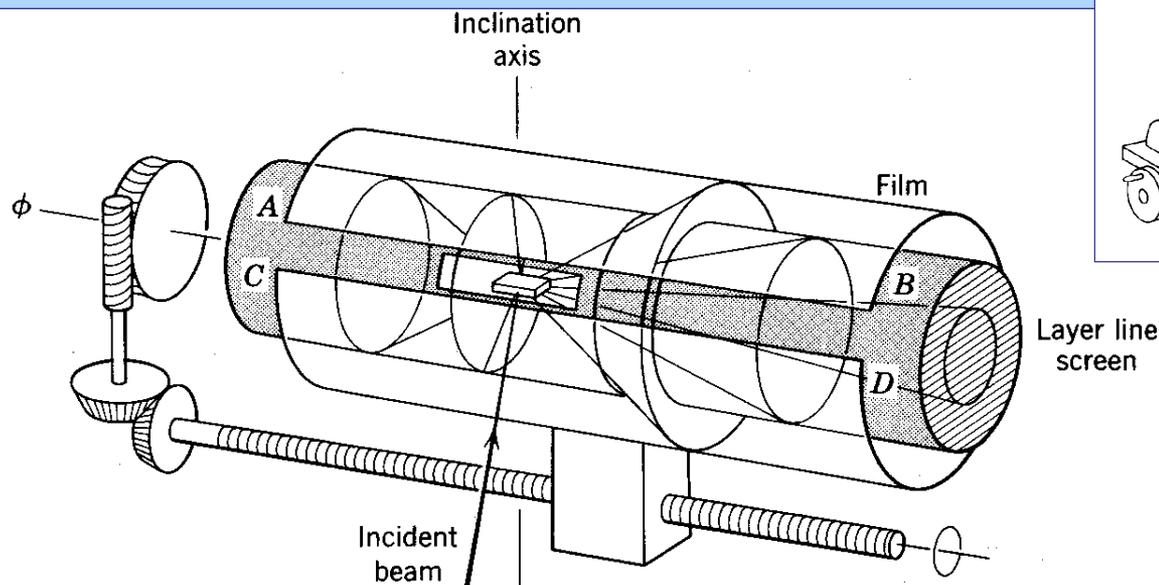
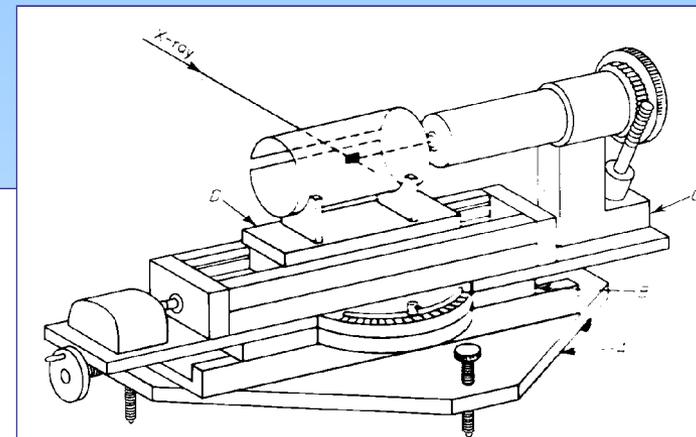
Remember the geometry -- if the **Bragg** planes lie angle θ from the incident x-ray beam, the **total diffraction angle will be 2θ** . We can make an instrument to exploit that geometry.



And Remember the objective – We must view the molecule from every direction to recreate a three-dimensional image:

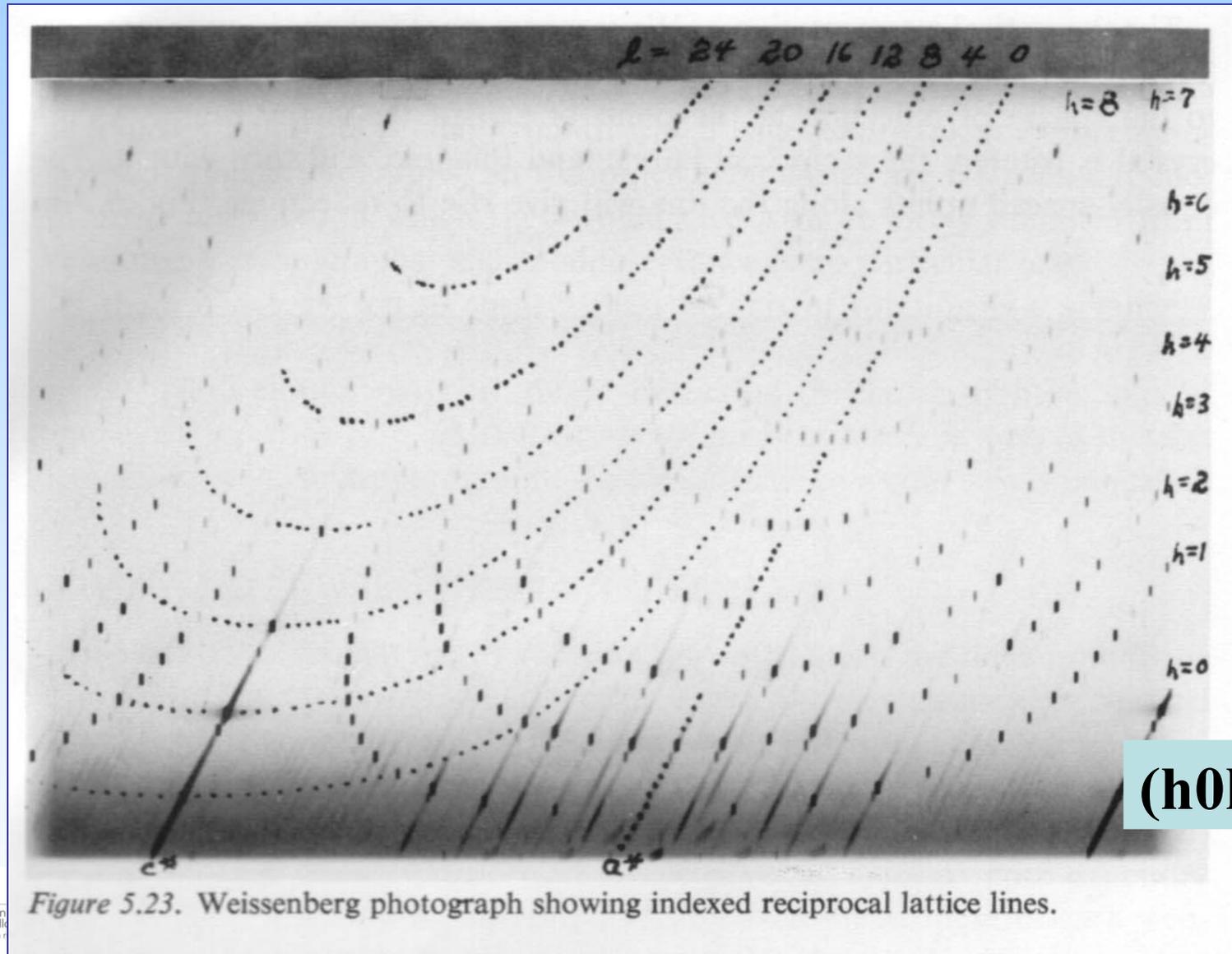
- We must obtain diffraction from all of the **Bragg** planes;
- We must sample all of the **reciprocal lattice**.

My first data were collected with a Weissenberg Camera

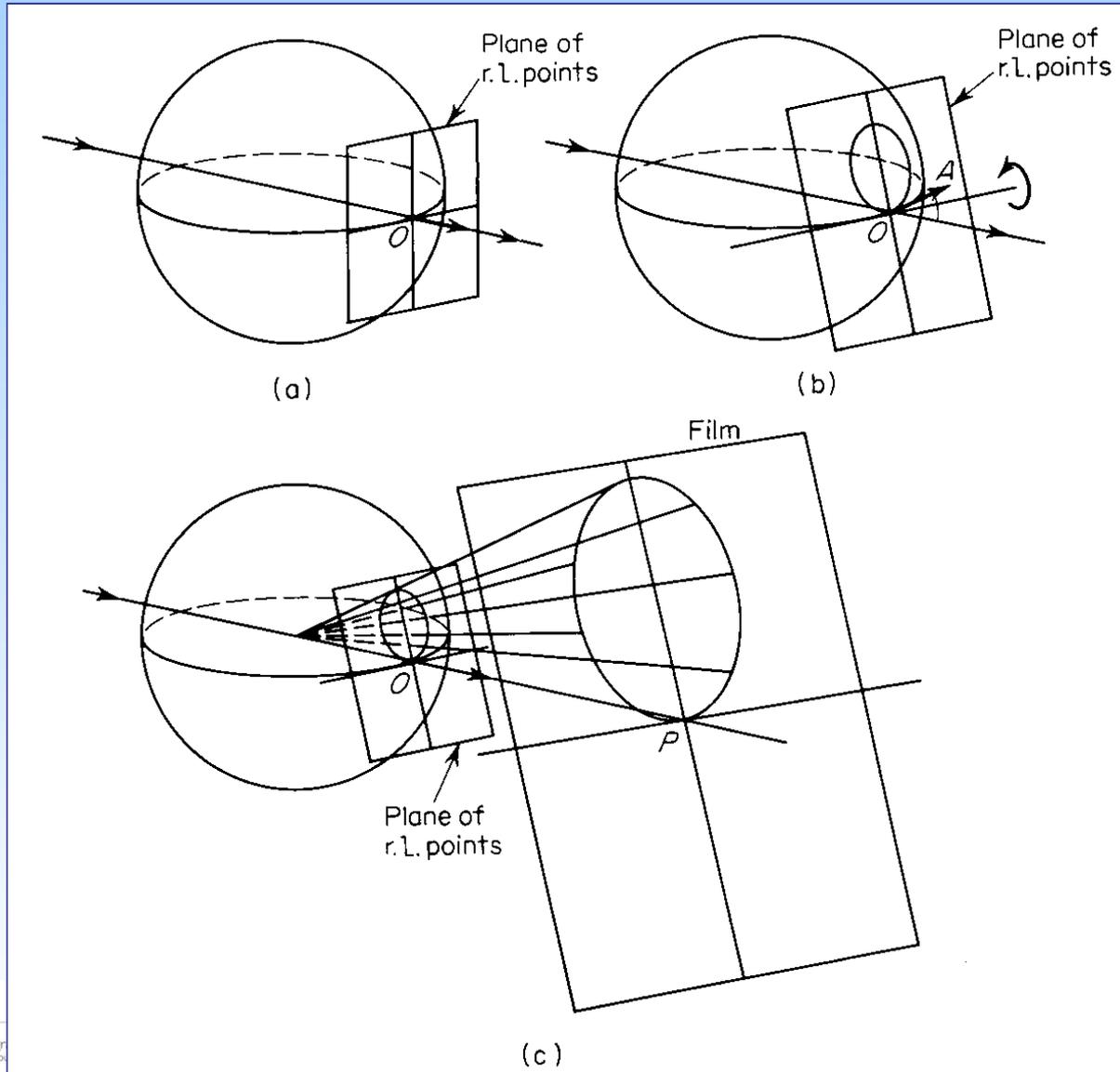


A complicated machine to simplify our view of reciprocal space.

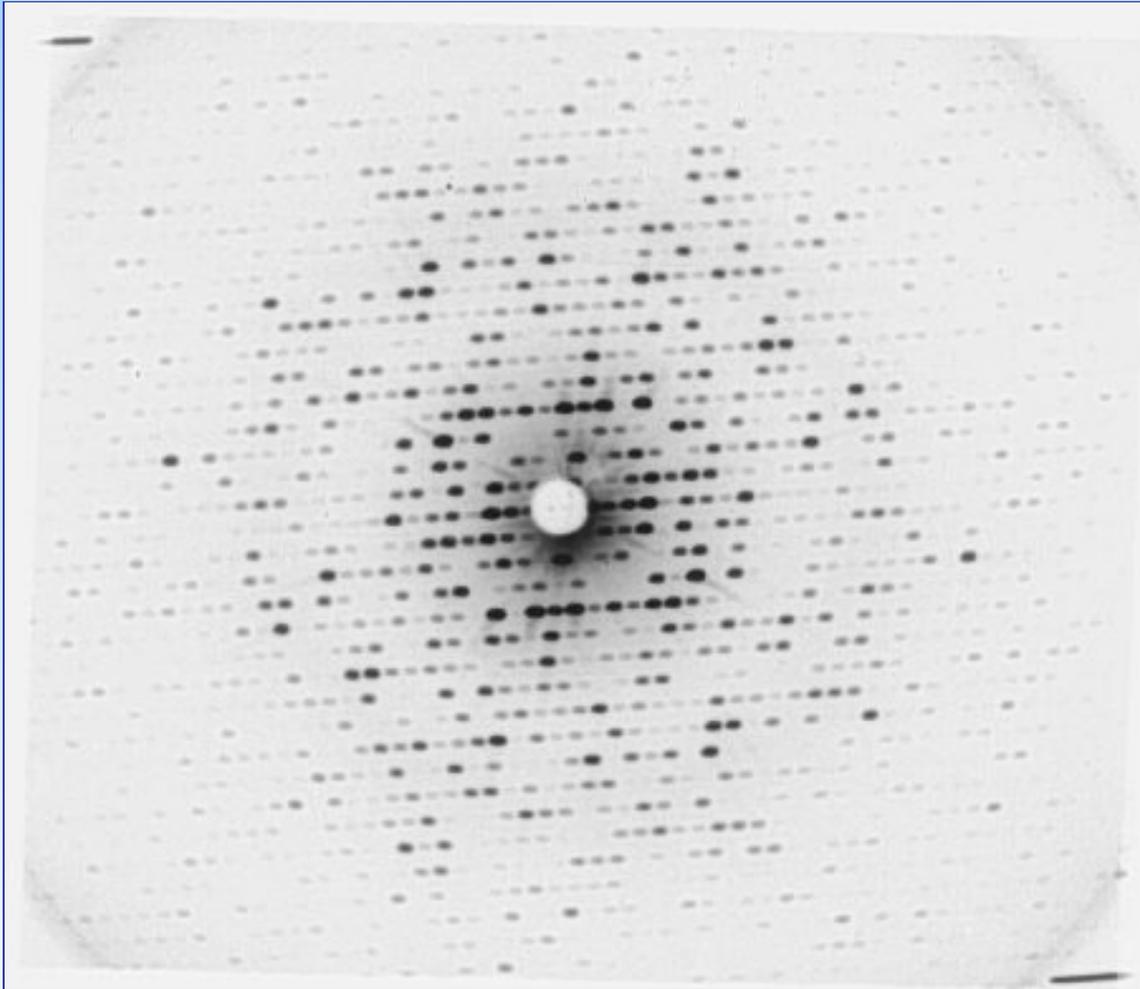
The Weissenberg photograph gives a wonderfully distorted, but organized, view of reciprocal space.



Martin Buerger devised a camera geometry that preserved the shape of reciprocal space.

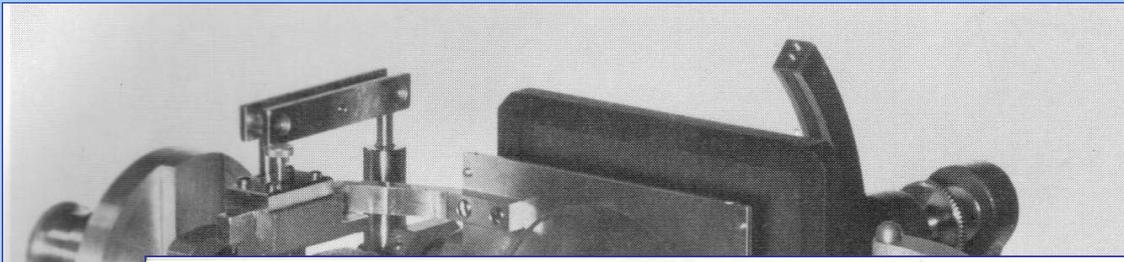


An even more complicated machine to simplify our view of reciprocal space even more.

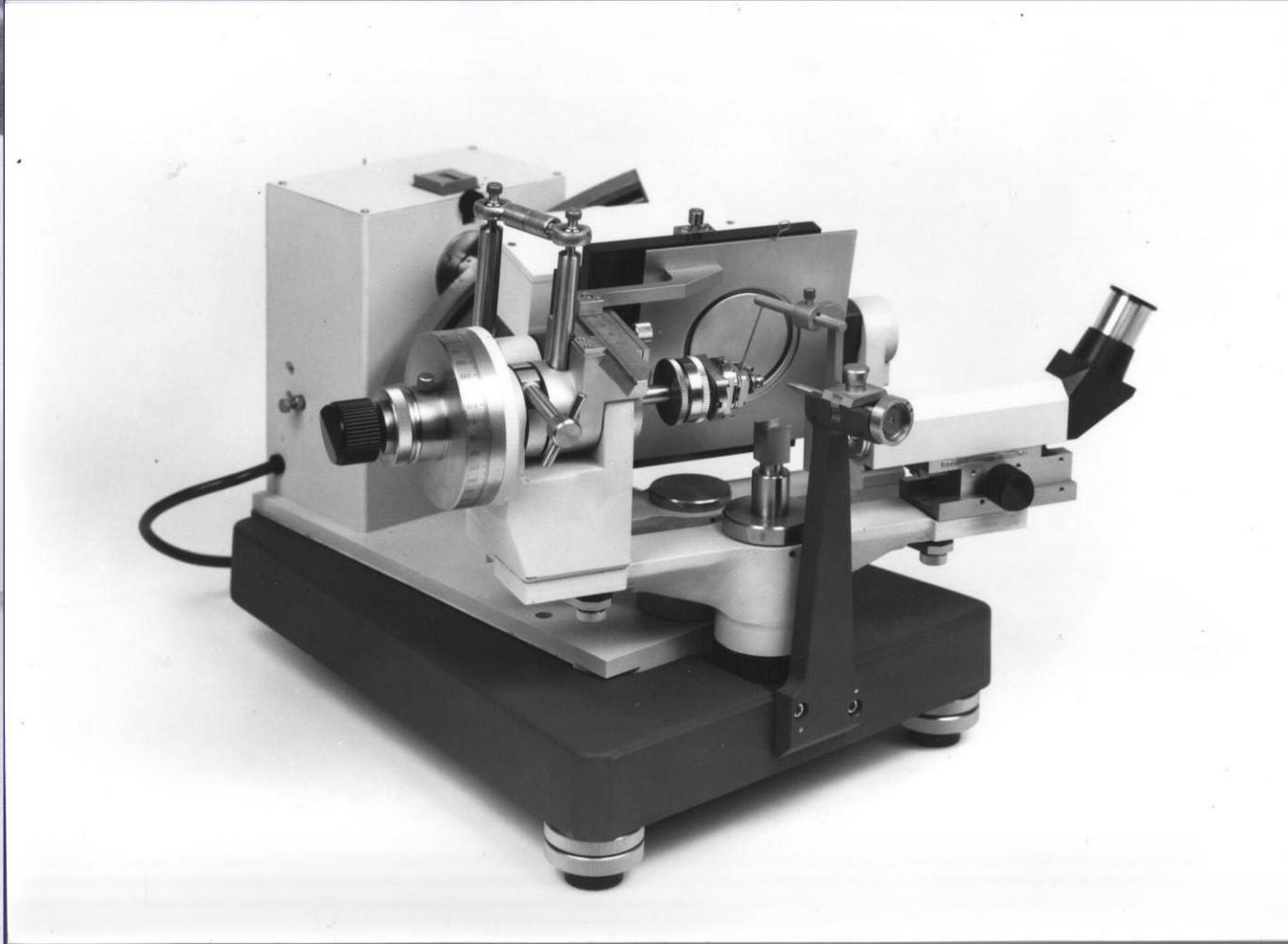


The **precession photograph** allows us to view the diffraction pattern of the crystal lattice as an **undistorted** pattern of spots.

An antique precession photo of Chymotrypsin, courtesy of David M Blow



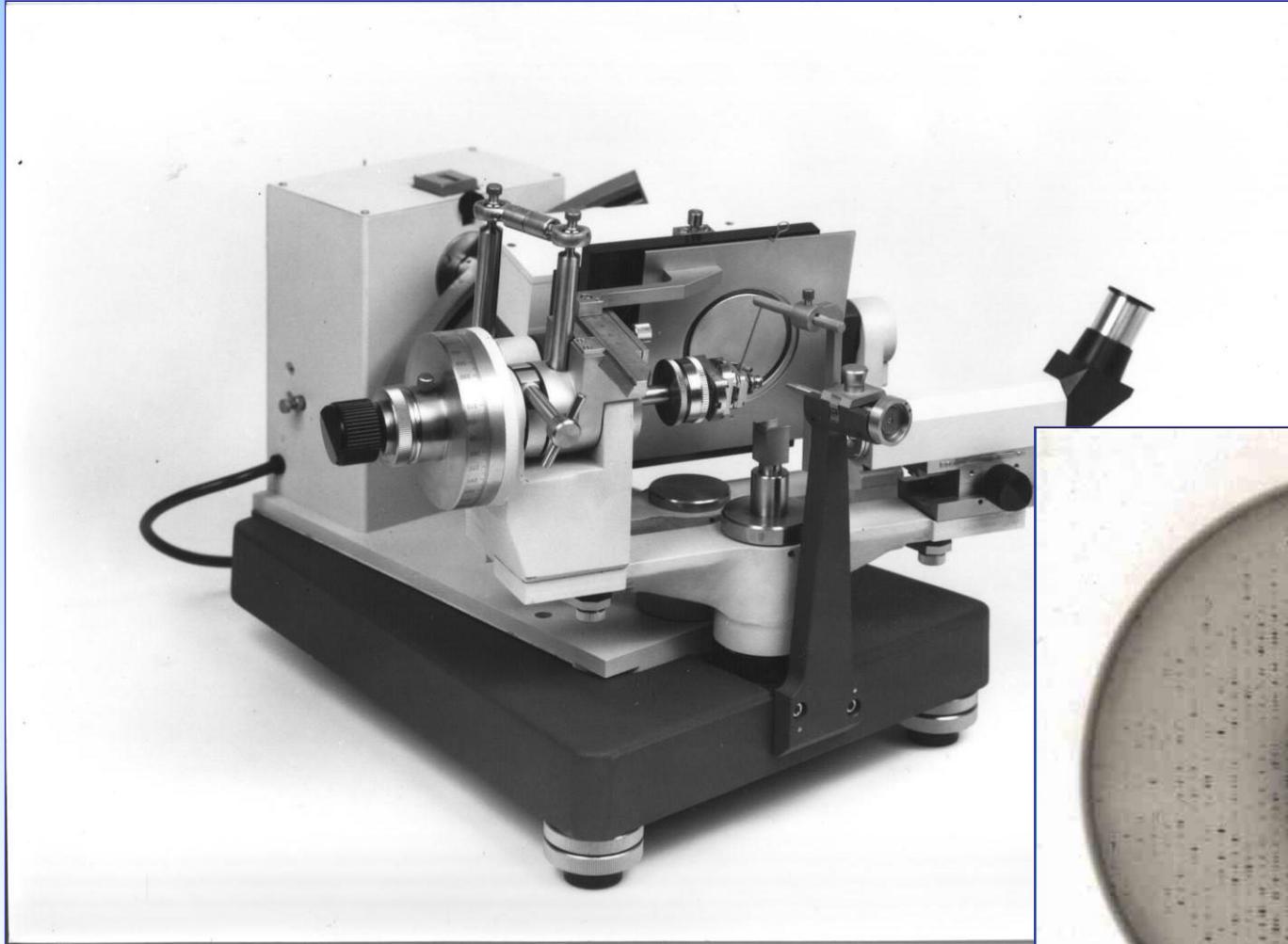
Notice the counterweights, the goniometer head, and the detector. Select only the reciprocal lattice



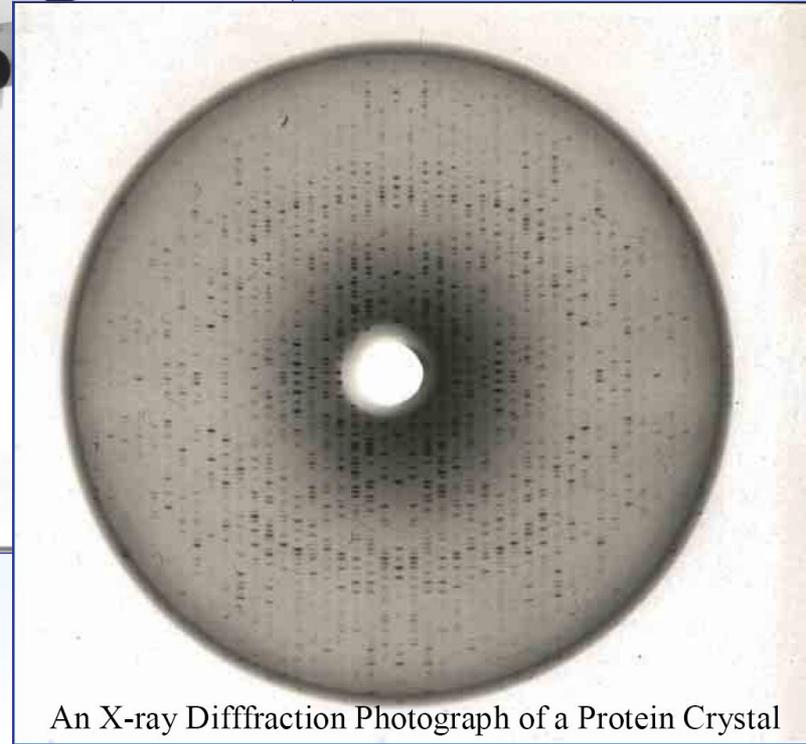
very similar to the one used 40 years ago to solve the structure of diamond

Figure 5.59. Precession camera (Bram Schierbeeck, Bruker-AXS)

Data for the first protein structures were measured on precession cameras with x-ray film. (t-40)

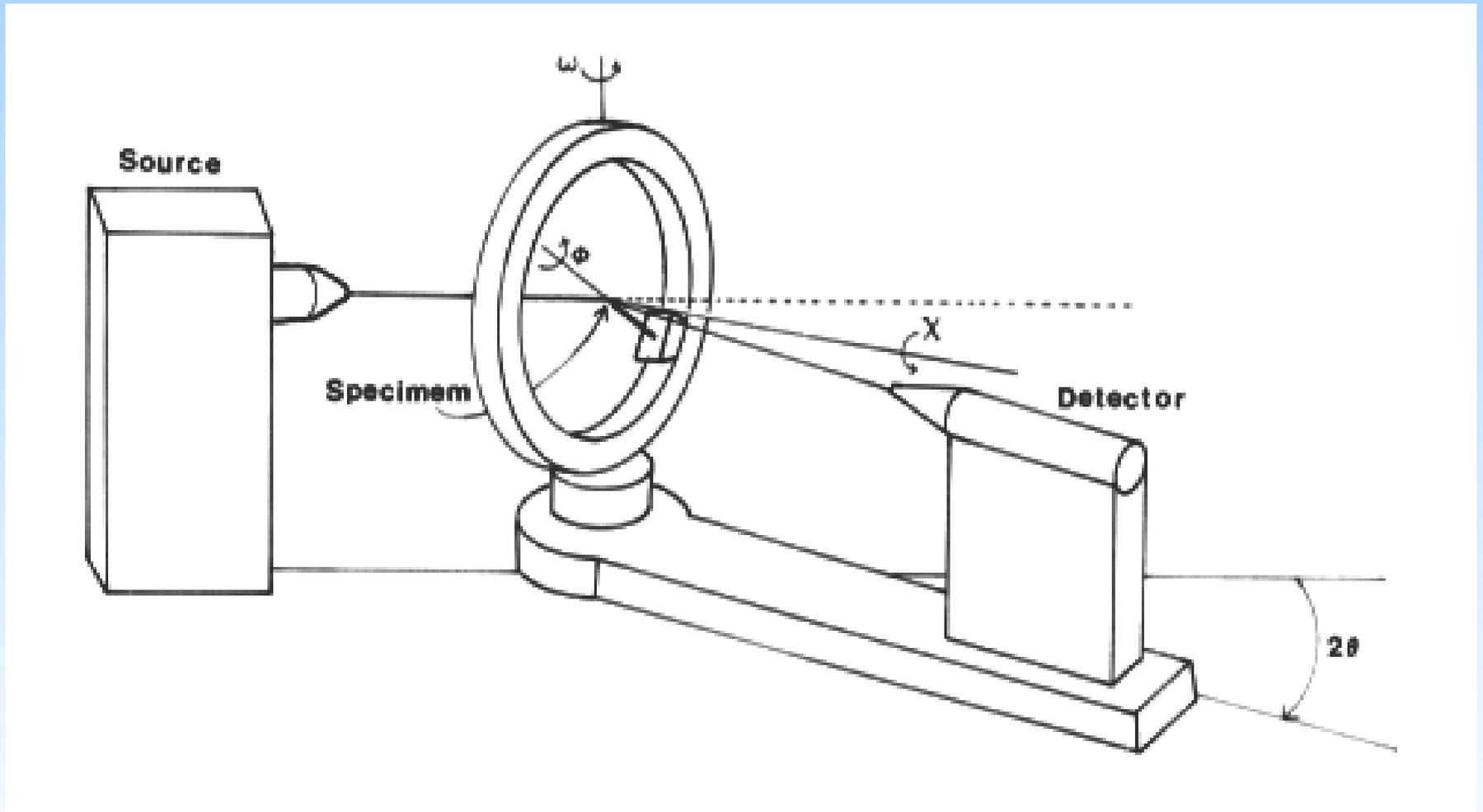


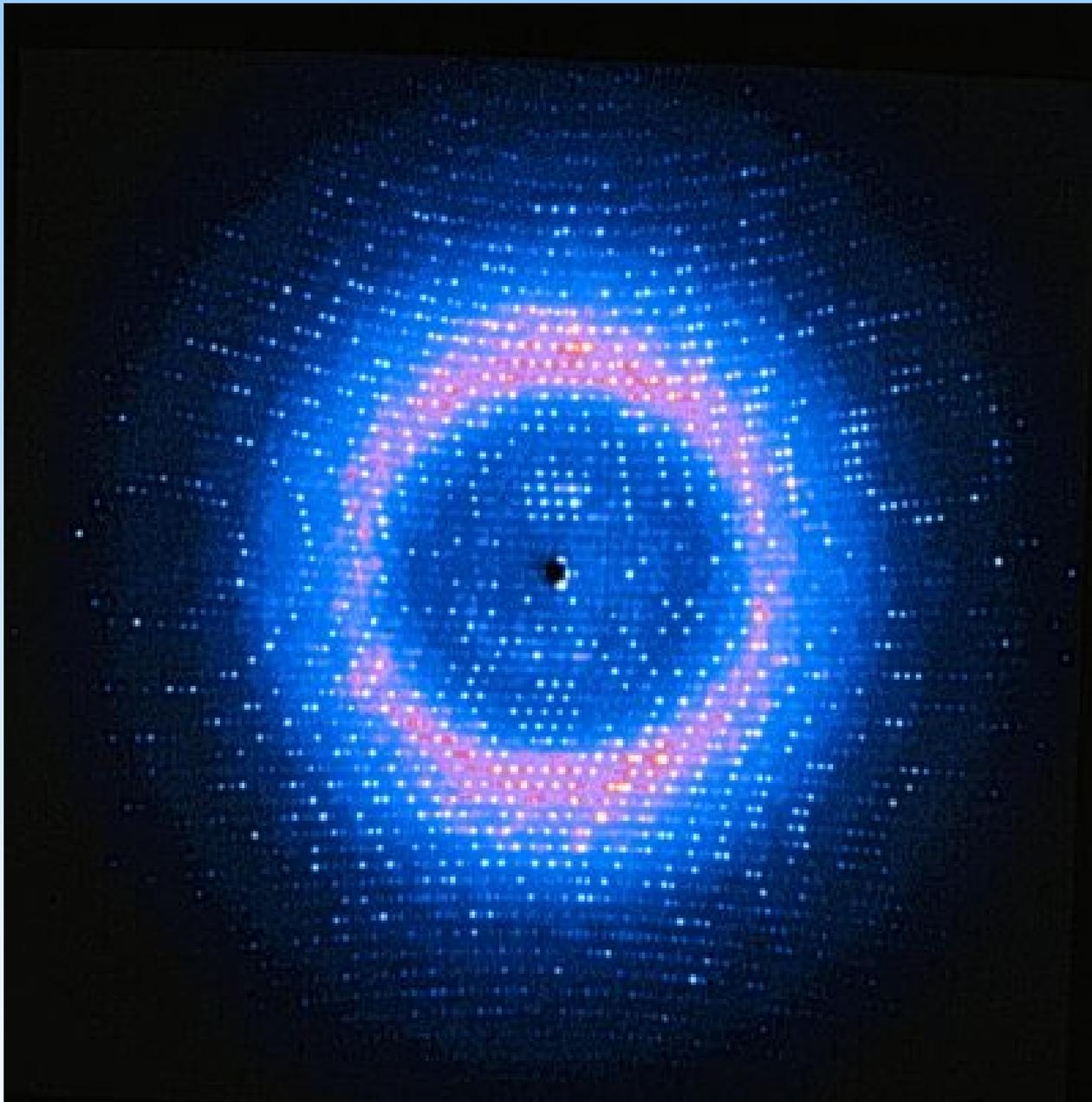
Bram Schierbeek, Bruker-AXS



An X-ray Diffraction Photograph of a Protein Crystal

The automated Eulerian cradle decreased the labor, but still one measured reflections one at a time. (30yrs ago)

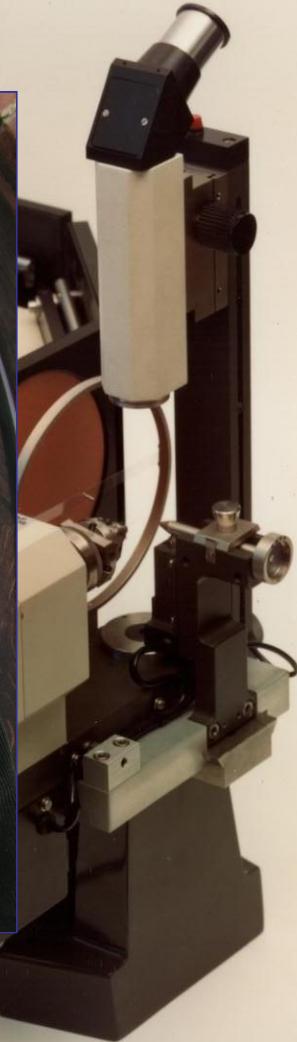




Simple rotation geometry produces a complicated pattern that requires good software to interpret. Modern CCD-based detectors with four-circle diffractometers record such patterns and measure every spot intensity.

Uli Arndt and Alan Wonacott invented the automated rotation camera. Still x-ray film, but very much more efficient.

(~23yrs ago)



Bram Schierbeek, Bruker-AXS

Another Uli Arndt invention was a video-based detector

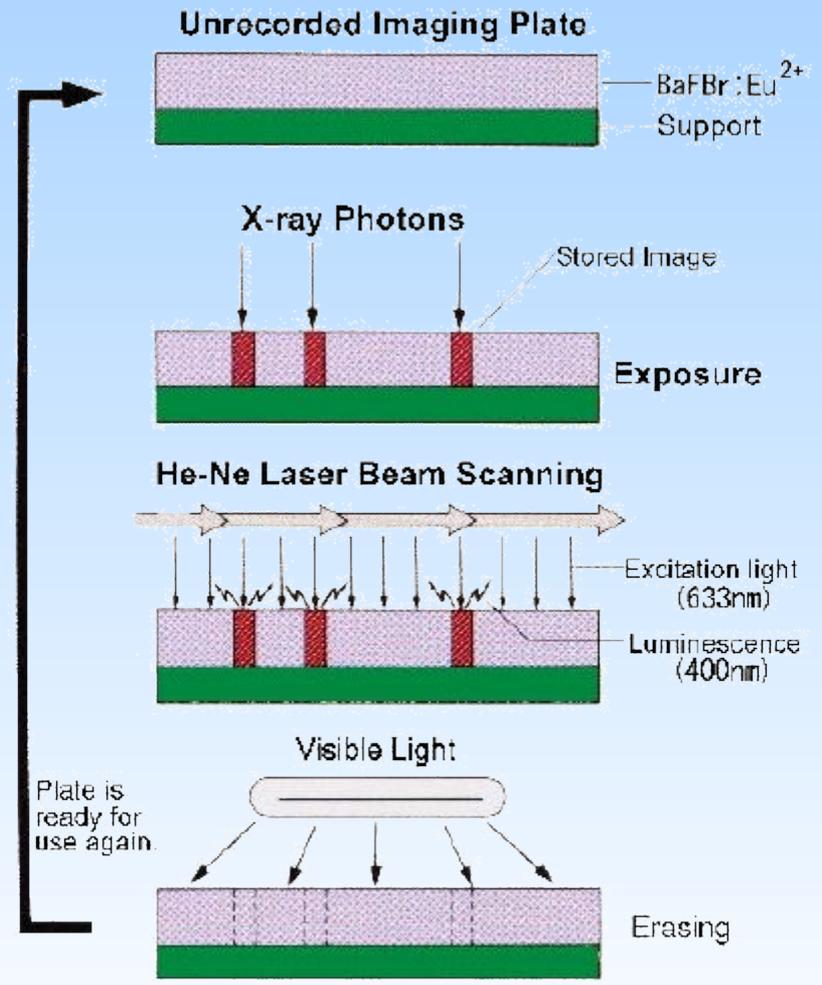
The screen was small, but it was very sensitive and could read out continuously – the x-tal just kept rotating as images came out.

(20yrs ago)



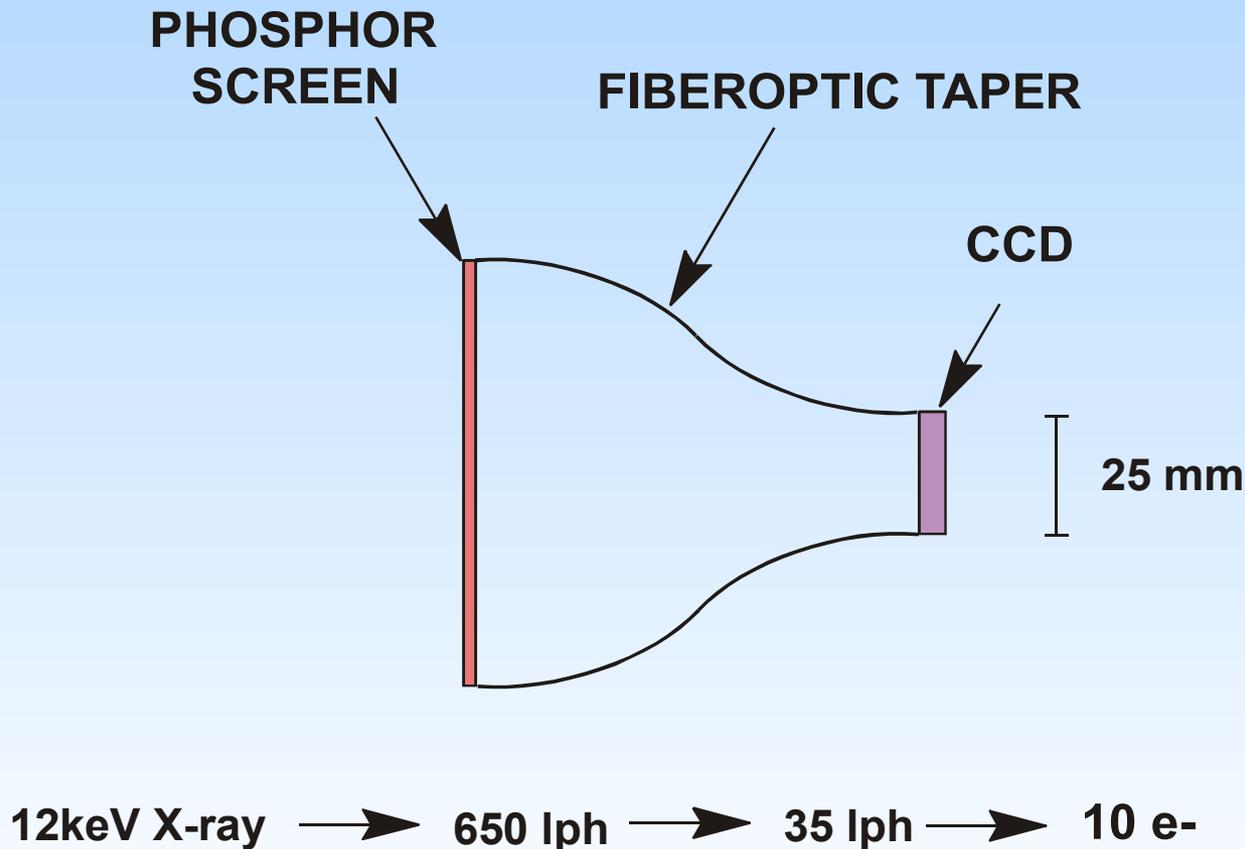
Bram Schierbeek
Bruker-AXS

An important advance was photoluminescent imaging plates. MAR research, followed by Rigaku, made a successful camera that worked like electronic x-ray film, but much better. (19 yrs)

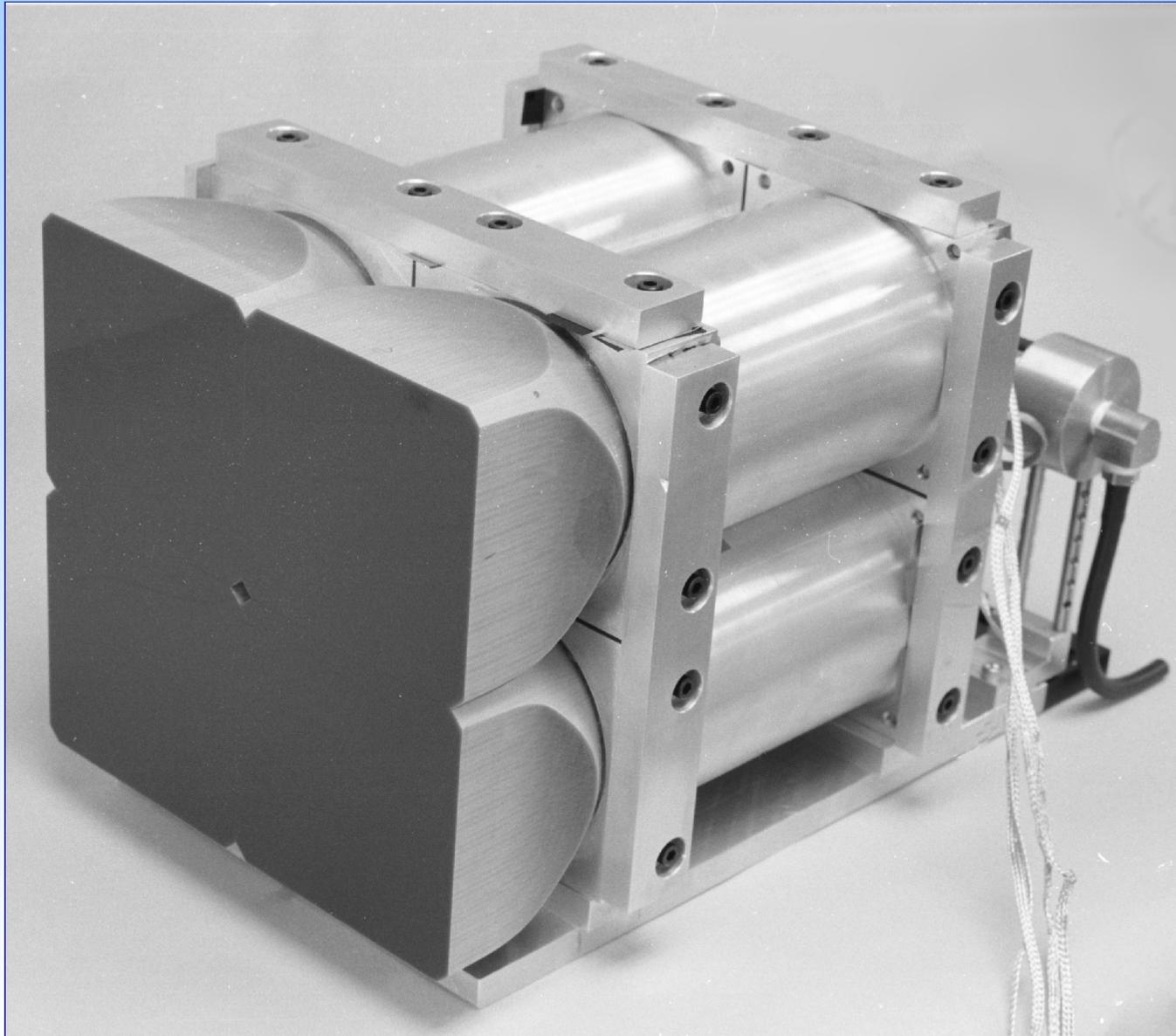


 **Figure 1.** The process of recording an x-ray radiation image on an imaging plate, and subsequent read-out and erasure.

The advance that made possible our modern detectors was made by Ed Westbrook, Sol Gruner, and others: bonding of a charge-coupled device to a fiber-optic taper with an x-ray sensitive phosphor in front. (13yrs ago)



Several of these can be bonded together to make a large detector... (9yrs ago)



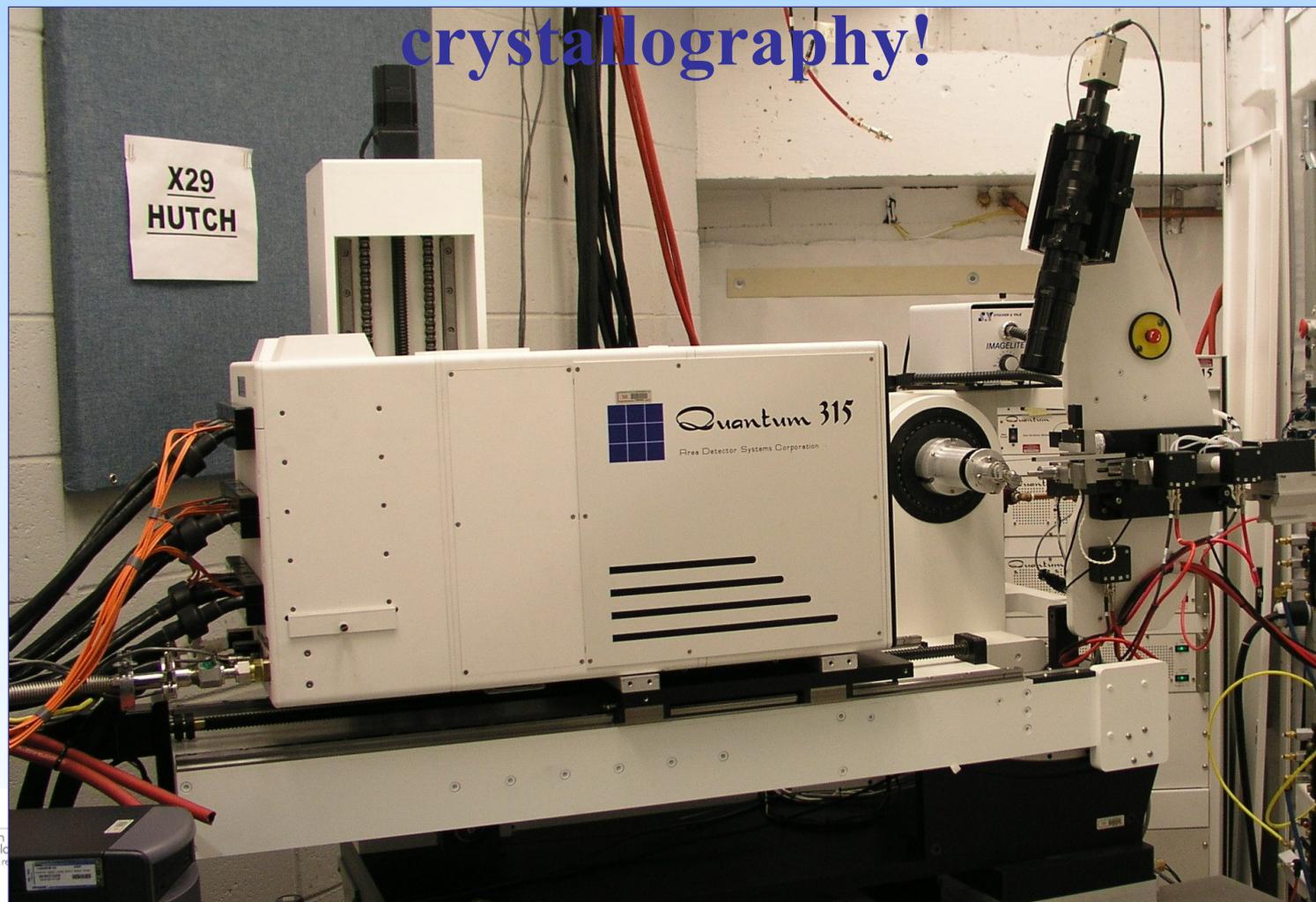
**Like this one, made
for us by Walter
Phillips**

Brandeis University

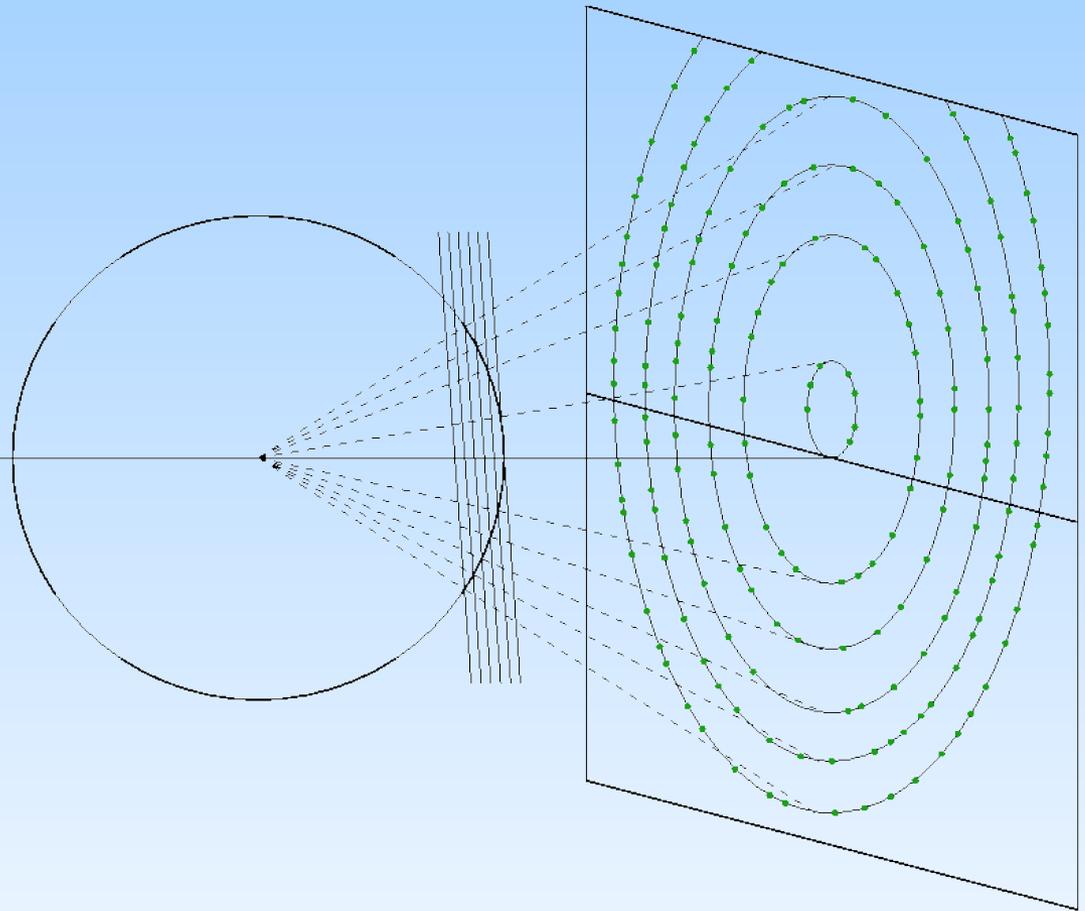
And the modern commercial versions are large,
fast, and very accurate. (4yrs ago)

Detectors like these are the basis for
modern, high-throughput

crystallography!

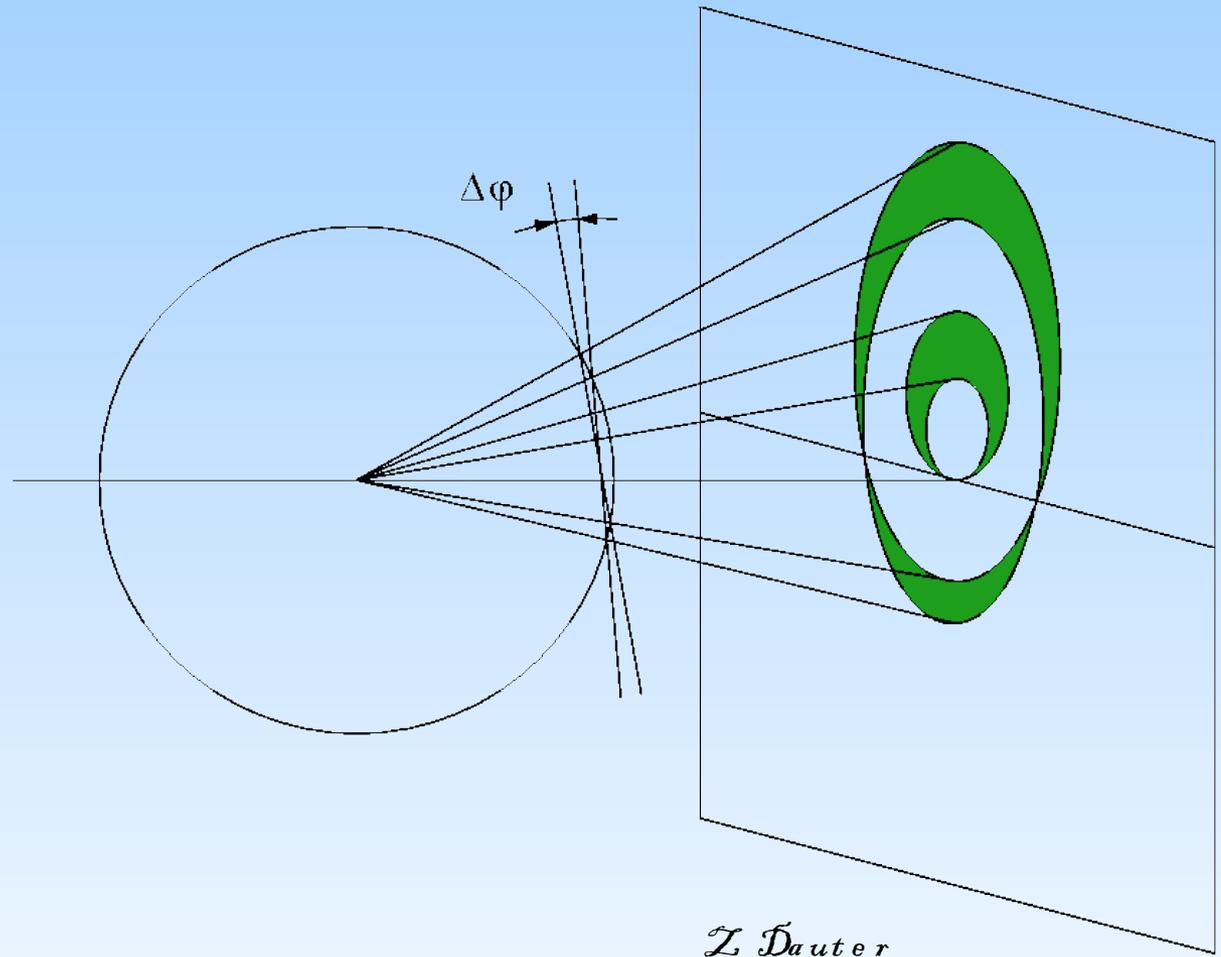


The planes of spots in reciprocal space appear as circles of spots on an area-sensitive x-ray detector (film, IP, CCD-based, etc.)



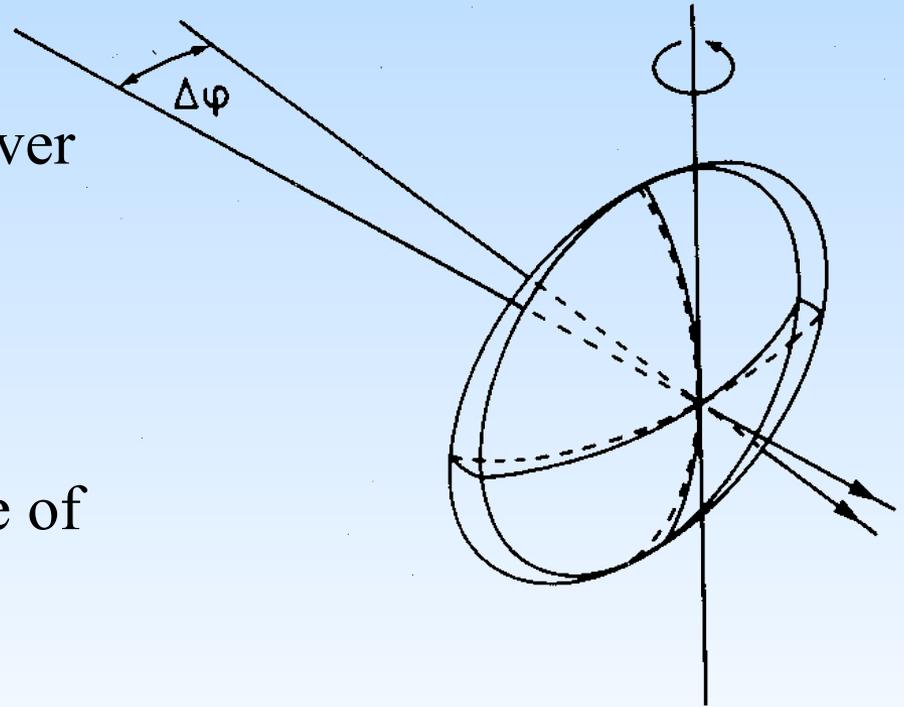
Z. Dauter

As the crystal is rotated, the circles are extended into “lunes”

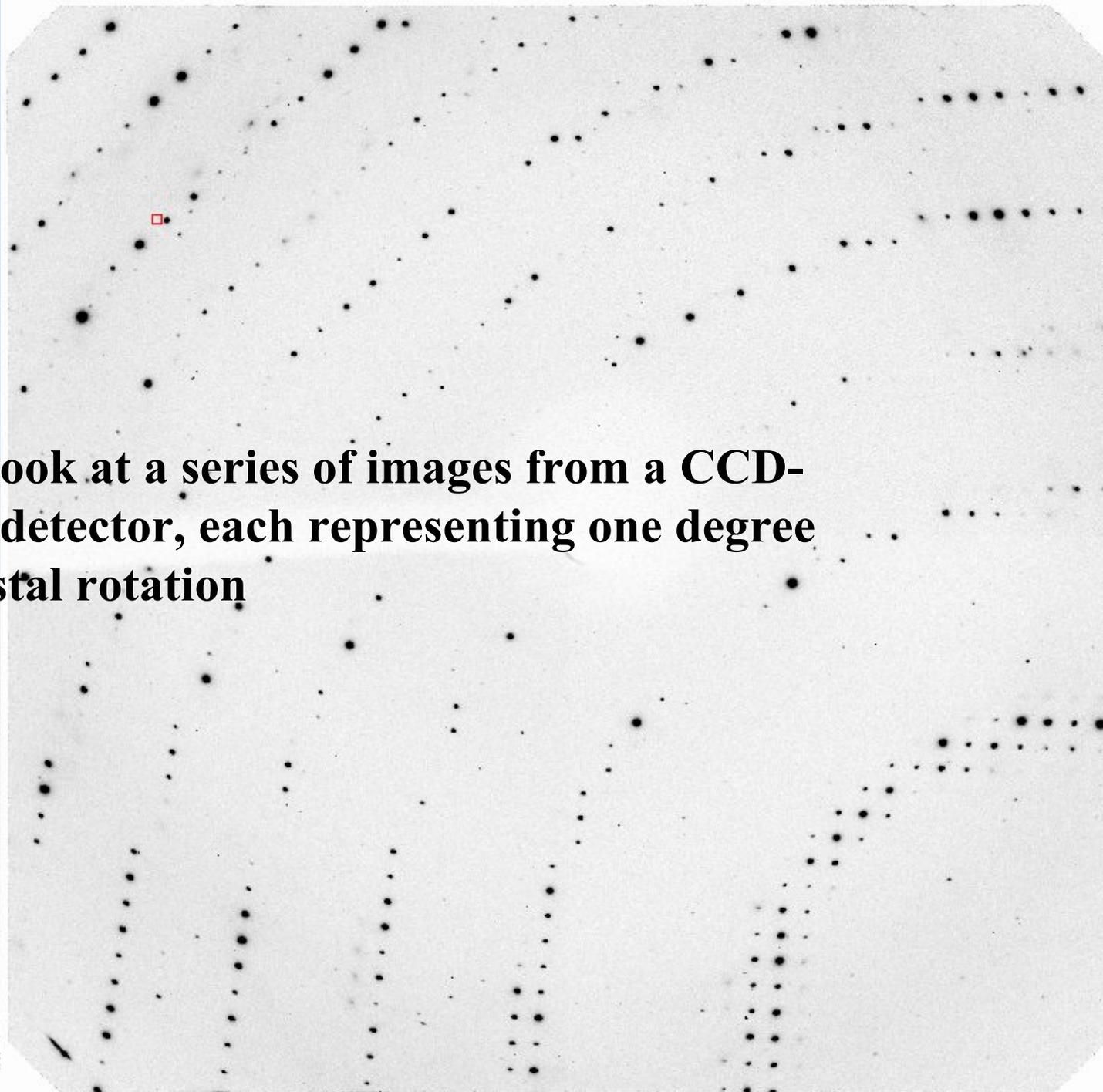


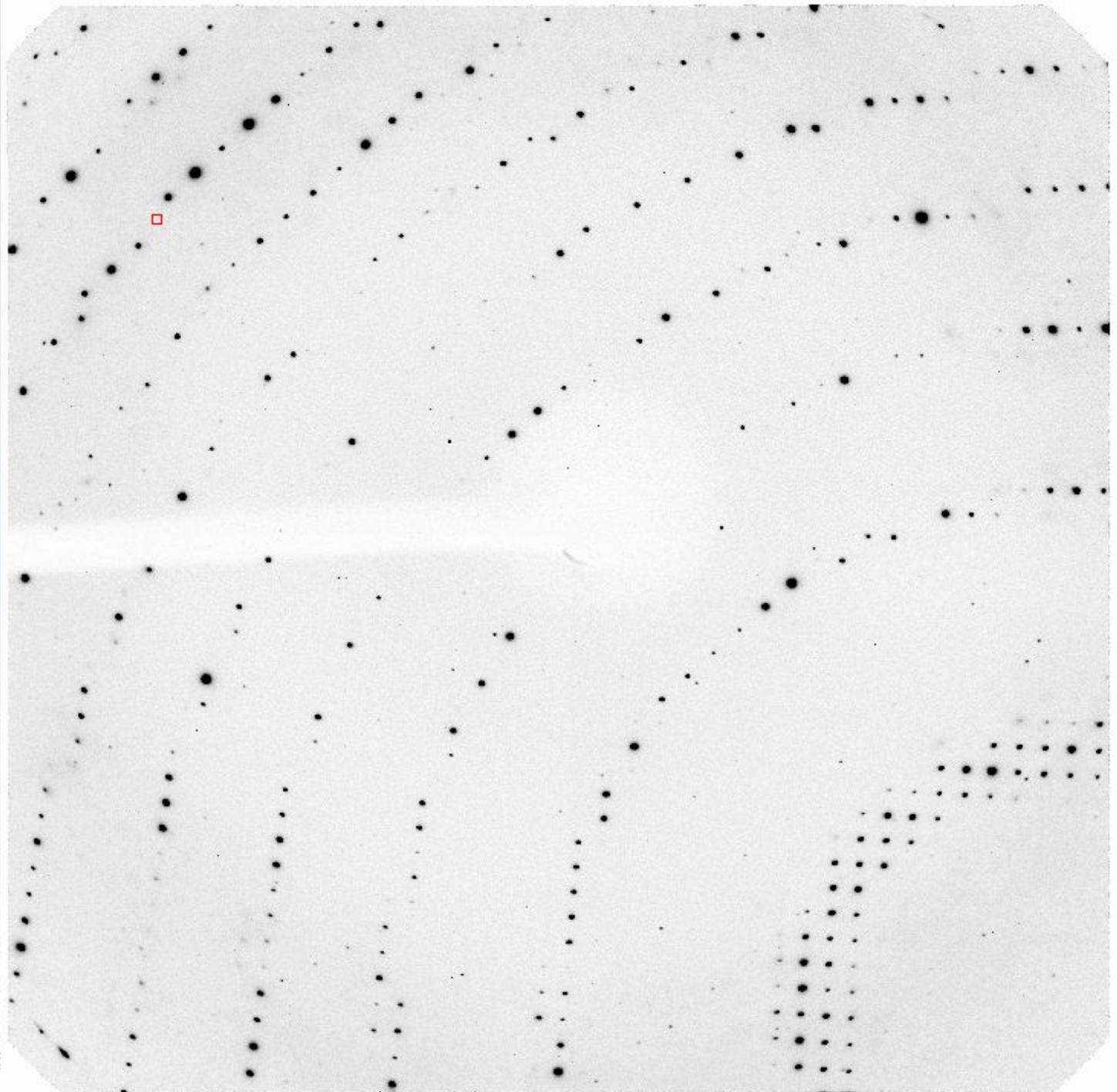
Rotation sweeps out a strangely-shaped volume. However...

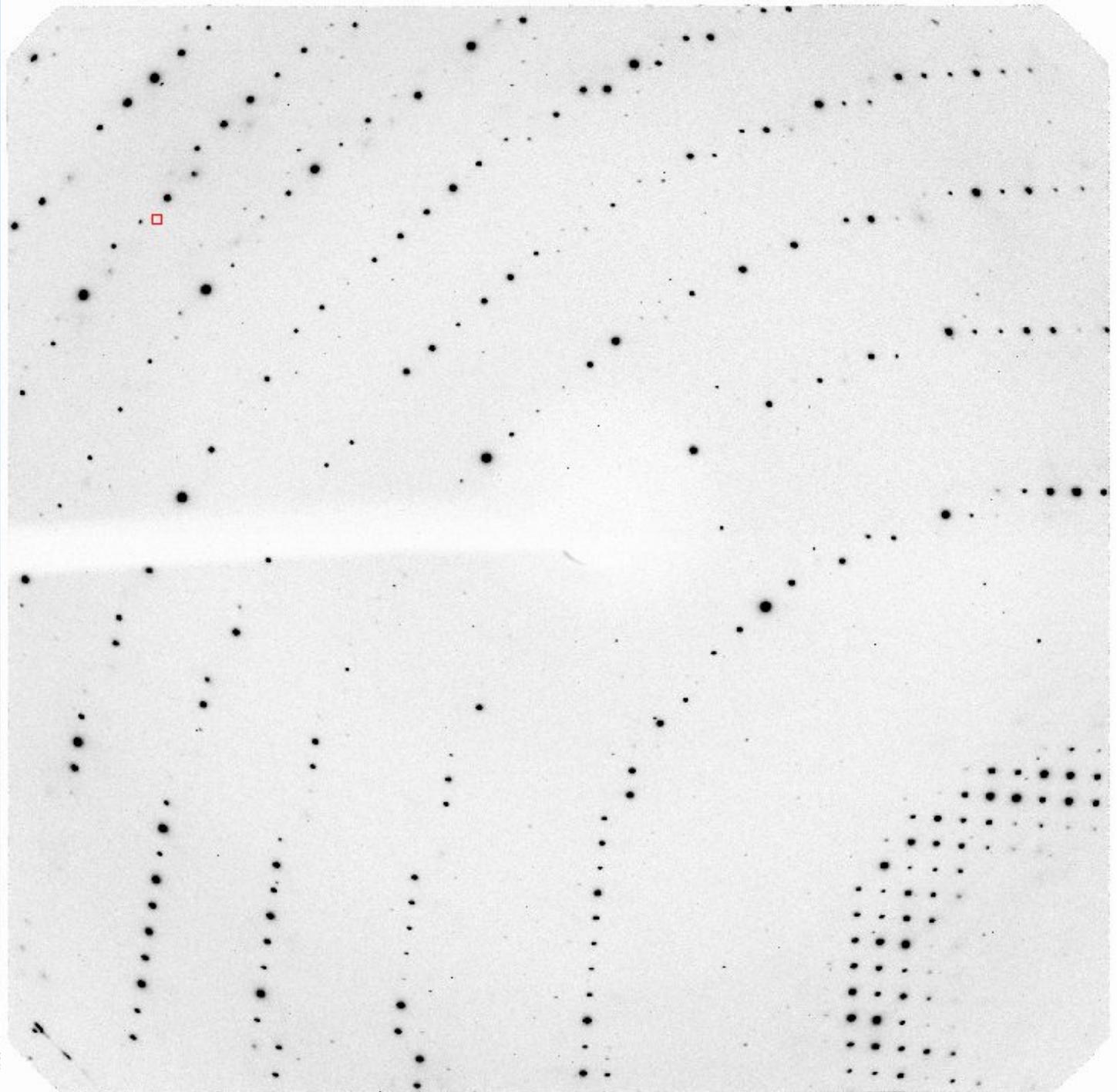
- Many r.l. points will be recorded during a single short rotation.
- Contiguous rotations will cover much of the reciprocal lattice.
- The “camera” is simple: an axis, a film, and a shutter.
- It’s easy to substitute a range of detectors.

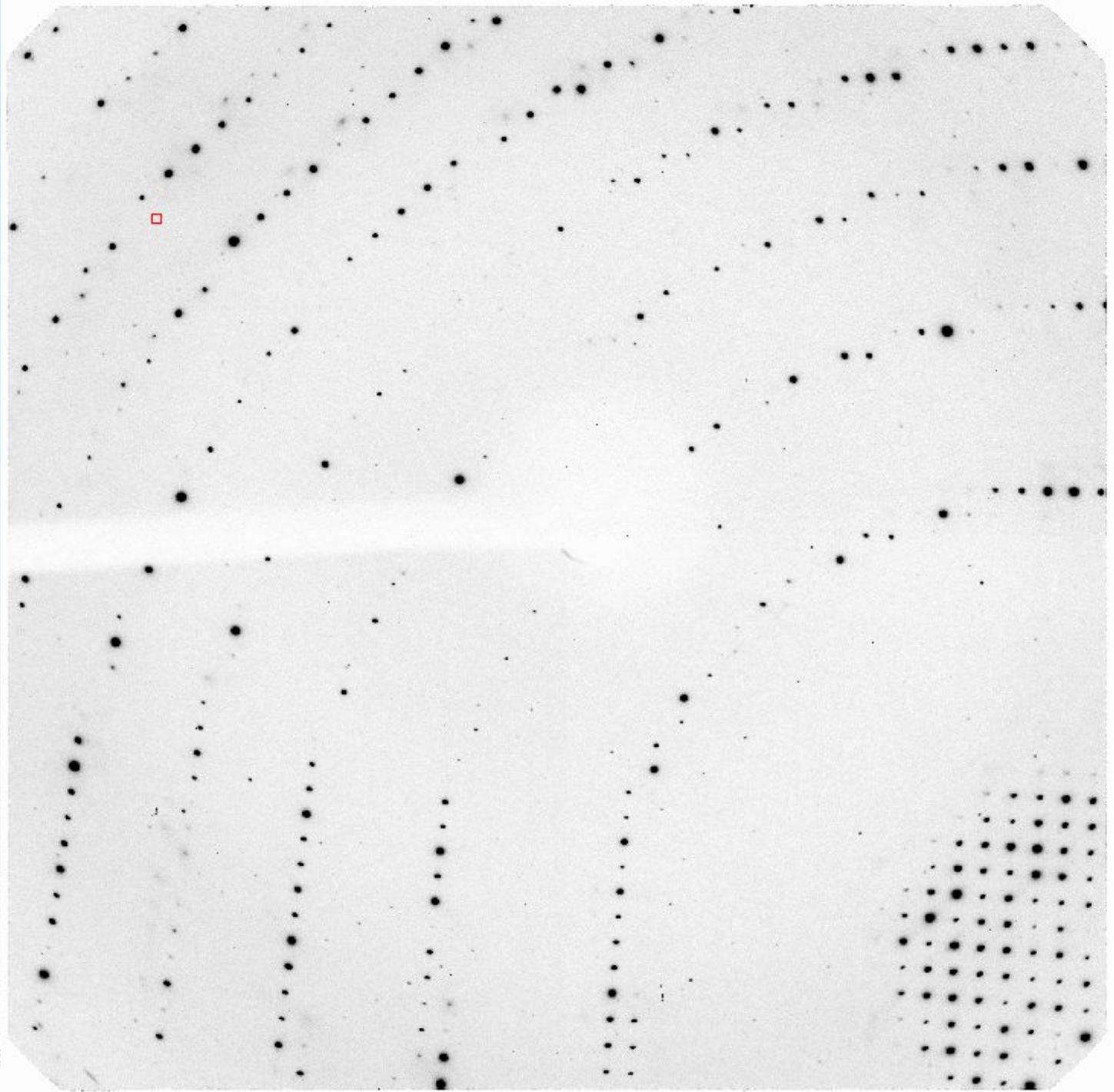


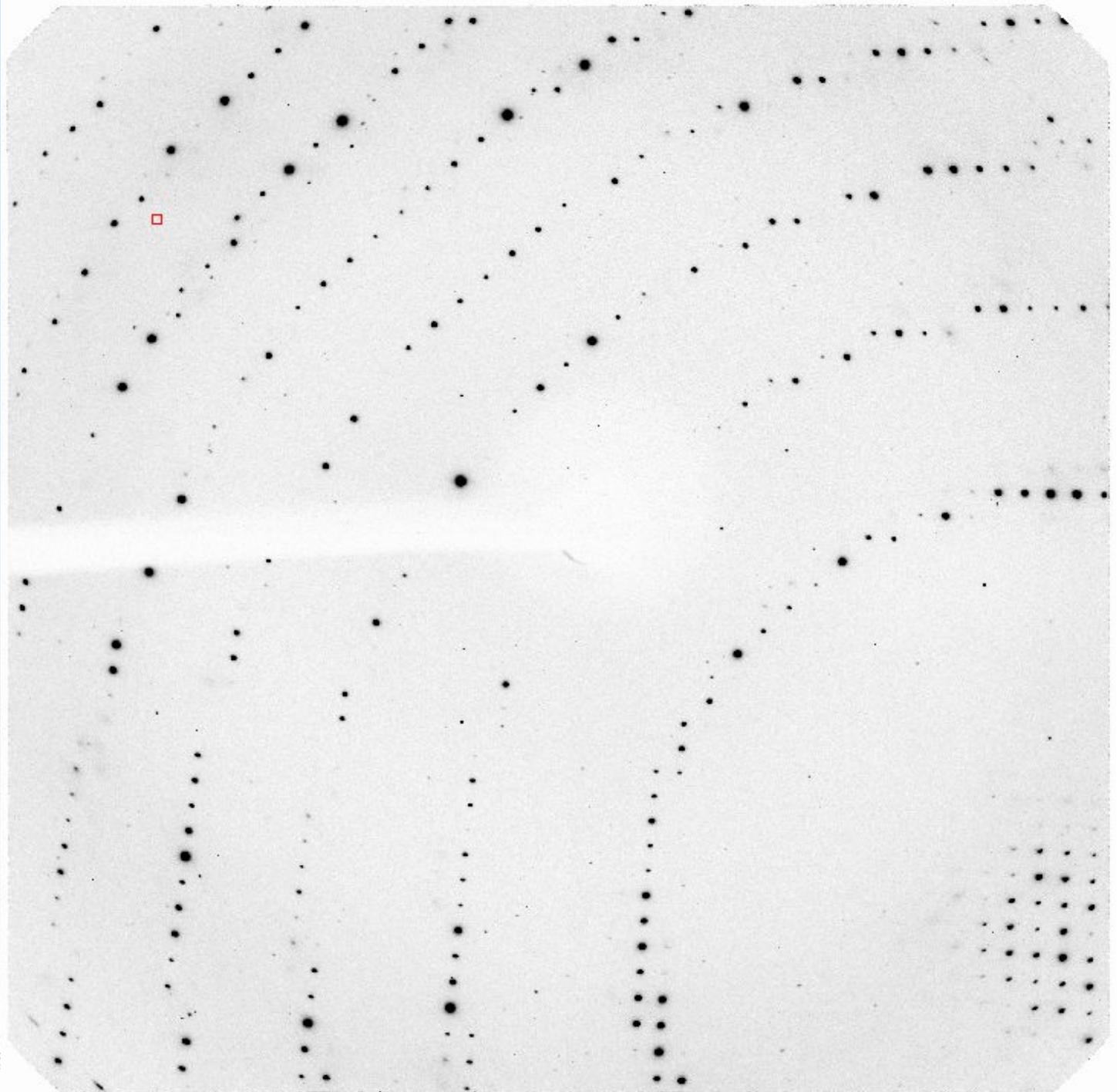
Let's look at a series of images from a CCD-based detector, each representing one degree of crystal rotation

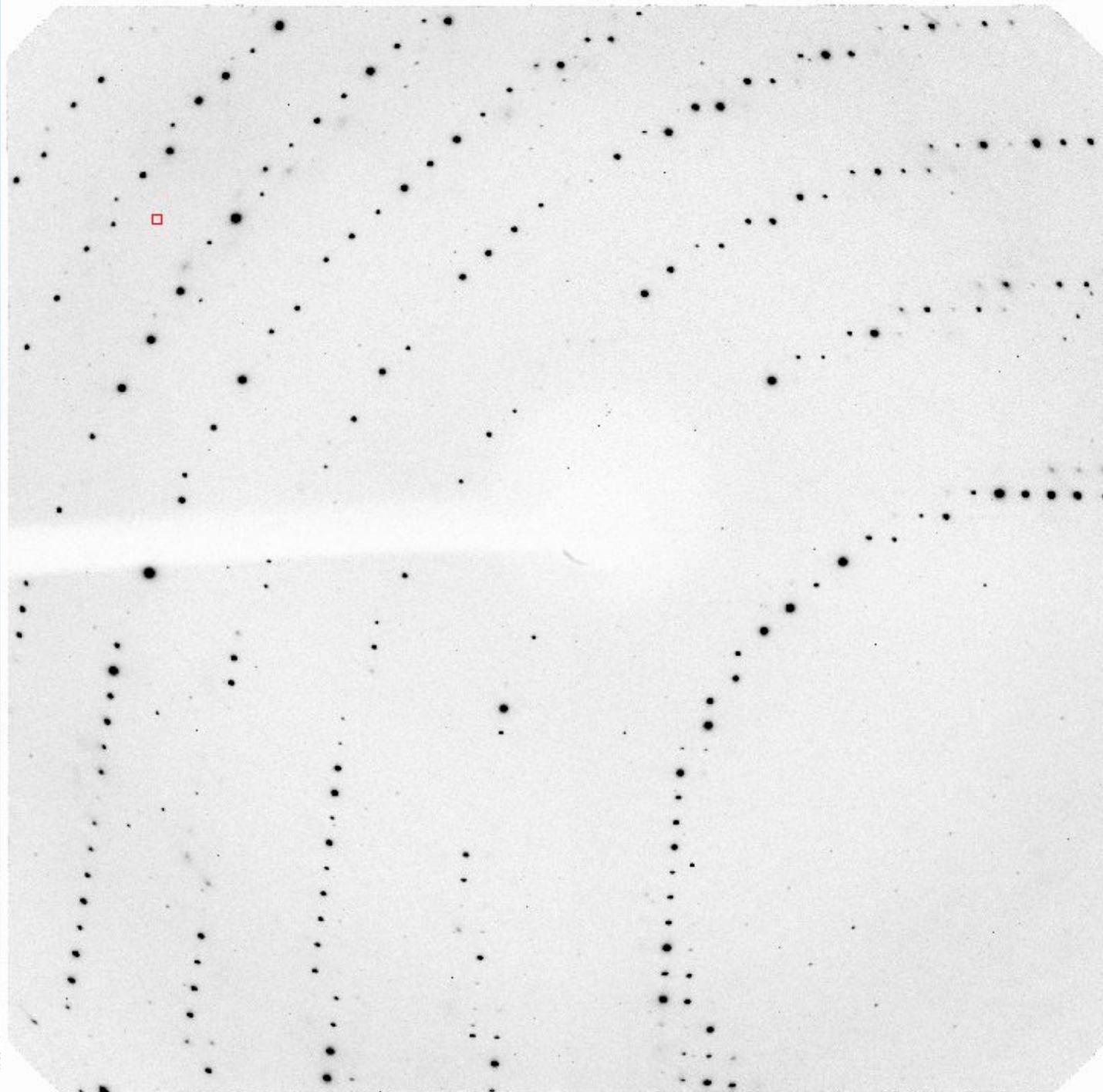


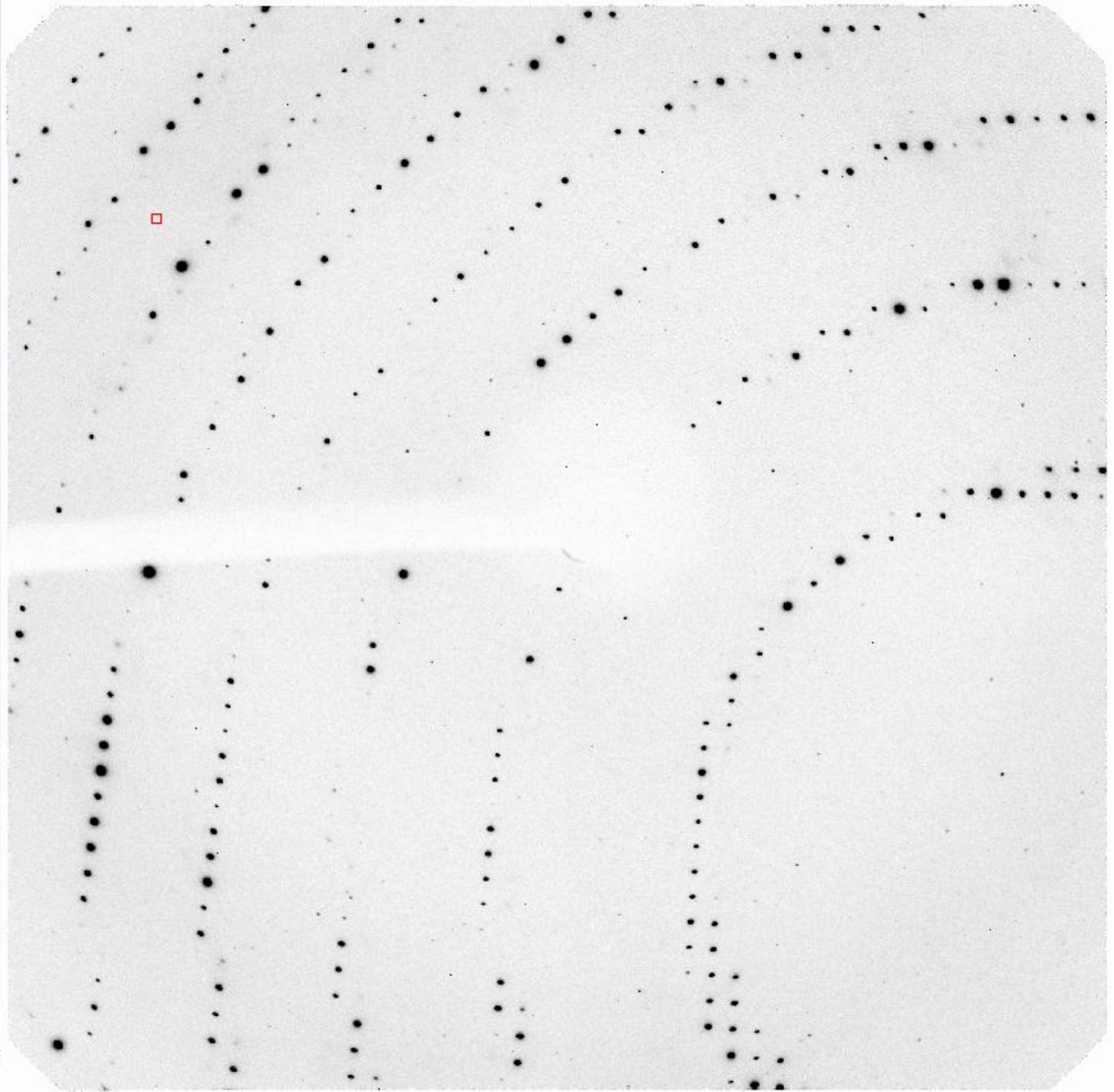


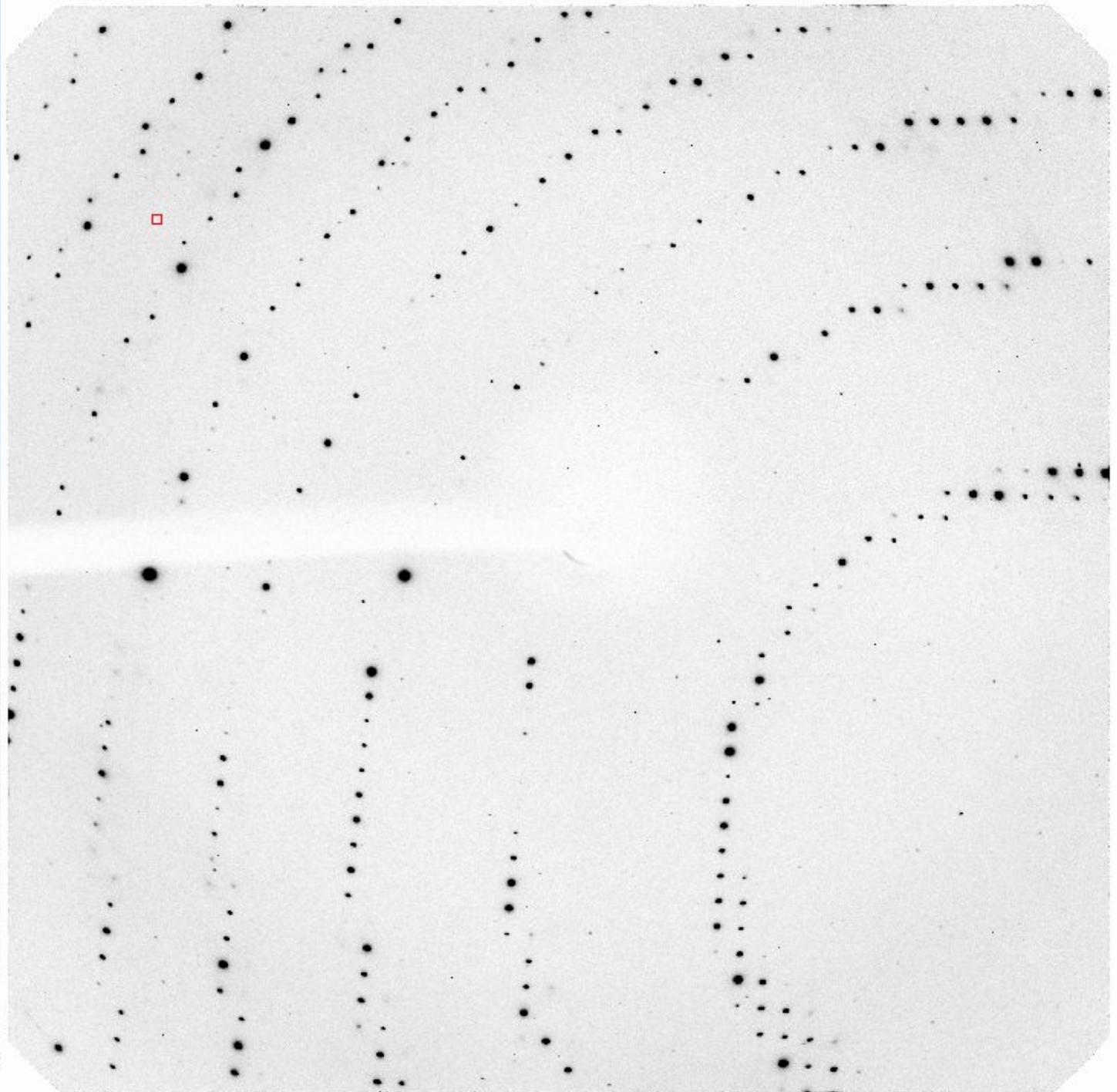


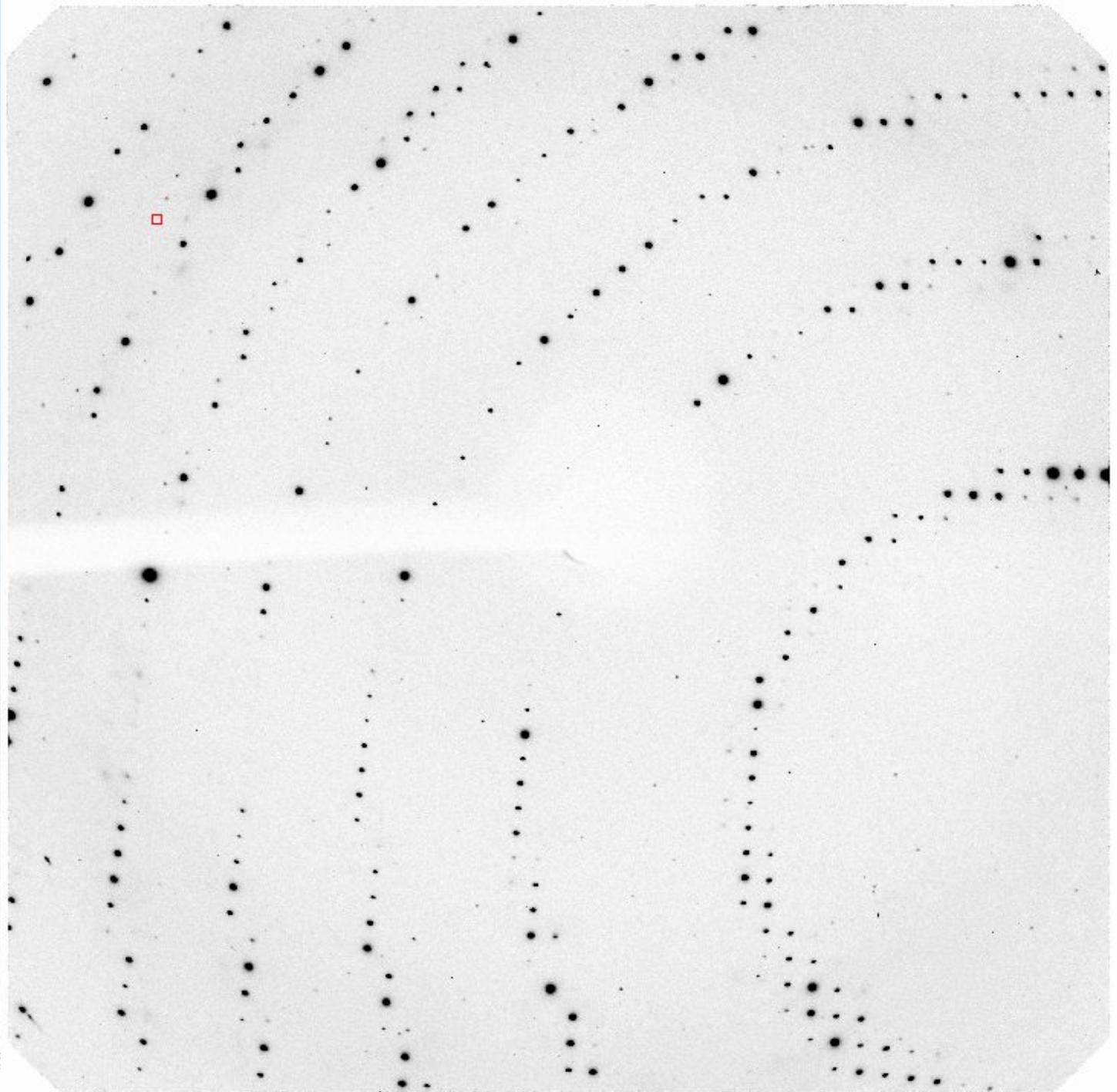


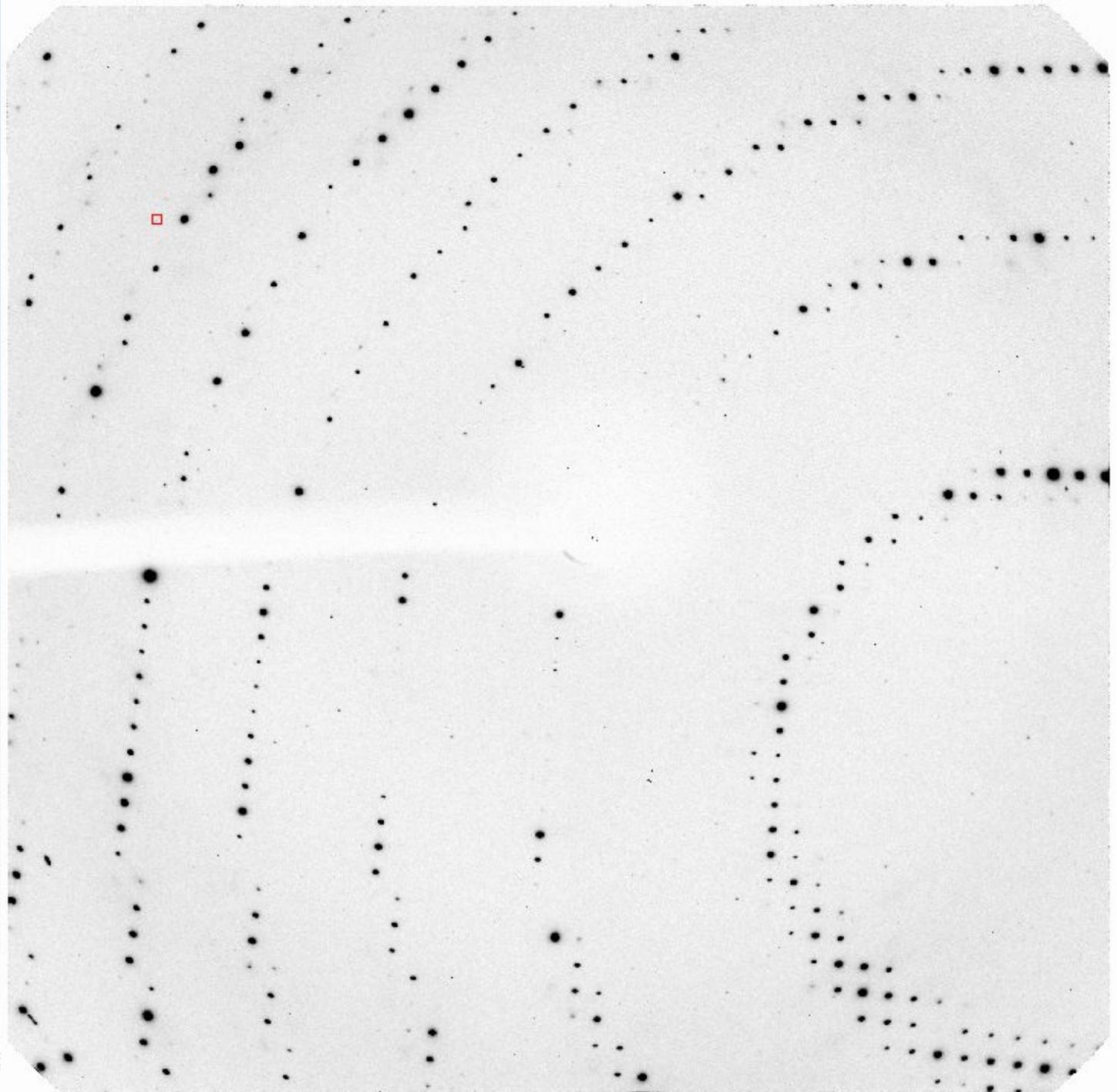


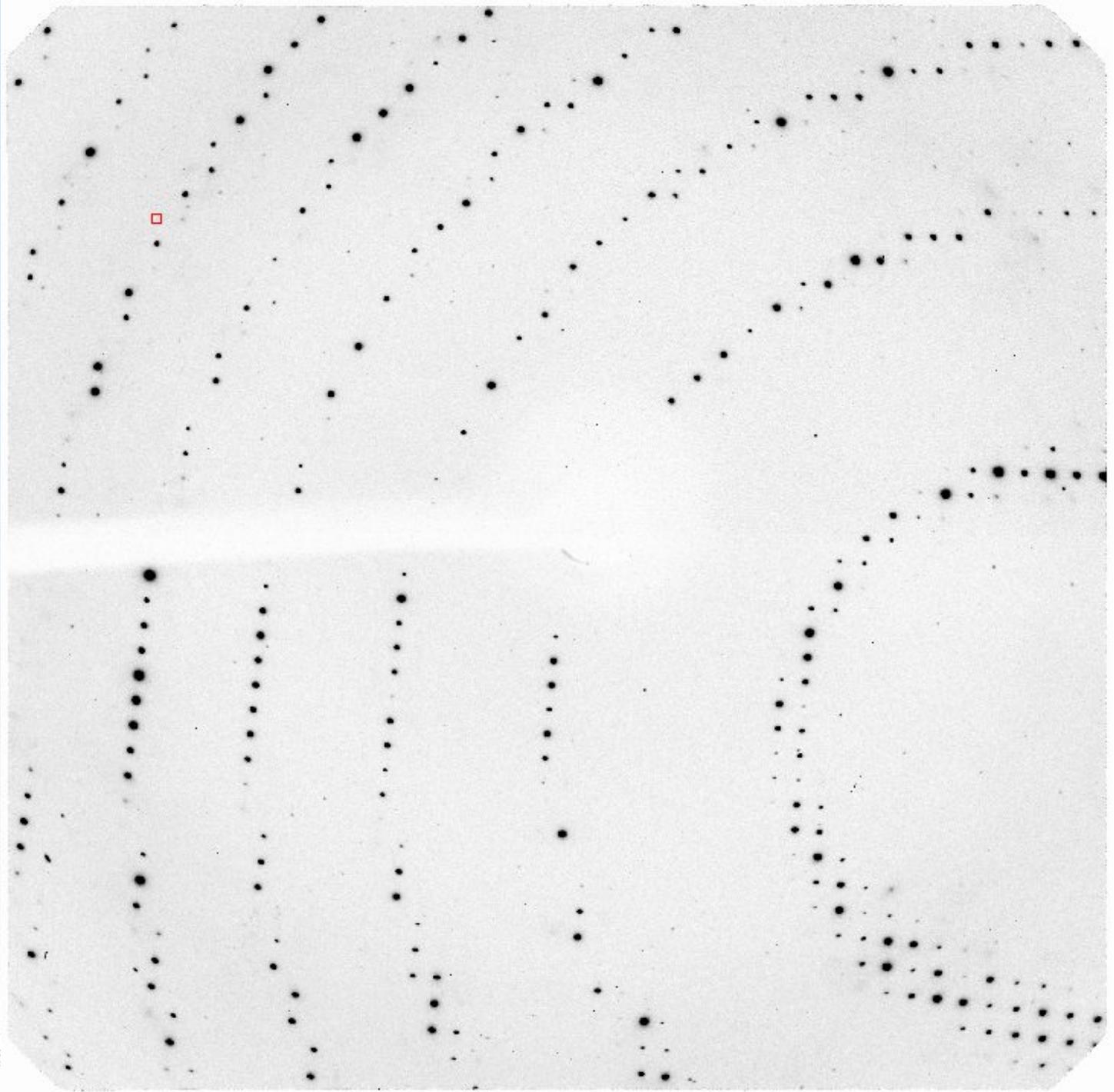


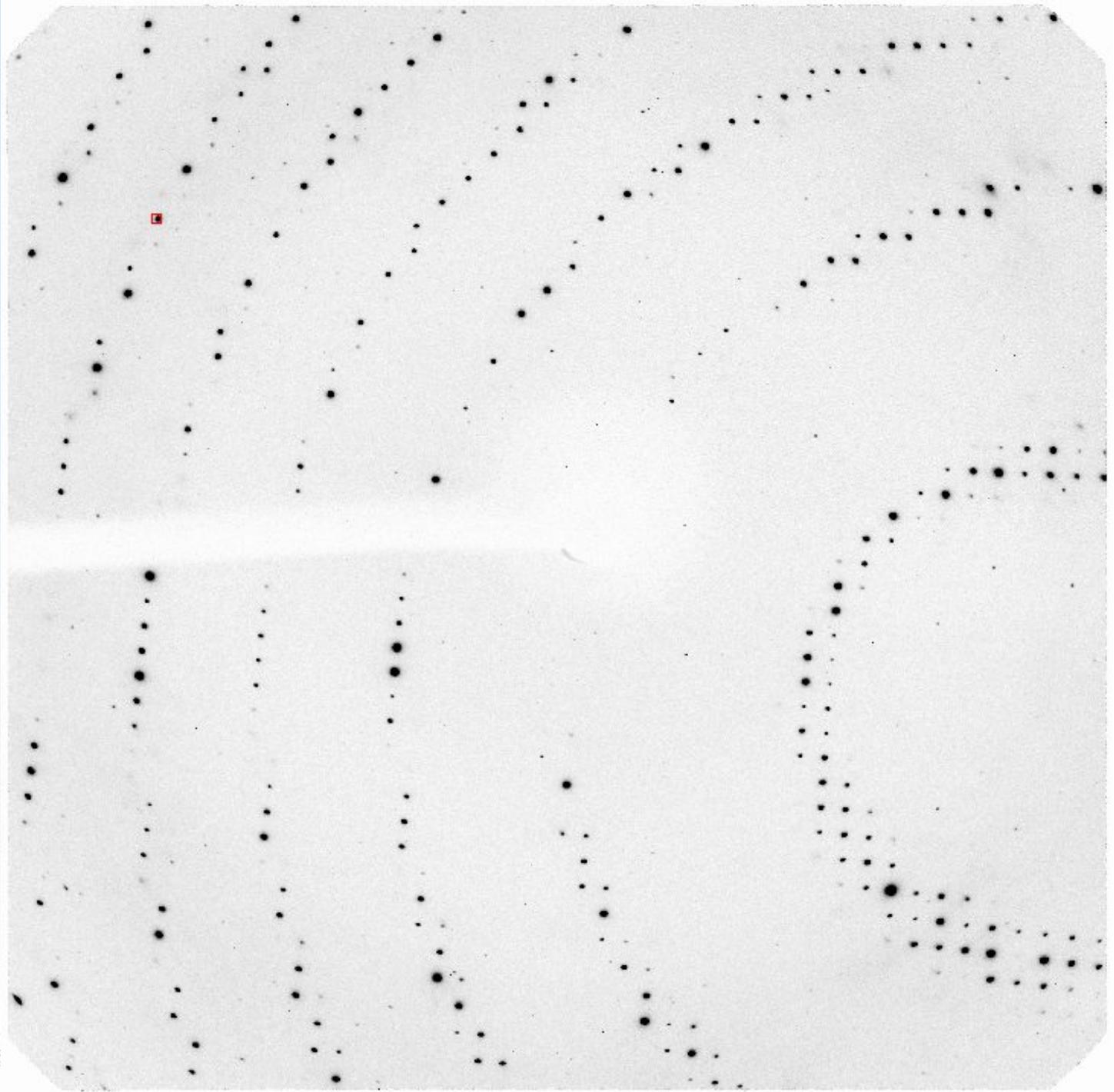


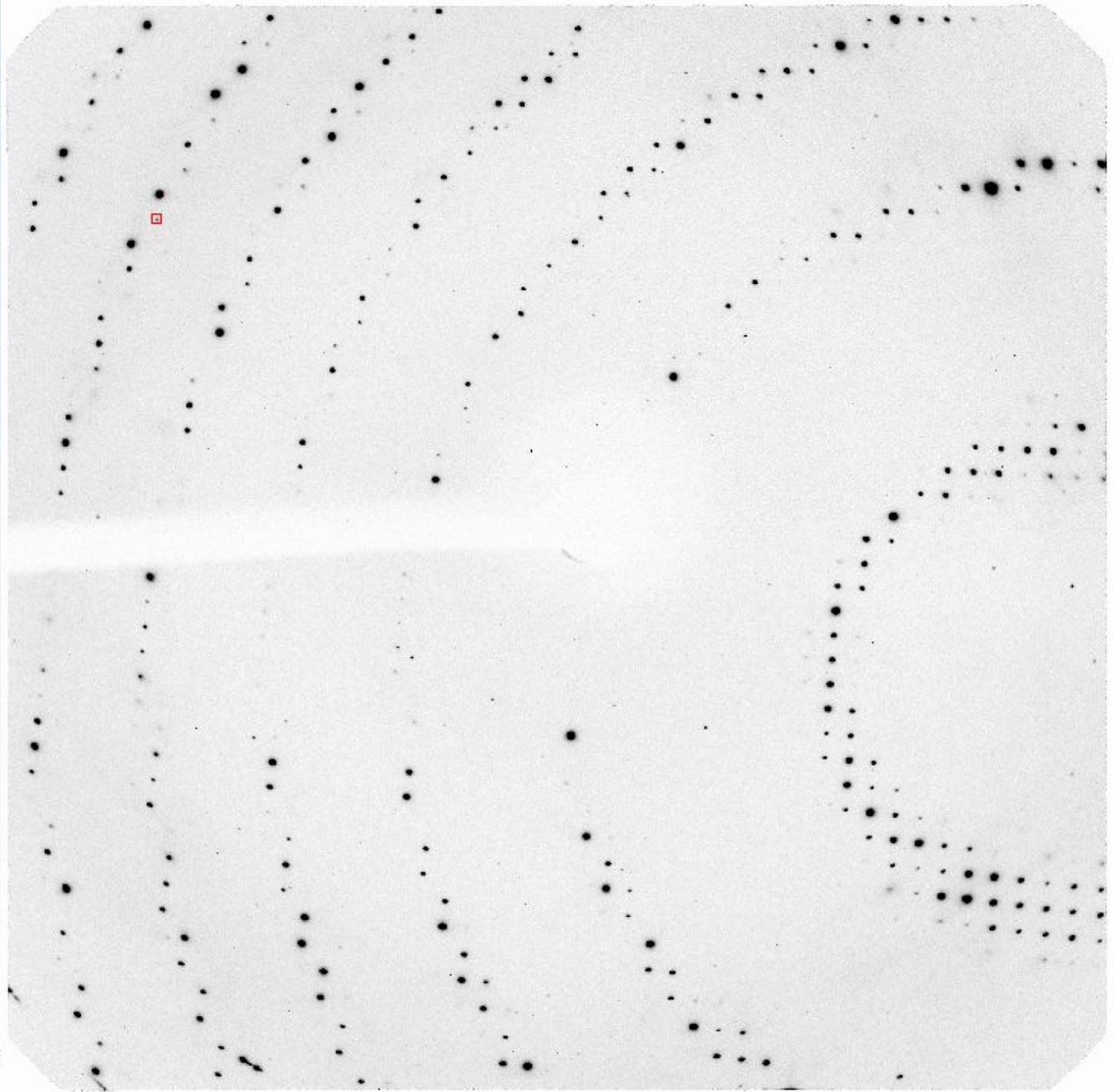


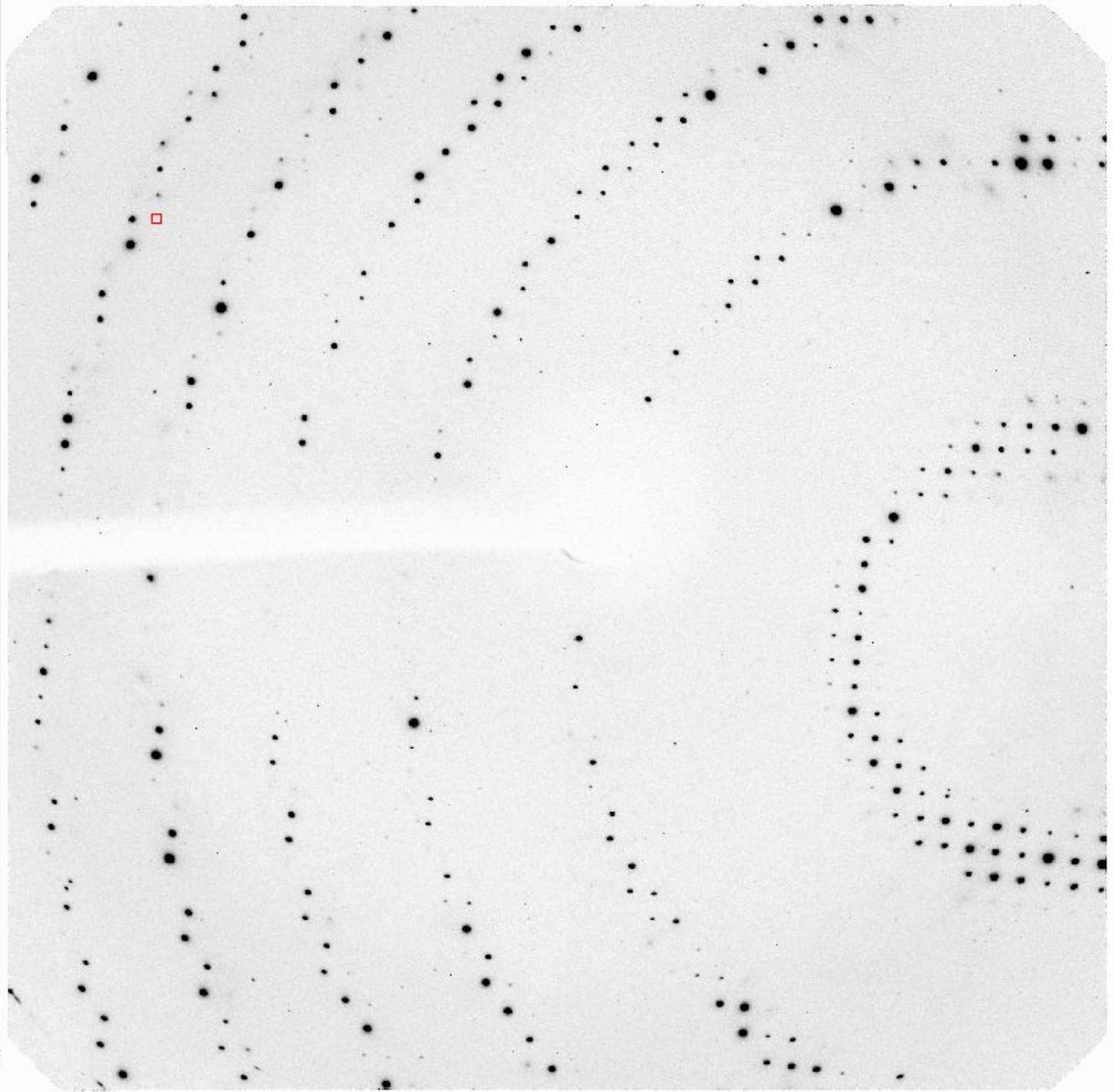


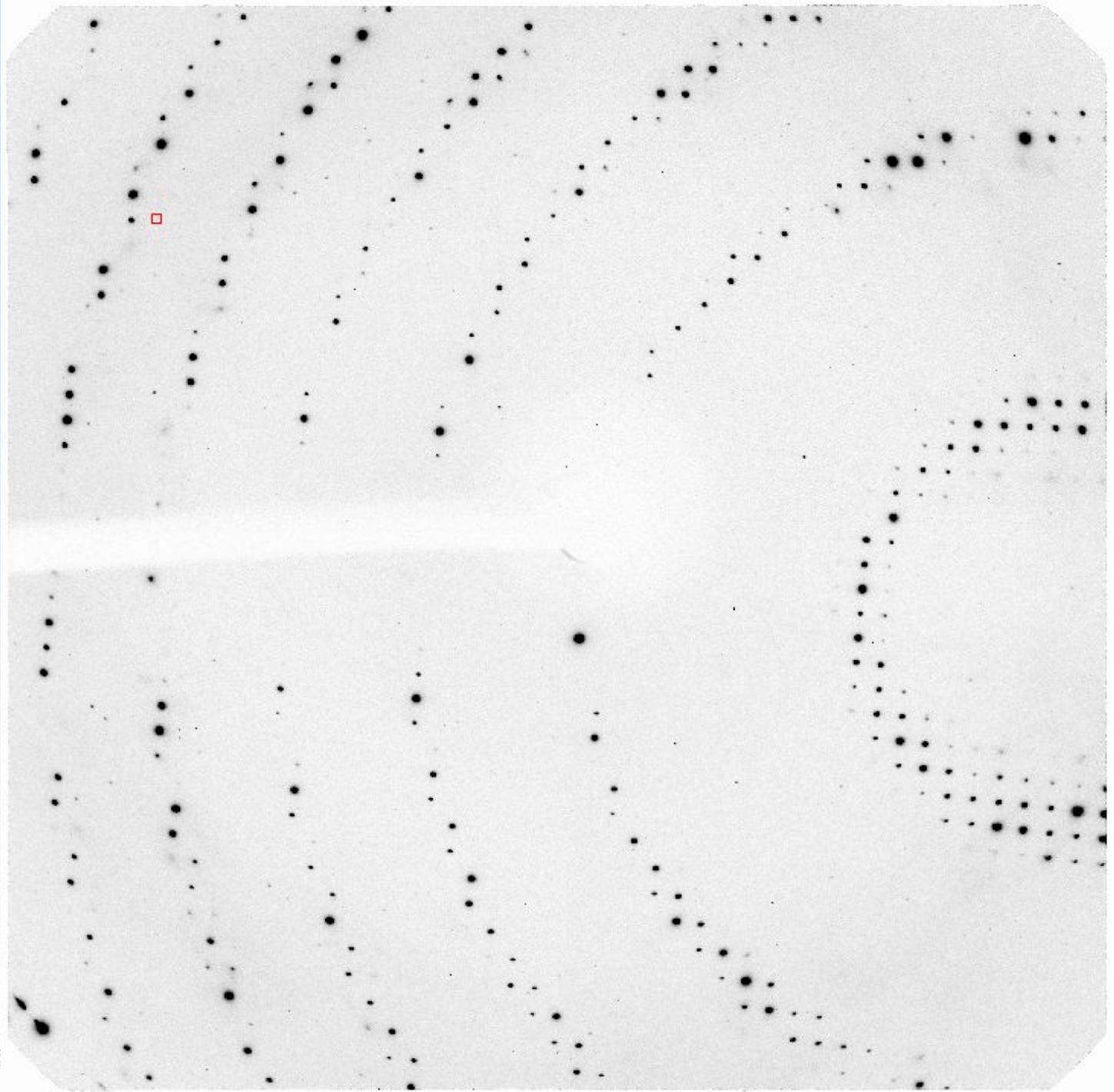


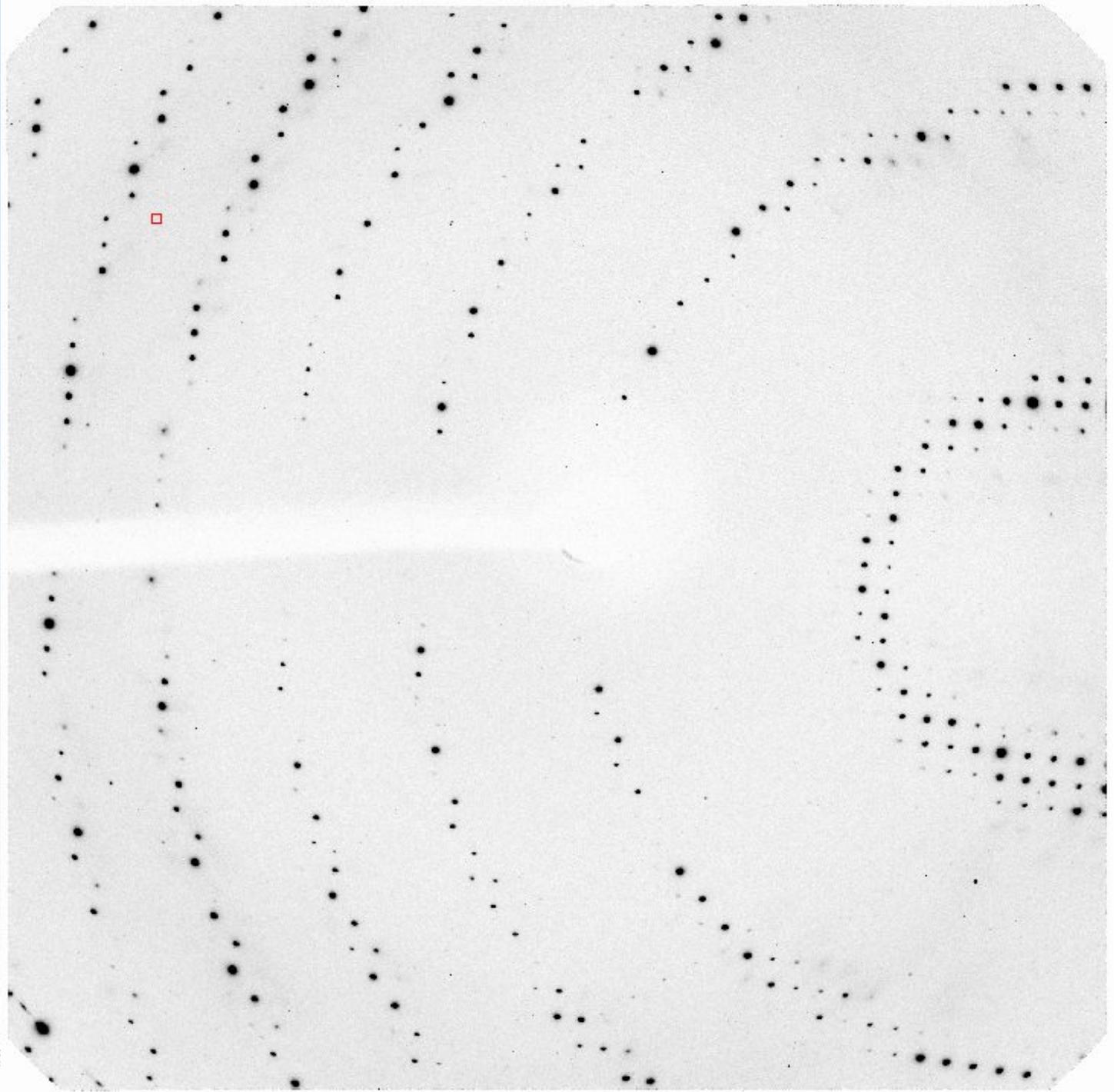


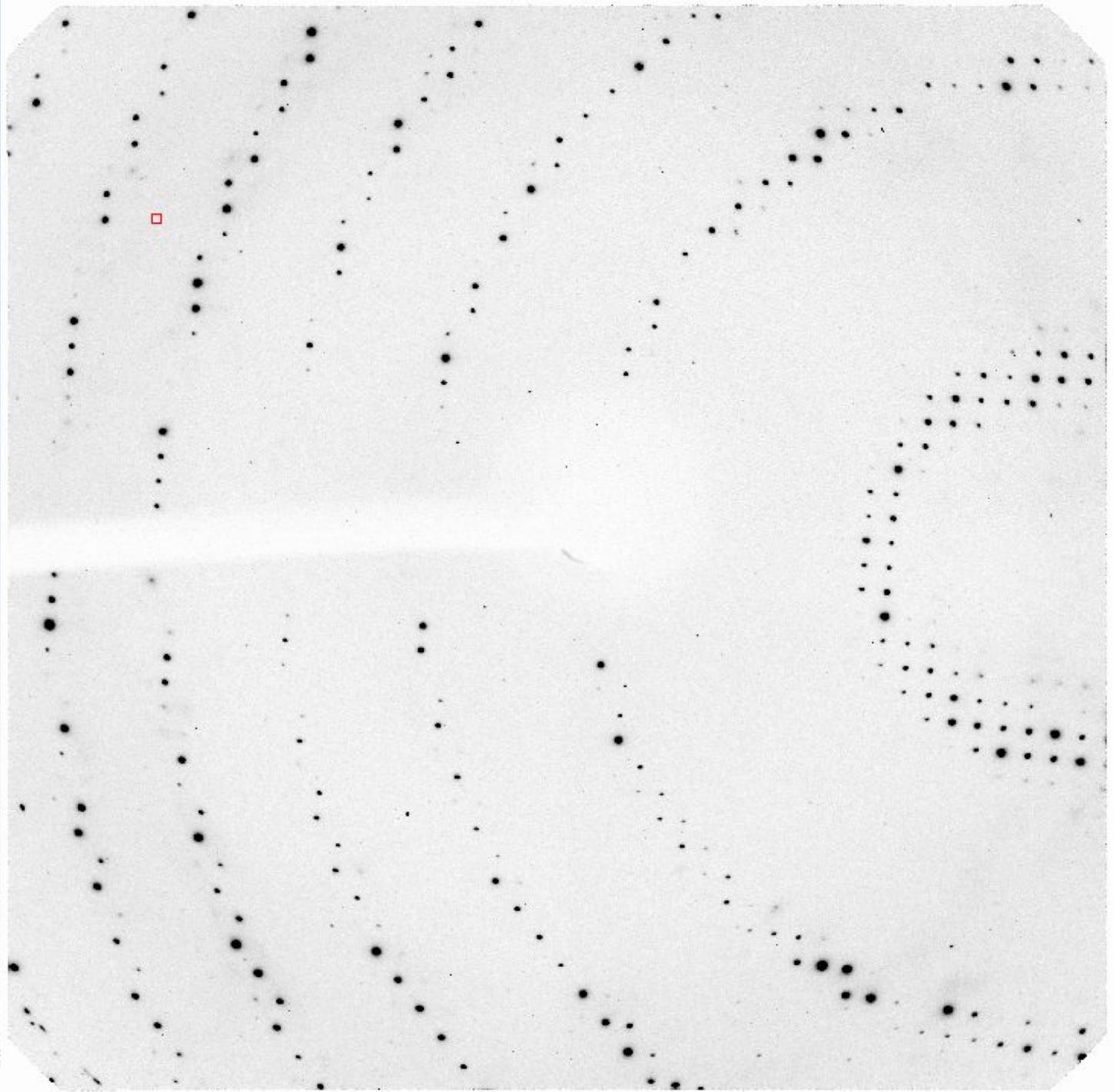


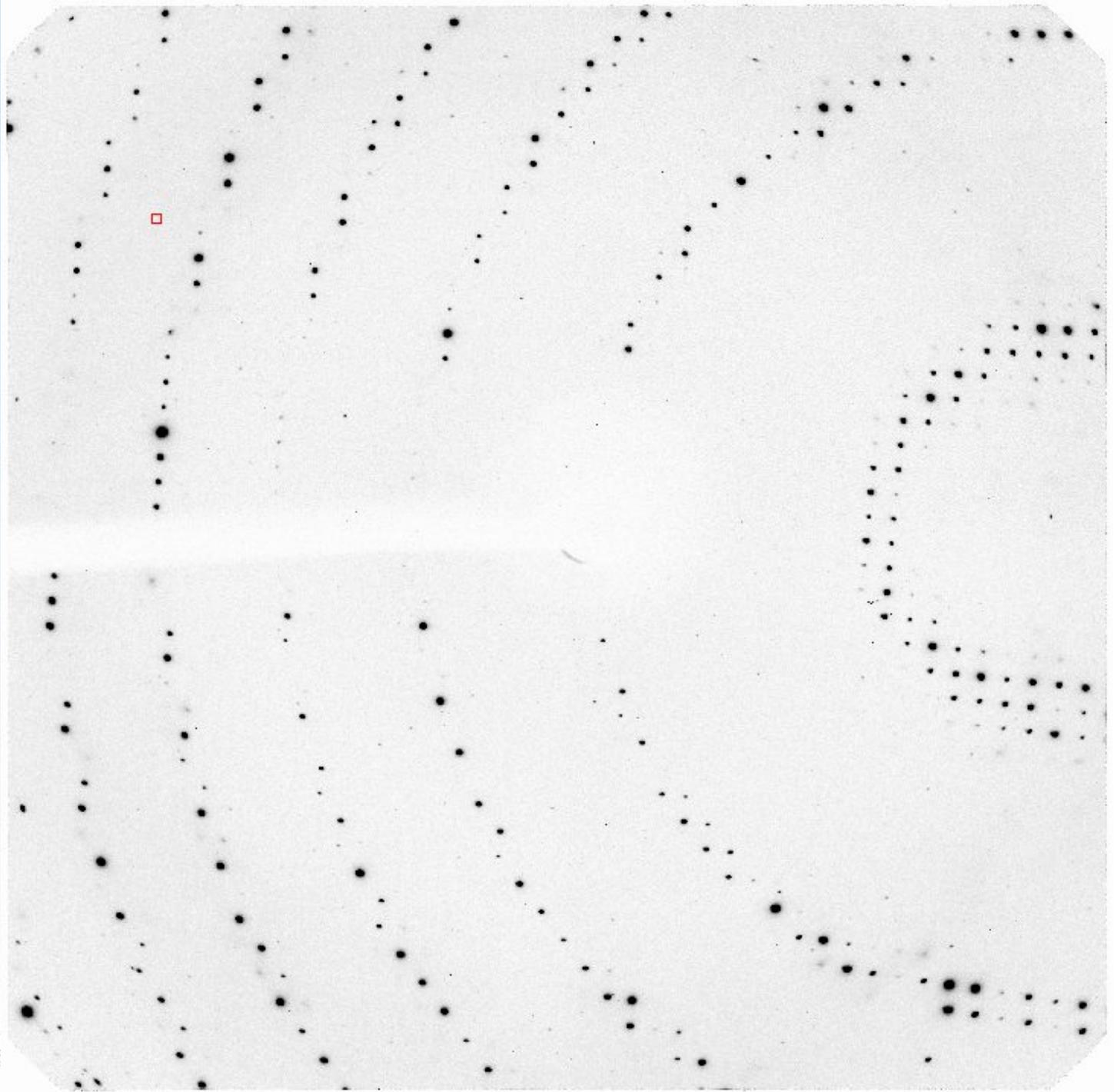


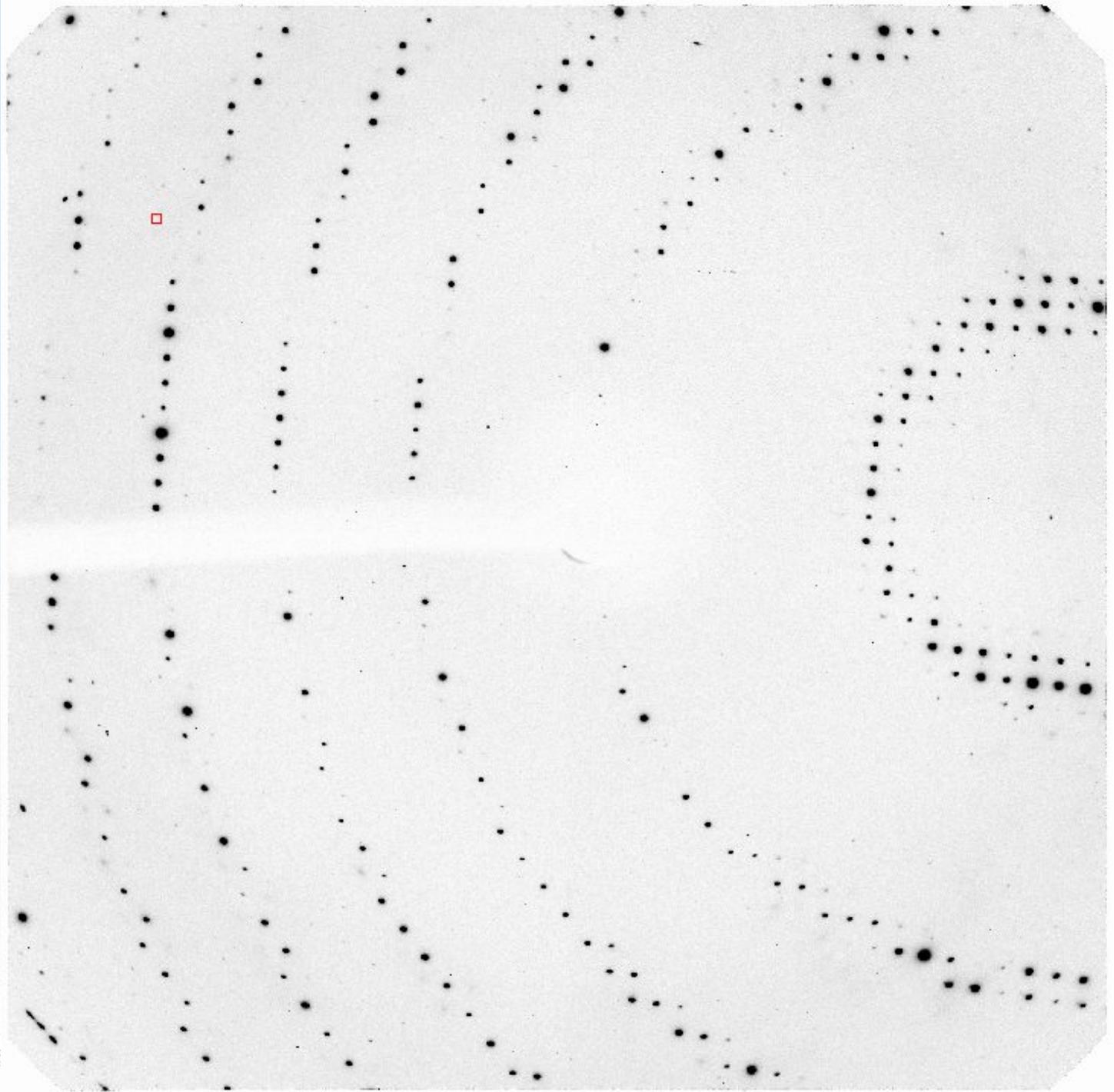


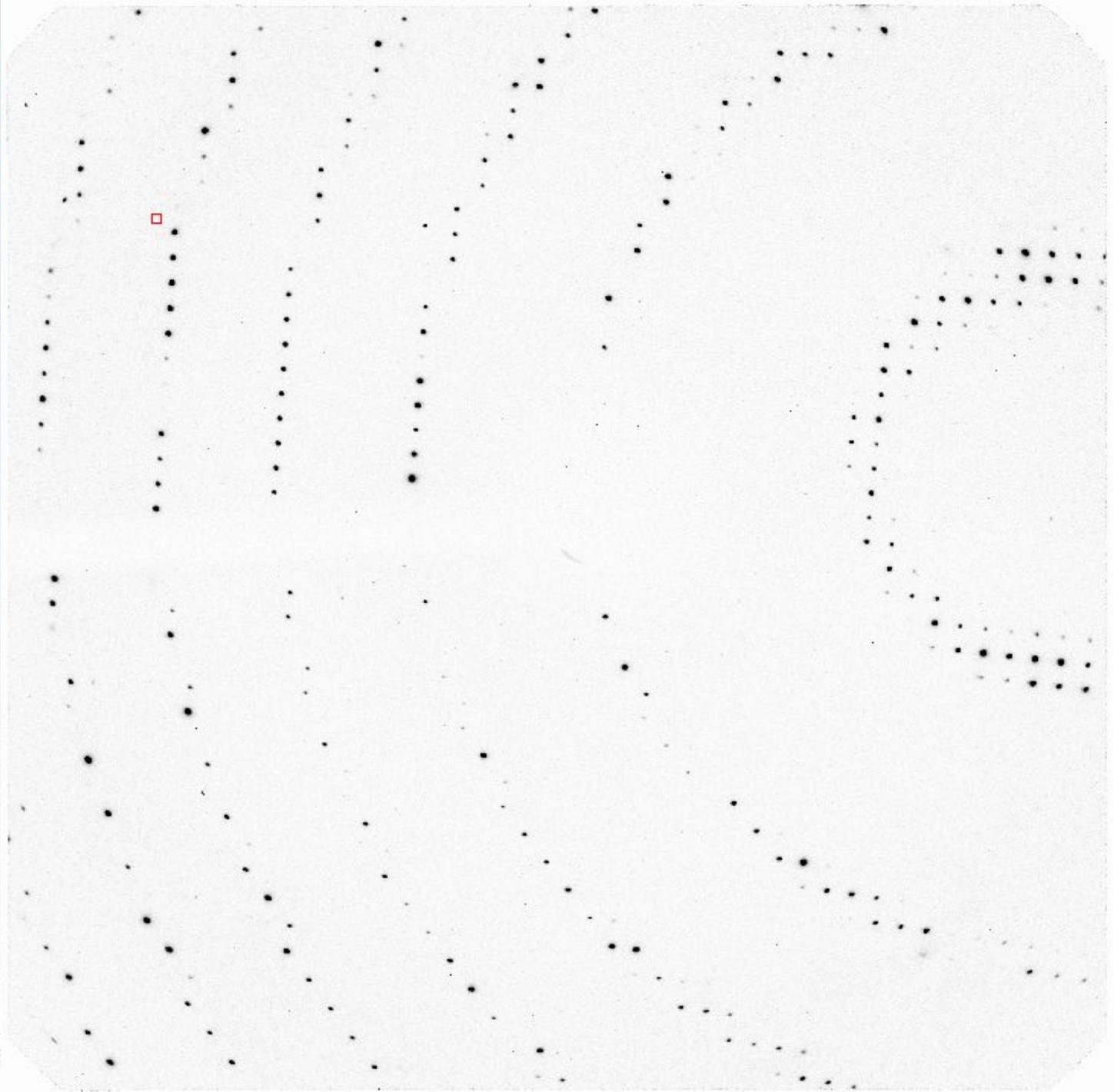








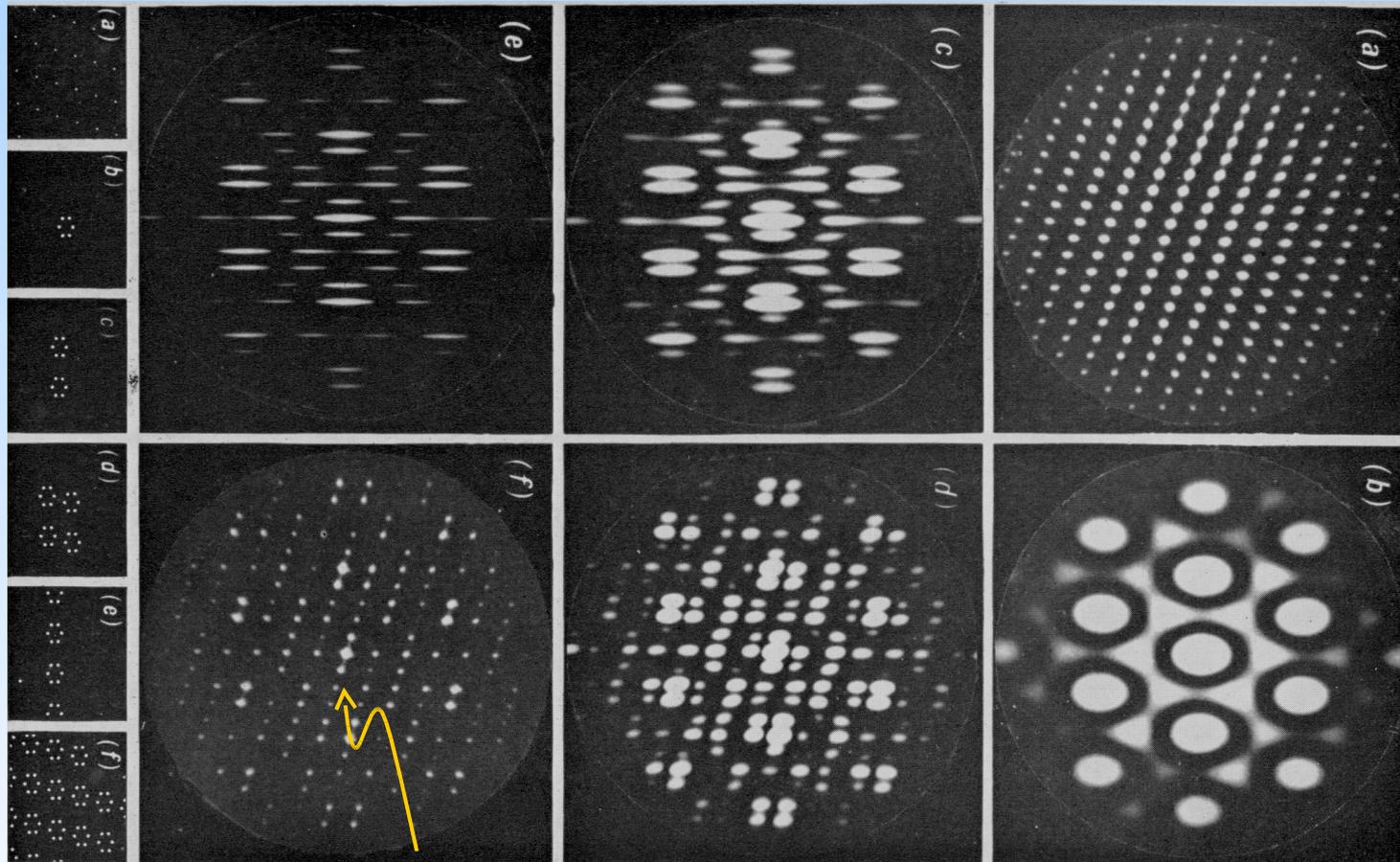




Outline for the Lecture

- Remind you how much you already know -- lenses, crystals
- Show why crystals give diffraction spots.
- Develop the idea of “The Reciprocal Lattice”
- Give some idea how we might actually measure diffraction data
- **Show how, given a crystal, we can calculate the diffraction pattern**
- Conversely, show how to calculate the structure from the diffraction
- Describe the importance of symmetry to diffraction
- Outline the structure-solving methods -- heavy atoms and MADness

Now we use the Taylor and Lipson figures to see how the **contents** of the crystal relate to the diffraction pattern.



crystal

Coordinates of points are "indices."

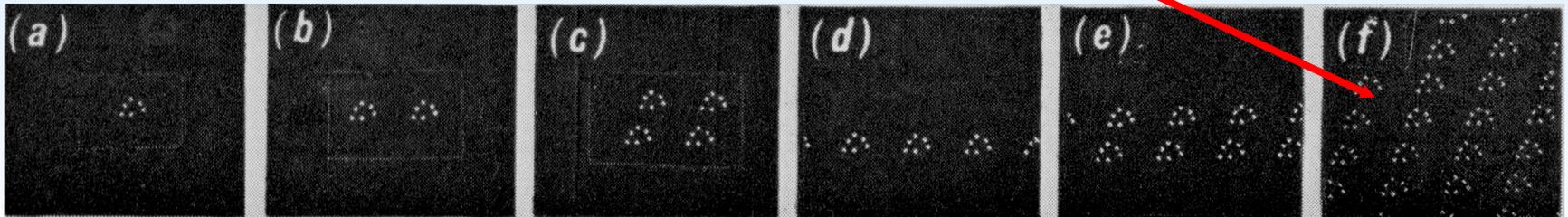
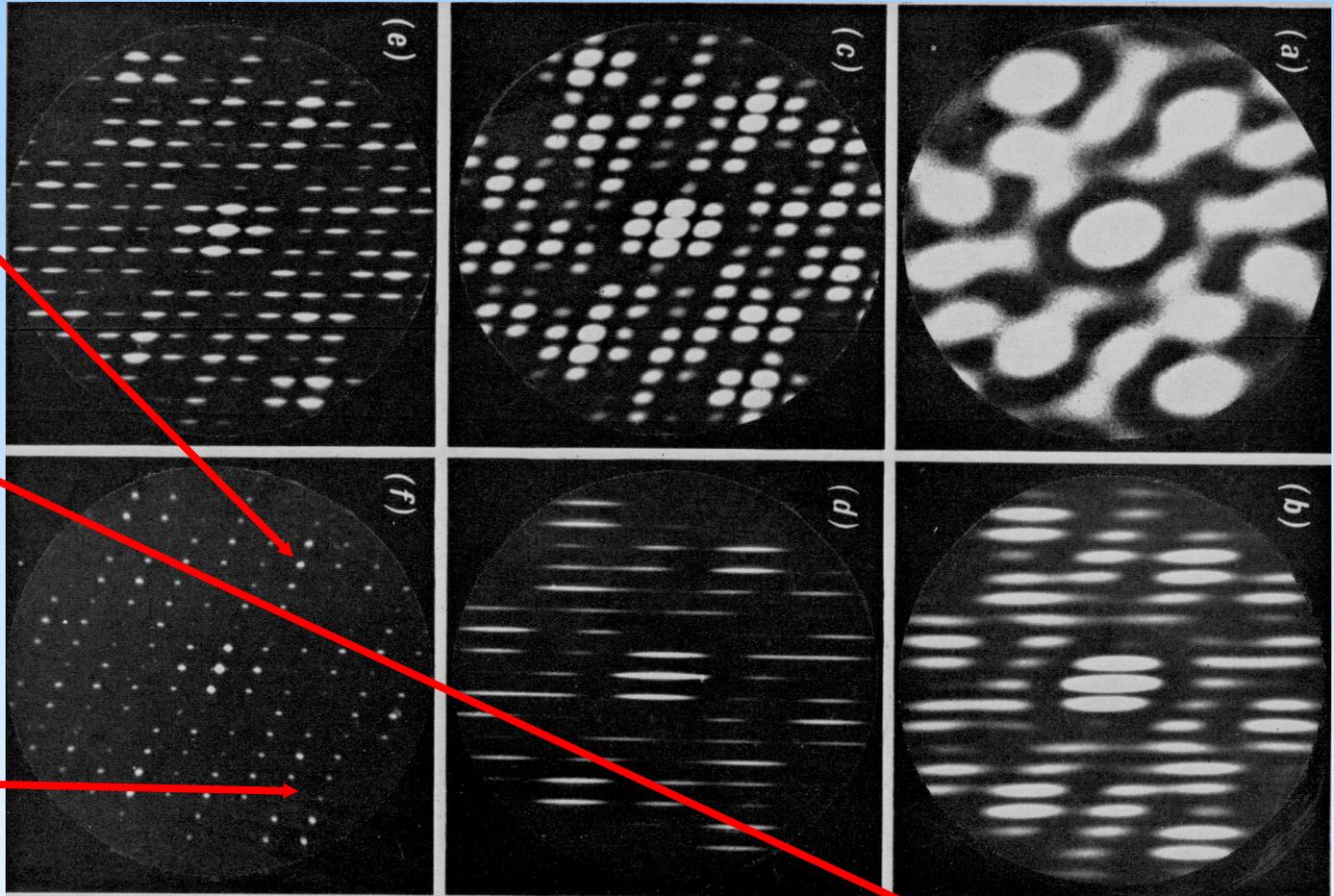
diffraction

Notice (1) The symmetry, and (2) how the continuous diffraction pattern of one molecule (b) is "sampled" by the lattice of diffraction points.

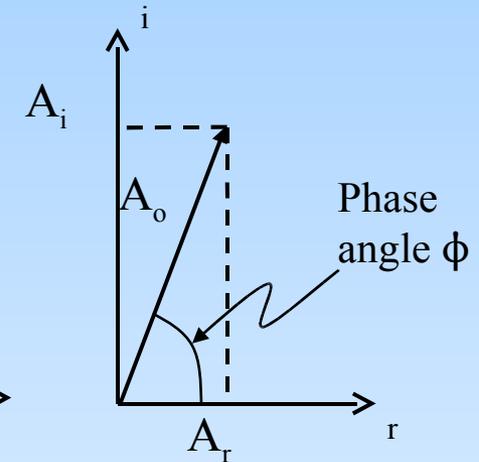
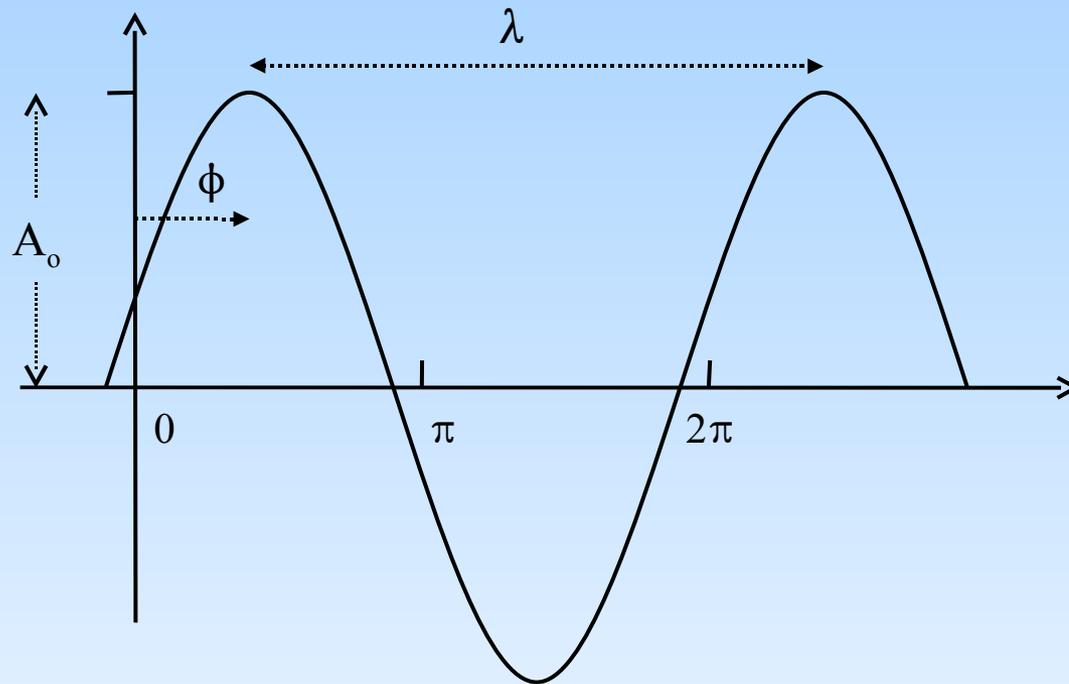
Here's another (2D) example with an asymmetric motif

Each spot represents the intensity of reflection from one set of planes cutting through the crystal

Note the inversion symmetry



Remember that we can use an x/y graph to represent the phase and amplitude of a wave:

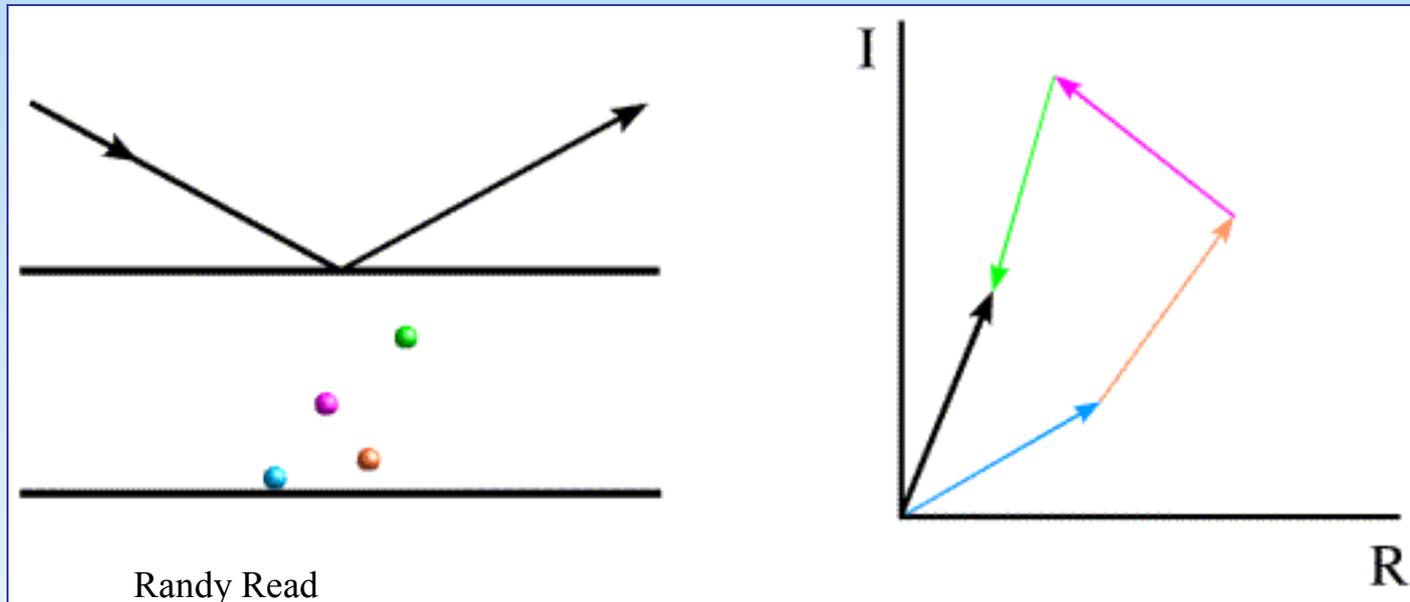


And then we describe the “wave” as a complex number:

$$\mathbf{f} = A_0 \{ \cos\phi + i \sin\phi \} \quad \text{and}$$

$$\mathbf{f} = A_0 e^{i\phi}$$

- The **amplitude** of scattering depends on the number of electrons on each atom.
- The **phase** depends on the fractional distance it lies from the lattice plane.



Scattering from
lattice planes

Atomic structure factors
add as **complex numbers**,
or **vectors**.

We can write an expression to describe this diffraction from atoms in a crystal

The scattering **amplitude** (the structure factor) for an individual atom is going to be:

$$f_{hkl} = f_j \exp[2\pi i(hx_j + ky_j + lz_j)]$$

The hkl describe the Bragg Planes

The scattering power of the atom, ~ the number of electrons

The 2π and the fractional coordinates x_j take care of the phase angle

And the **structure factor** for a crystal of atoms will be:

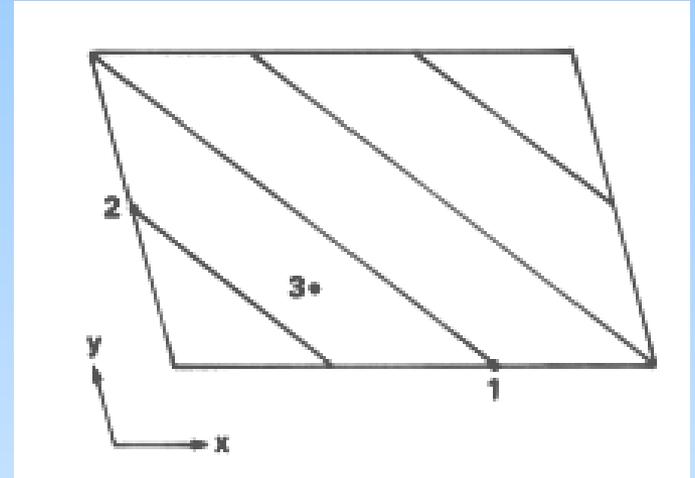
$$F_{hkl} = \sum_{\text{atoms}} f_j \exp[2\pi i(hx_j + ky_j + lz_j)]$$

The strength of scattering from each atom

Does this expression for the Structure Factor make sense?

Try it with an example: a crystal with three atoms. What are the phases of scattering from each atom? Use this

$$f_{hkl} = f_j \exp[2\pi i(hx_j + ky_j + lz_j)]$$



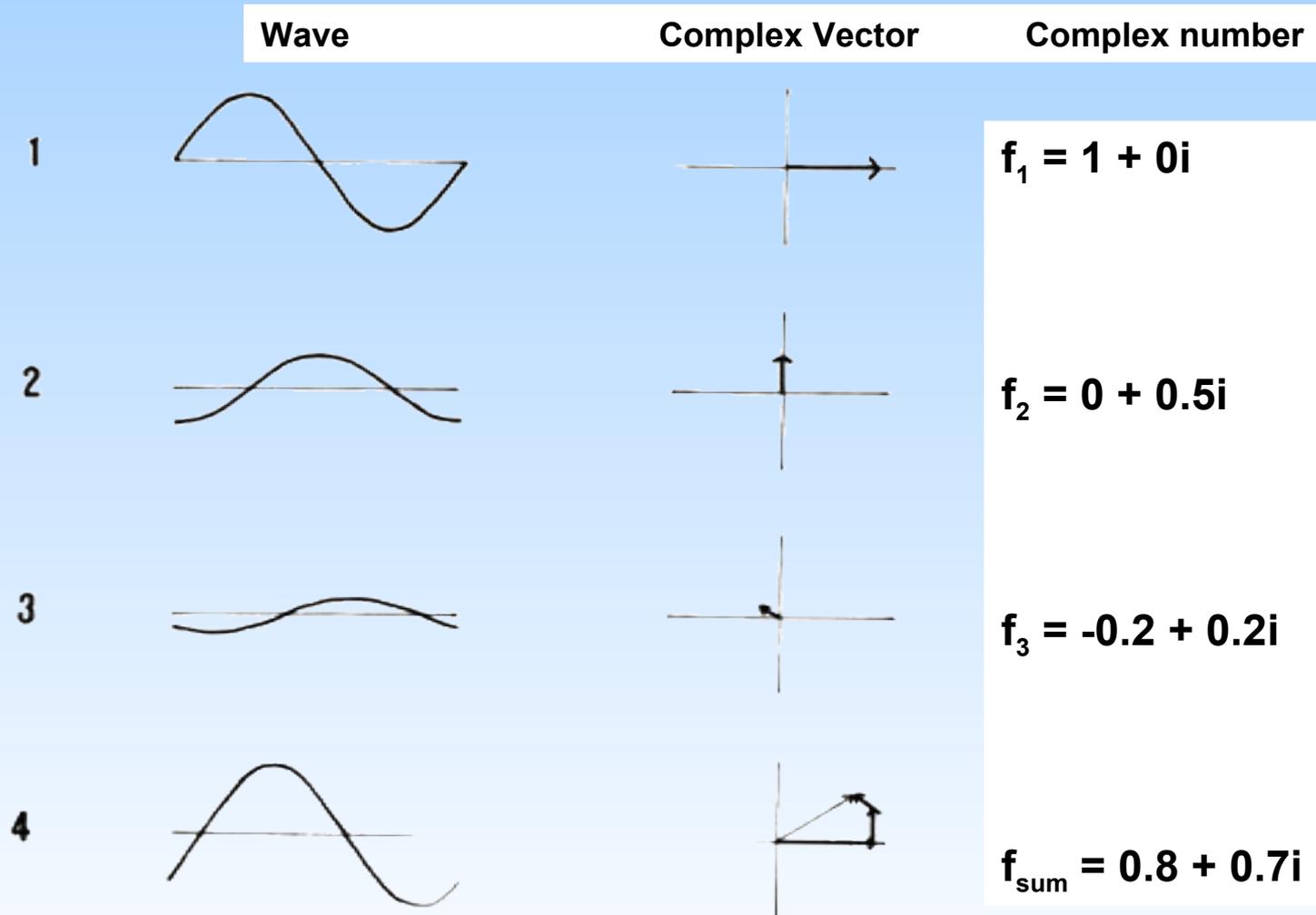
For these planes, $(h, k) = (3, 2)$

For atom 1. $x, y = 2/3, 0$: So $2\pi(hx + ky) = 2\pi(3 \times 2/3 + 2 \times 0) = 4\pi = 0$
The atom is on the plane, so this makes sense.

For atom 2. $x, y = 0, 1/2$: So $2\pi(hx + ky) = 2\pi(3 \times 0 + 2 \times 1/2) = 2\pi = 0$
Again, the atom is on the plane, so this makes sense.

For atom 3. $x, y = 1/3, 1/4$: So $2\pi(hx + ky) = 2\pi(3 \times 1/3 + 2 \times 1/4) = 3\pi = \pi$
The atom lies half-way between two planes, so this makes sense.

We can see how the structure factors from individual atoms add up.



See also:

<http://www.yesbl.york.ac.uk/~cowtan/>

[sfapplet/sfintro.html](http://www.yesbl.york.ac.uk/~cowtan/sfapplet/sfintro.html)
[fourier/fourier.html](http://www.yesbl.york.ac.uk/~cowtan/fourier/fourier.html)

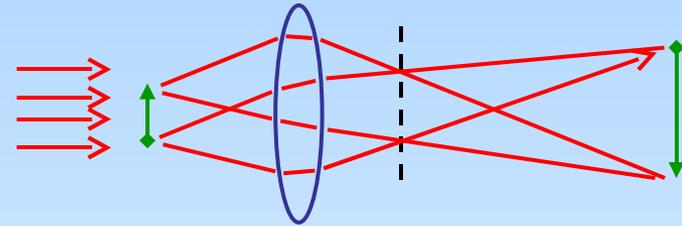
Structure Factor Tutorial
Book of Fourier

Outline for the Lecture

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- Describe the importance of symmetry to diffraction
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Q: How do we perform the second interference step in the functioning of the lens -- to **reconstruct the image** of the original object?

A: We will have to **calculate** it.



Q: How will we represent that object?

A: The **x-rays** are scattered from **electrons** in the atoms of the crystal.

Therefore: for us, the “**image**” is going to be a representation of the **electron density**.

The structure factor and the electron density function are **Fourier** inverses of one another

$$F_{hkl} = \int_V \rho(x, y, z) \exp[+2\pi i(hx + ky + lz)] dV$$

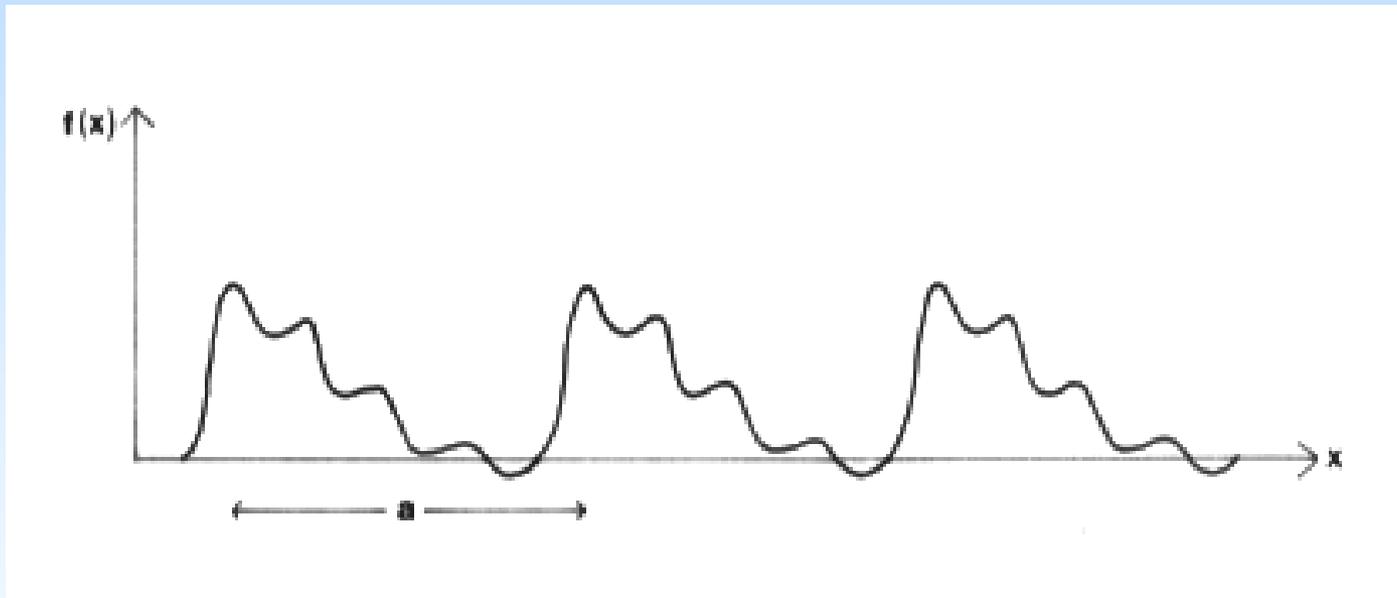
$$\rho(x, y, z) = \frac{1}{V} \sum_{h=-\infty}^{\infty} \sum_k \sum_l F_{hkl} \exp[-2\pi i(hx + ky + lz)]$$

- Note that the electron density is **real** but the structure factor is **complex**.
- The **phase information** must be included in the Fourier synthesis that produces the electron density!
- This has to be recovered, because the **diffraction experiment measures the intensity** of diffraction, which is the square of the structure factor:

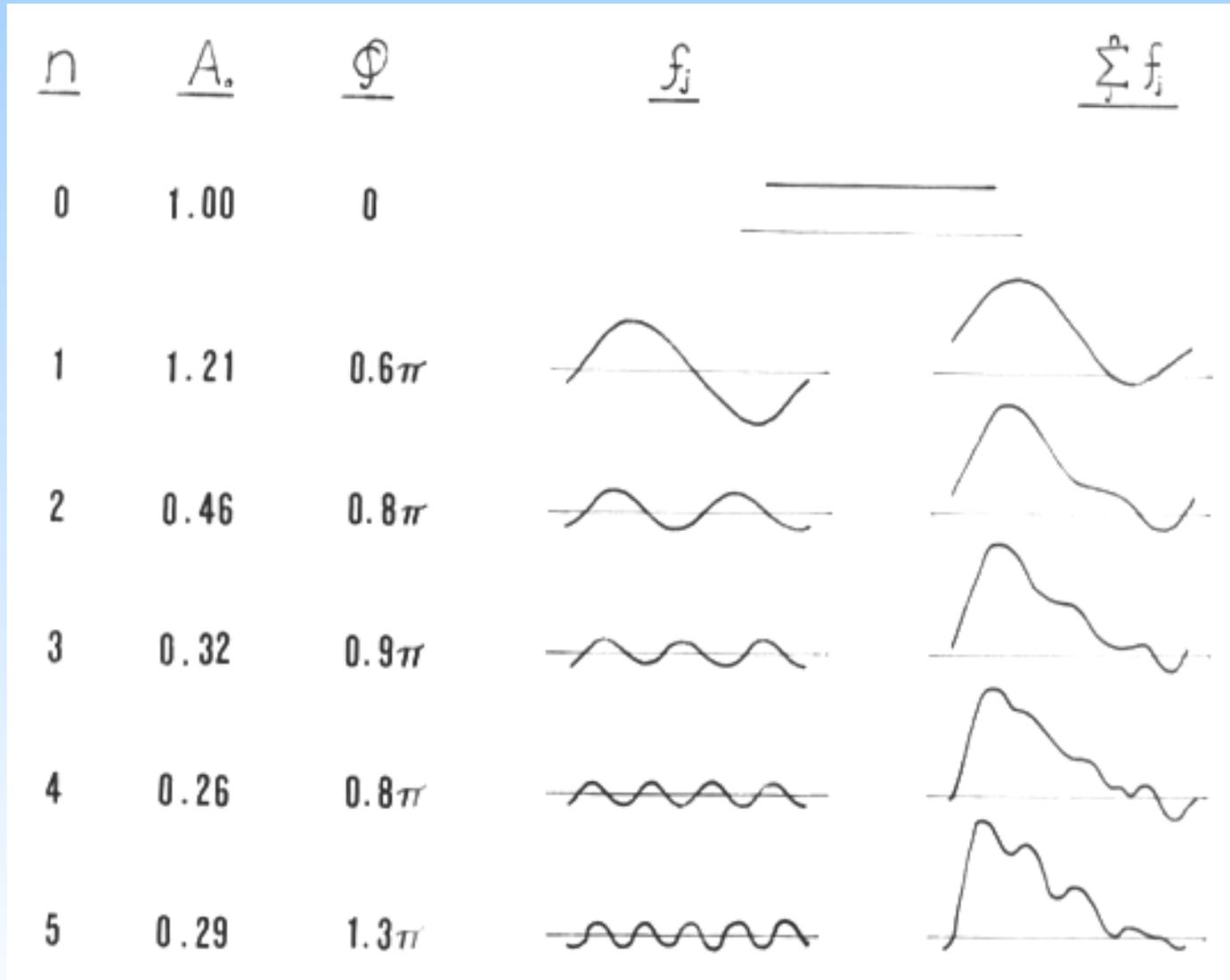
$$I = F^2$$

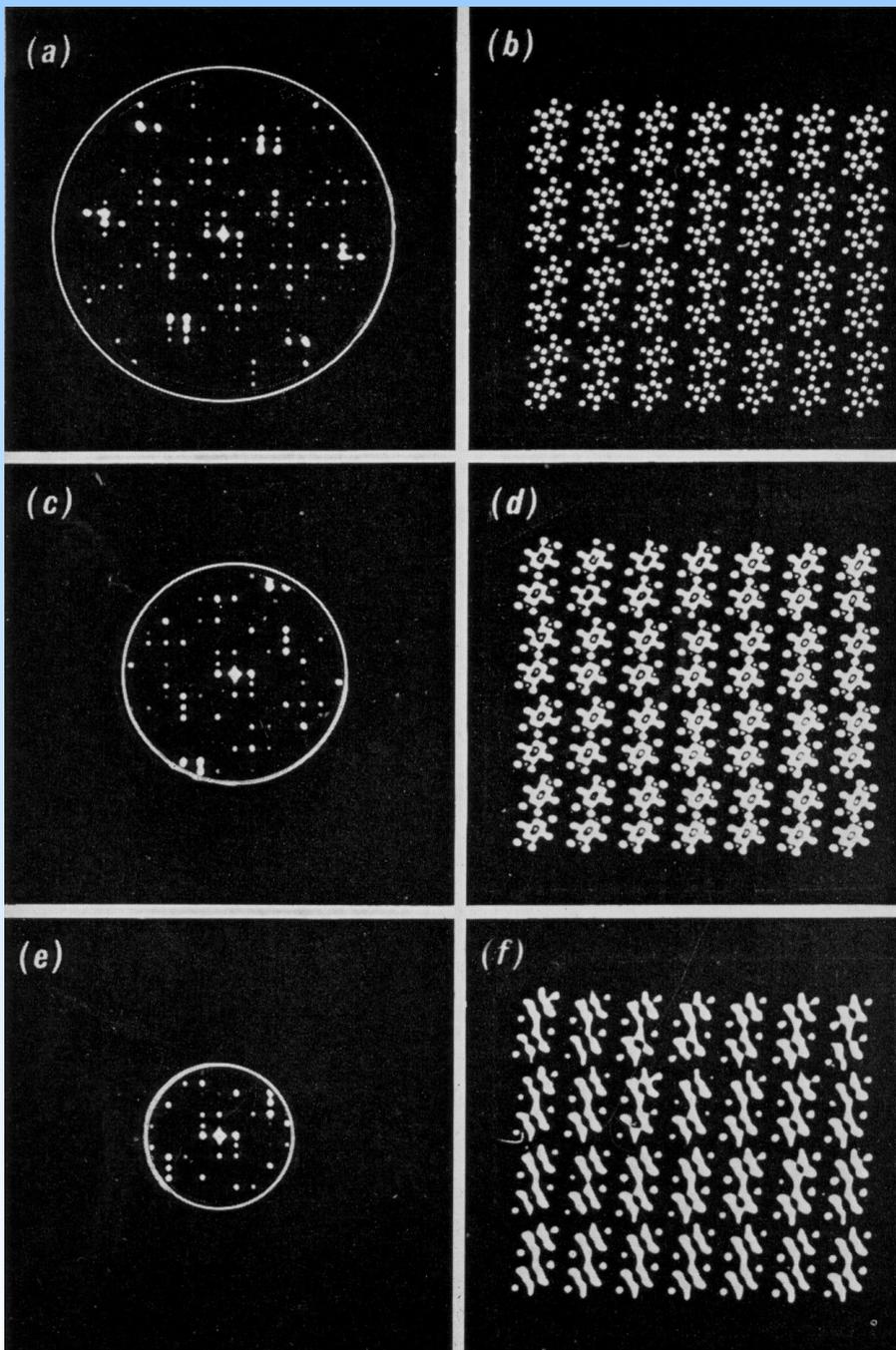
How does Fourier synthesis work?

Can we produce a trial structure and see how waves can be summed to give this structure back?



In the **Fourier Synthesis**, just a few waves suffice to give a reasonable approximation to the original pattern





What is the concept of “resolution?”

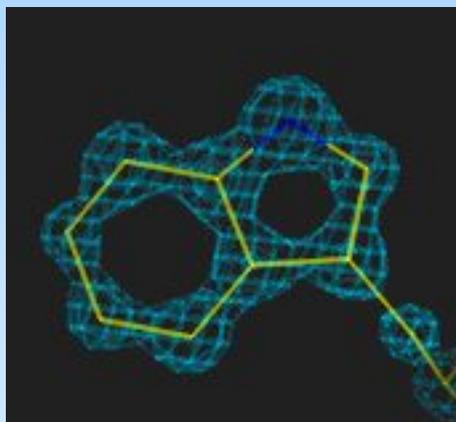
Here is the Fourier synthesis function:

$$f(x) = \frac{1}{\sqrt{2\pi}} \int_{-x}^x F(h)e^{-ihx} dh$$

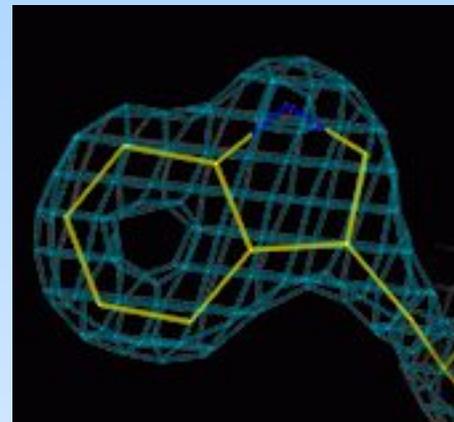
When the limits of the summation are not so great, information is lost in the synthesized structure.

We say that the “**resolution**” equals the d-spacing of the **smallest Bragg planes**.

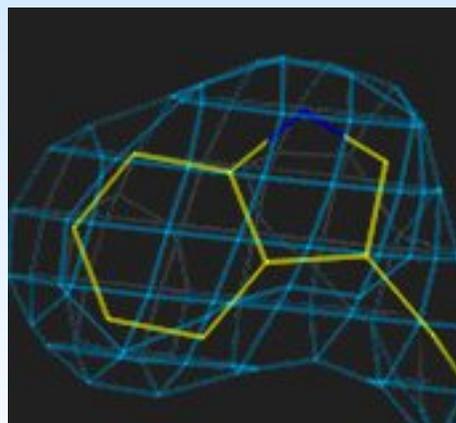
Resolution: The d-spacing of the highest order Bragg planes included in the Fourier synthesis. **Small d-spacing is good.**



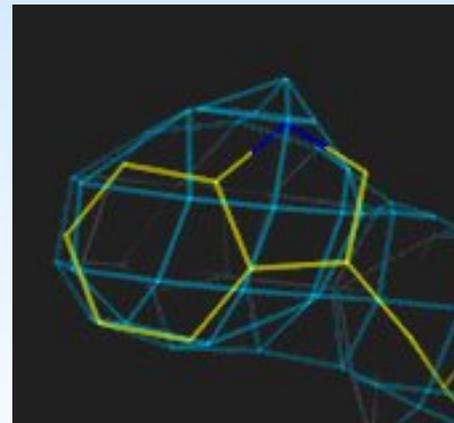
1.0 Å (10,000 refl's)



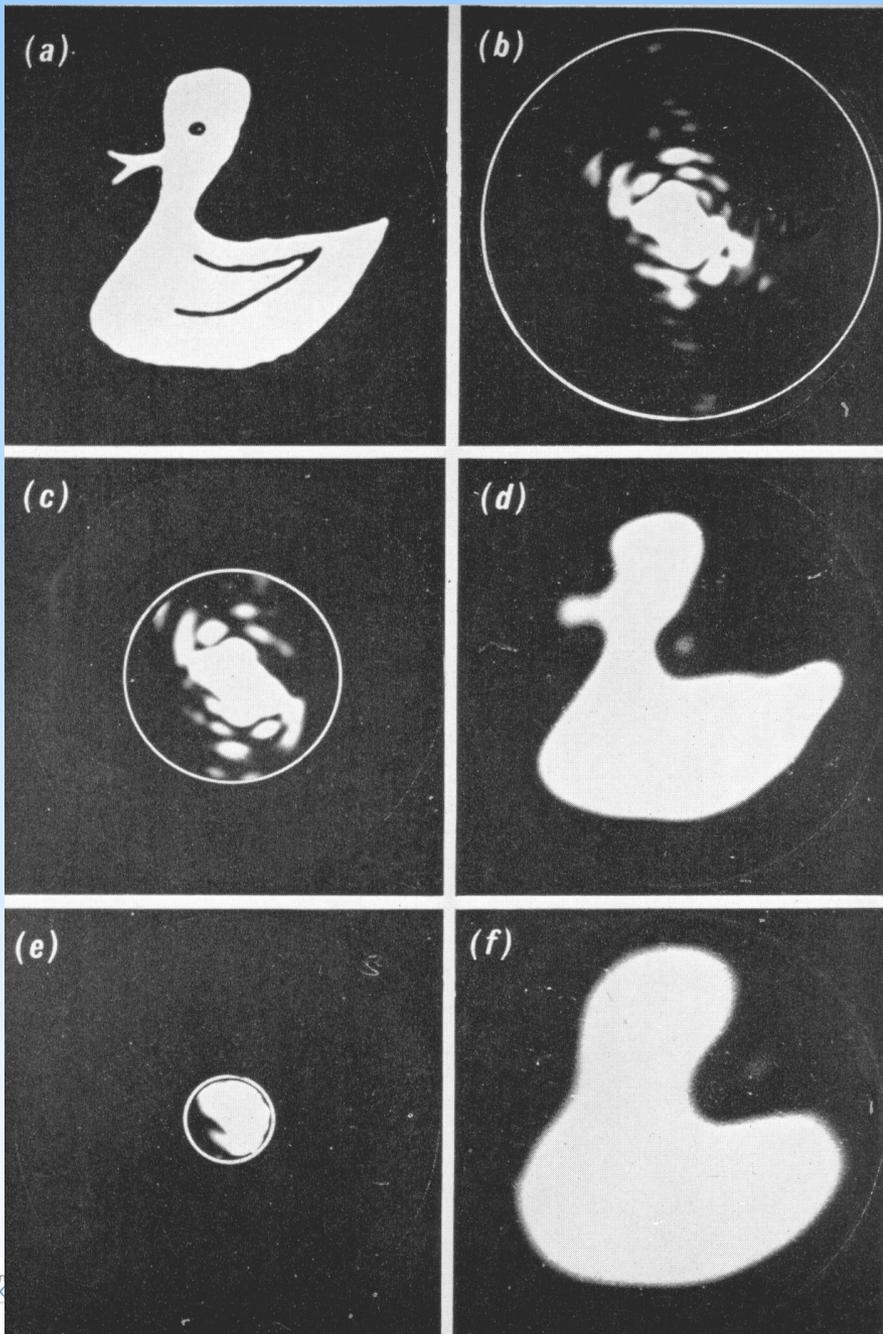
1.8 Å (1700 refl's)



3.0 Å (370 refl's)



4.0 Å (160 refl's)



Another example.

The famous Taylor and Lipson rubber ducky.

Outline for the Lecture

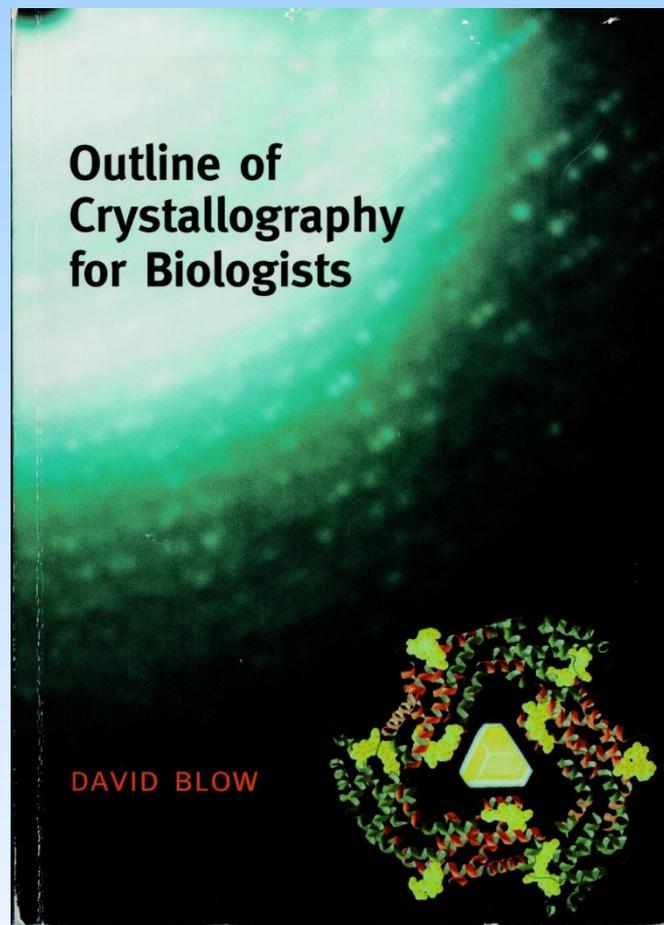
- Remind you how much you already know -- lenses, crystals
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Symmetry of crystals

We'll take some of our examples from David Blow's book.

Symmetry: An operation of rotation, translation, inversion, mirroring, or some combination of these that takes an object back into itself.

- The simplest symmetry in a crystal is repetition.
- The repeated motif may have its own symmetry.



Symmetry Groups

Biological molecules are all **chiral**, or “handed,” so **only rotation and translation symmetry are permissible.**

Here are the combinations (groups) of symmetries one finds in macromolecular crystals.

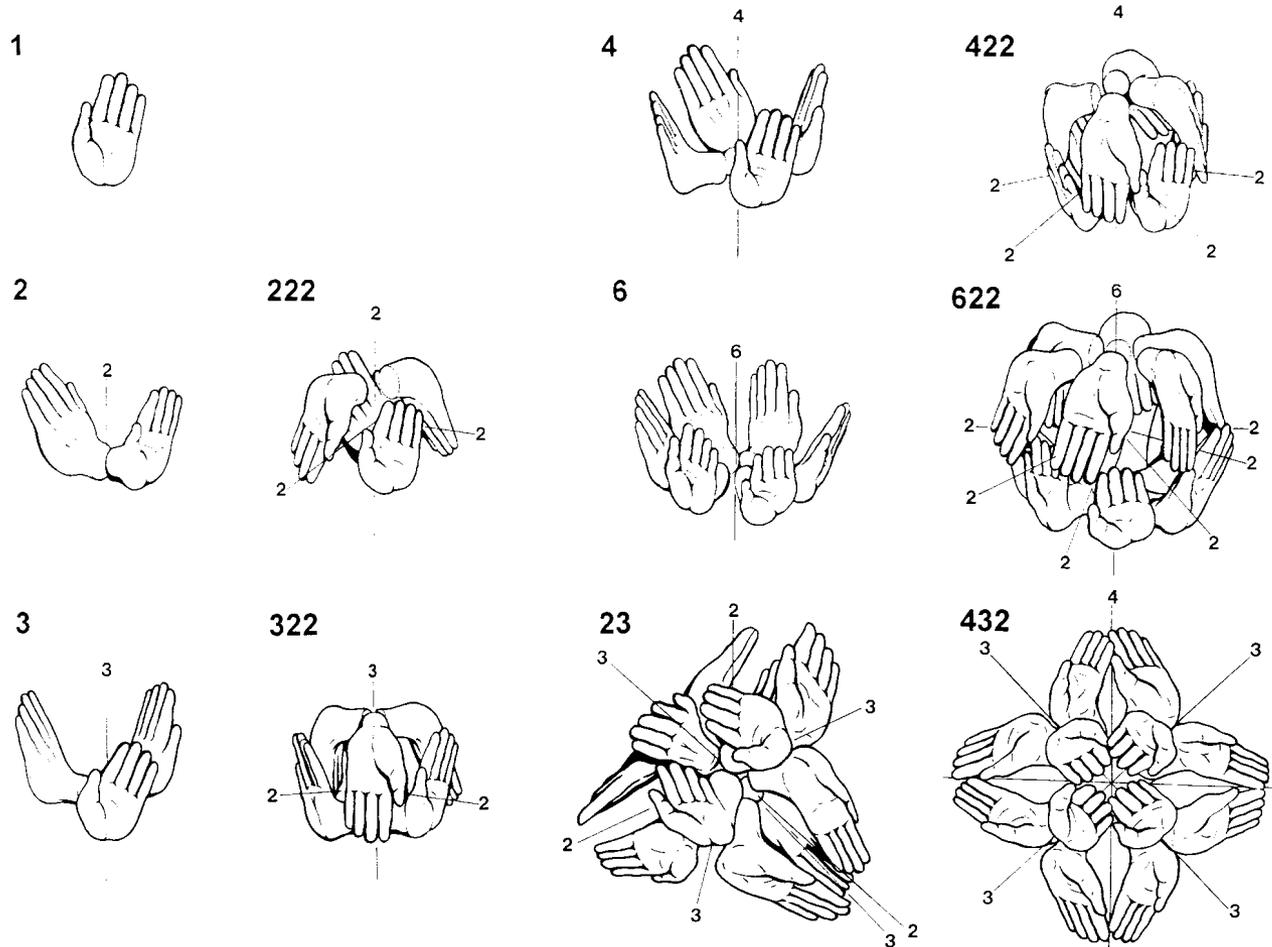


Fig. 2.18 The point groups that can exist in protein crystals.

What is a Group?

Elements in a group must obey certain properties:

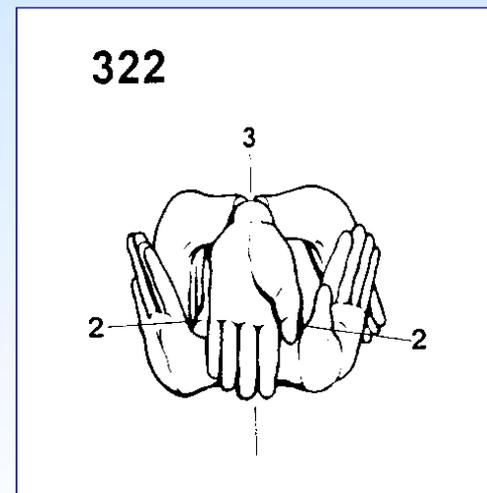
- There must be the **identity** element.
- The combination of any two elements must generate an element of the group. This is called **closure**.
- Number of elements = number of objects repeated = **order** of the group.
- Every element in the group must have an **inverse**.

$$3 \times 3^2 = \mathbf{I}$$

$$3^2 = 3^{-1}$$

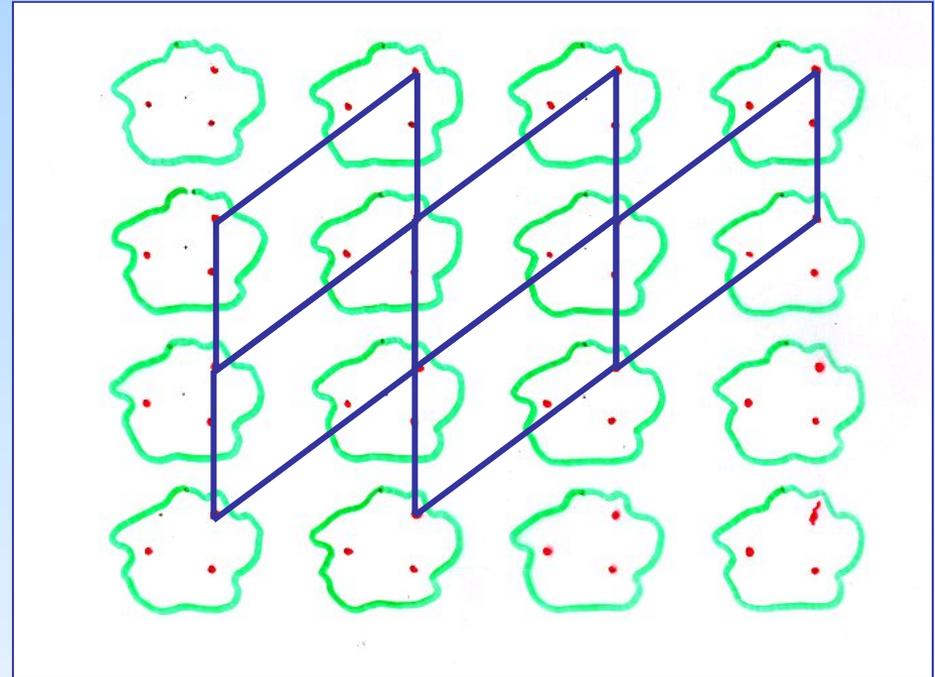
$$3 \times 2 = 2'$$

Point Group is **32**



Simple crystal symmetry

The simplest crystal would contain a single asymmetric object repeated by **translational repetition** only, like our apple orchard.



More complicated crystal symmetry

A crystal could contain a symmetric object, also repeated by translational repetition.

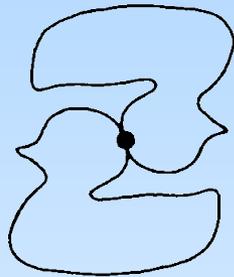
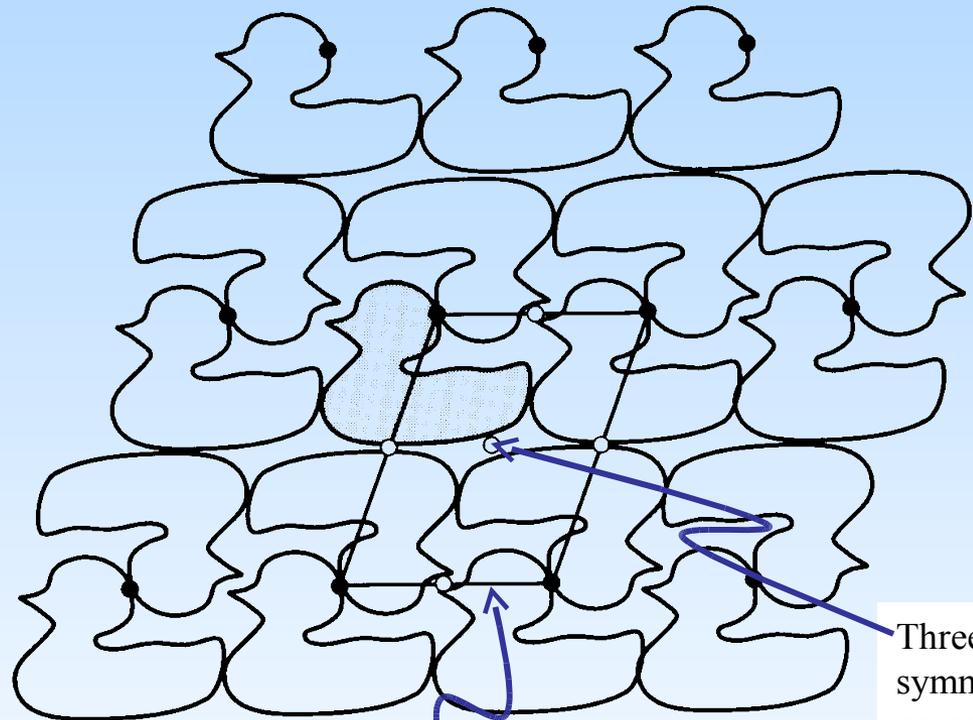


Fig. 2.35 A symmetrical dimer.

Fig. 2.36 The smallest unit of the structure that can generate the complete crystal structure by means of its symmetry operations is called the crystal asymmetric unit.



Three new symmetry operators are generated

Notice:
exactly two ducks in the unit cell

Now let's try it in three dimensions

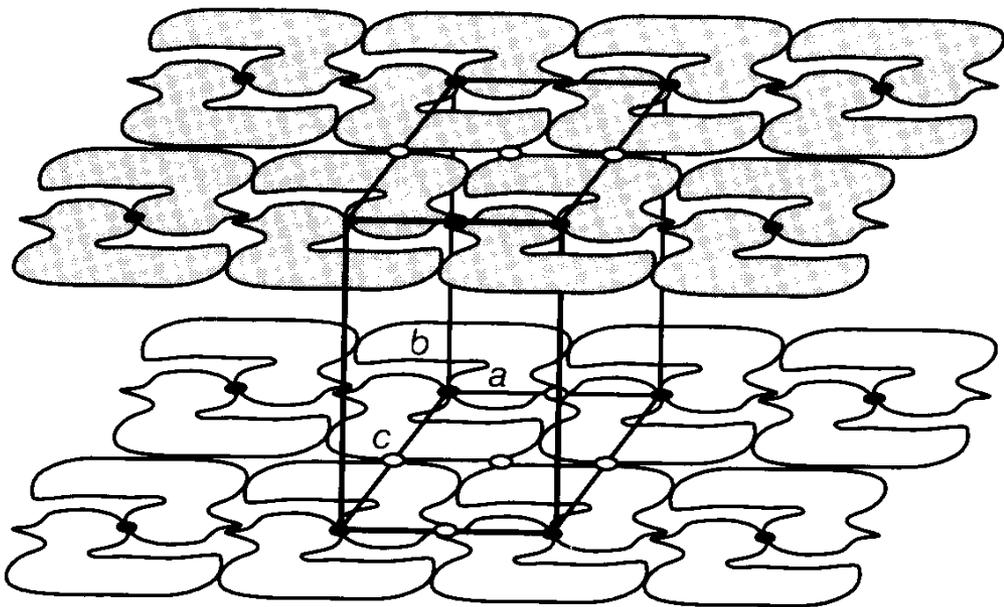


Fig. 2.37 Symmetry and equivalent positions in space group P2. A 2-fold axis along **b** creates two asymmetric units in the unit cell. Each unit has four 2-fold axes associated with it, at $x,z = (0, 0)$ (black circles), and at $(0, 1/2), (1/2, 0), (1/2, 1/2)$ (open circles).

positions
of 2-fold
axes

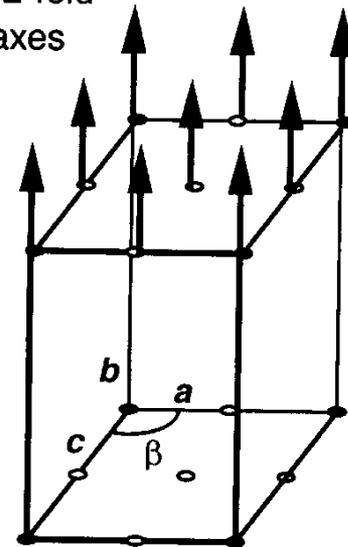


Fig. 2.38 A unit cell of space group P2.

Space Group P2: **P** = “primitive,” **2** = two-fold rotation axis.

We call this type of crystal **monoclinic**. **Order** = 2.

The Screw Axis

This symmetry operation is a **rotation followed by a translation: m_n** .

The translation is a **n/m** translation along one of the major crystallographic directions, where **m** is the order of the major rotation axis.

Here, it's written **2_1** to represent the **two-fold screw axis**, and the translation is $1/2$.

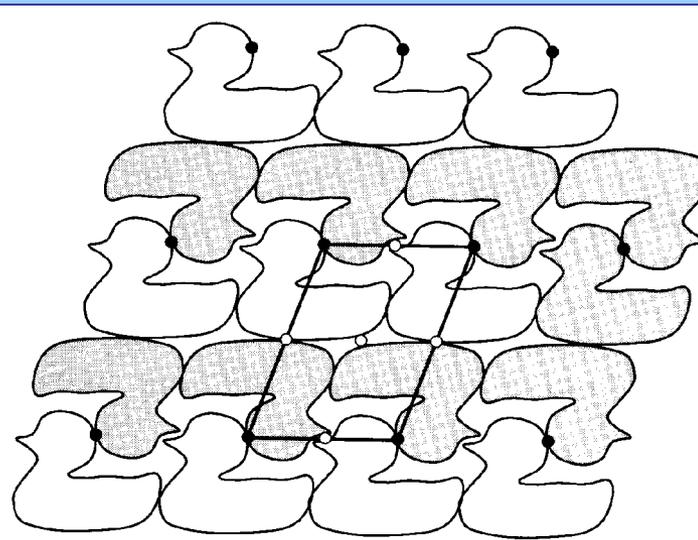


Fig. 2.43 A $P2_1$ structure viewed down the b direction. The unshaded molecules are at $y = 0$, and the shaded molecules at $y = 1/2$. There are 2-fold screw axes at the corners of the unit cell, and also at positions indicated by white circles.

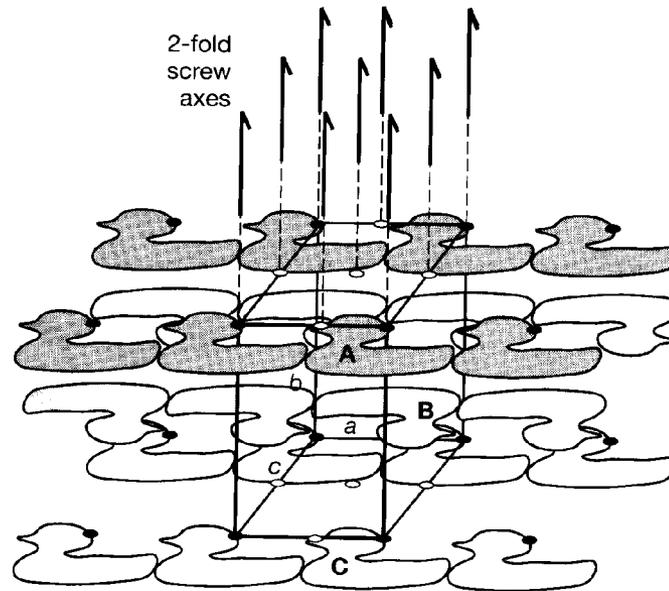


Fig. 2.44 Arrangement of units in a $P2_1$ lattice. Units facing one way are at the top and bottom of the cell, those facing the other are halfway in between. Objects A, B, and C are related by a 2-fold screw operation.

Centered Lattice

To make a new **monoclinic** lattice, **shift** the motif at the origin along a diagonal to a new spot by a **major fraction of the unit cell edges**.

The lattice is “centered” because a new motif appears in the center of a face or of the body of the unit cell.

Subtle and beautiful rules govern what centering operations are allowed.

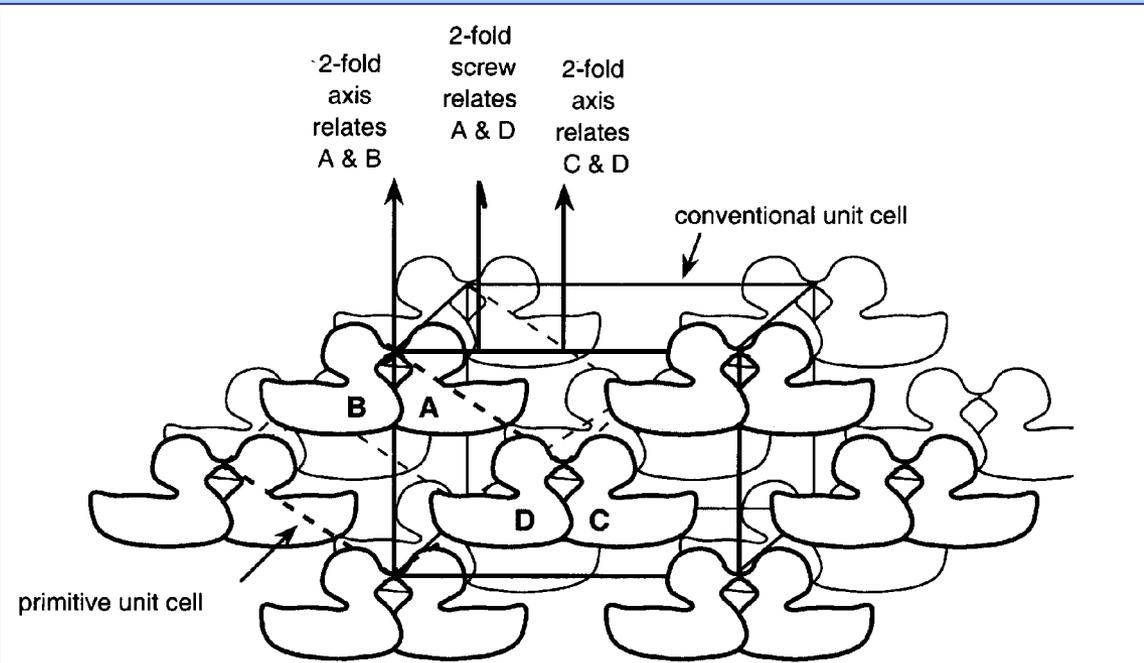


Fig. 2.39 A C-face centred monoclinic structure.

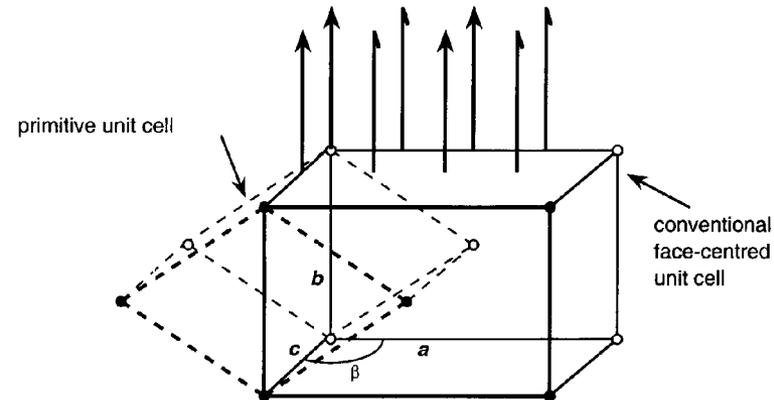


Fig. 2.40 Summary of the symmetry of a C2 lattice. Screw axes are identified by single-barbed arrows.

And higher symmetry

If one has **two-fold axes in more than one direction**, it must be **three** directions, and the axes must be perpendicular. We call this **orthorhombic**.

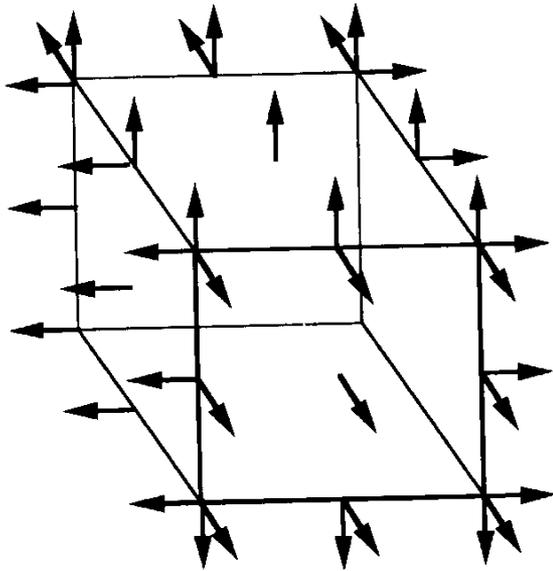


Fig. 2.41 P222 has intersecting sets of 2-fold axes in three perpendicular directions.

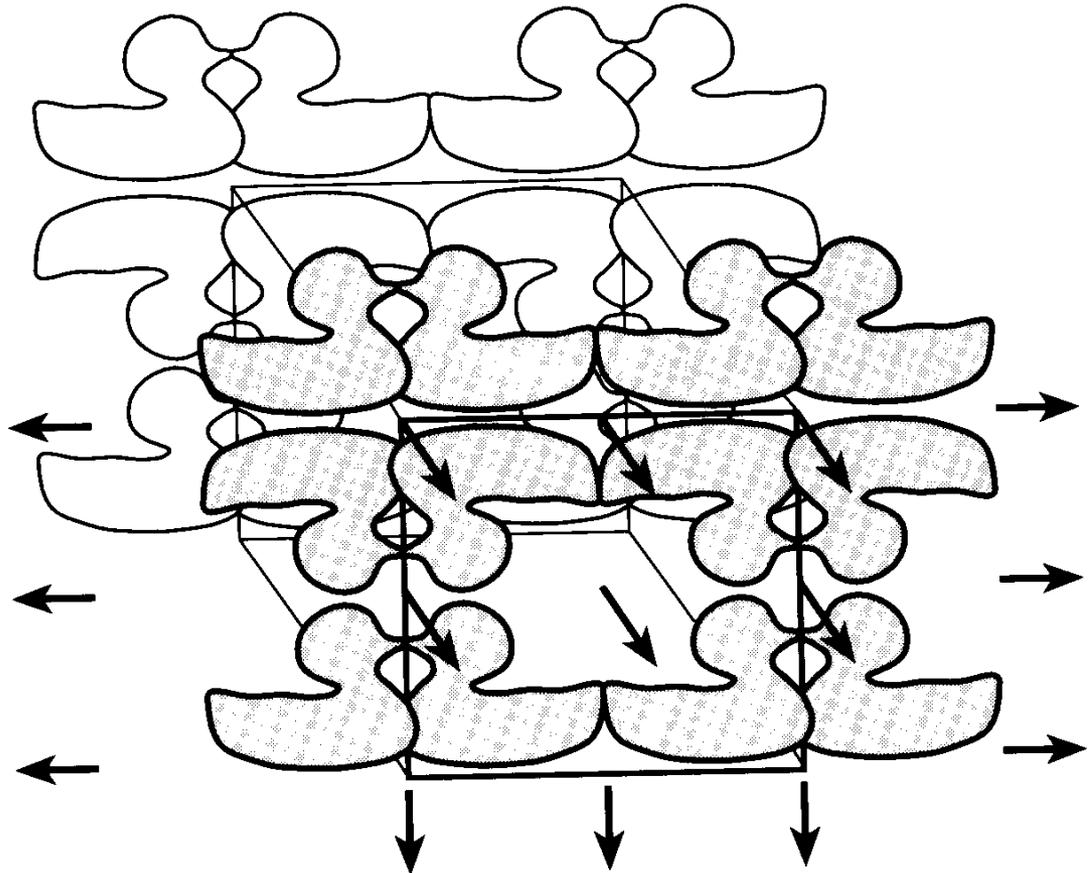


Fig. 2.42 Molecular arrangement in space group P222, showing just a few of the 2-fold axes.

And finally ...

A **three-fold** axis will produce a **trigonal** crystal.
Notice how the first three-fold axis creates two others
with different environments.

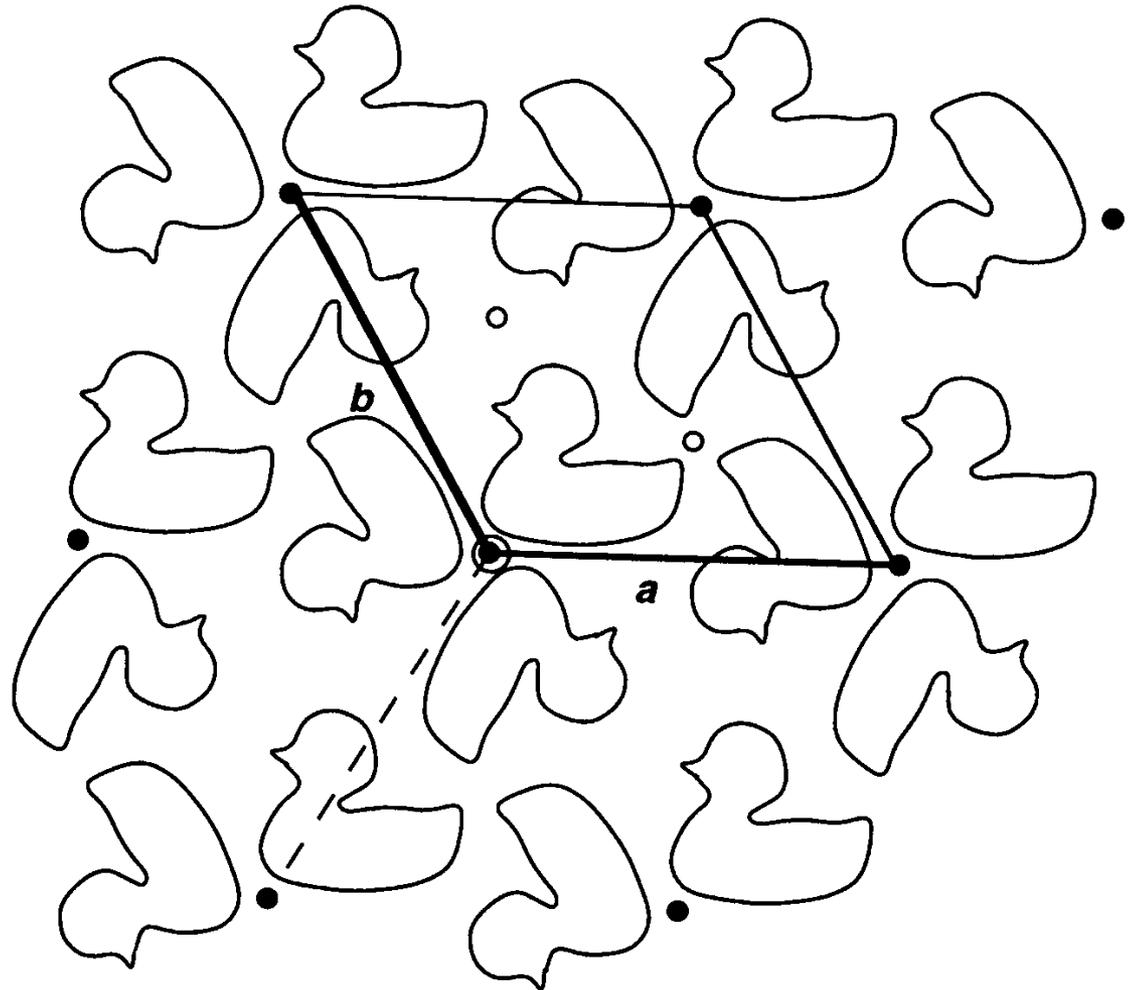


Fig. 2.28 If there is 3-fold symmetry, the lattice is generated by two lattice translations which make an angle of 120° and are of equal length. When objects are arranged with 3-fold symmetry about the lattice points, two other types of 3-fold symmetry axis are generated, indicated within the outlined cell.

The **Seven** Crystal Systems

The combination of symmetry elements yields only these forms

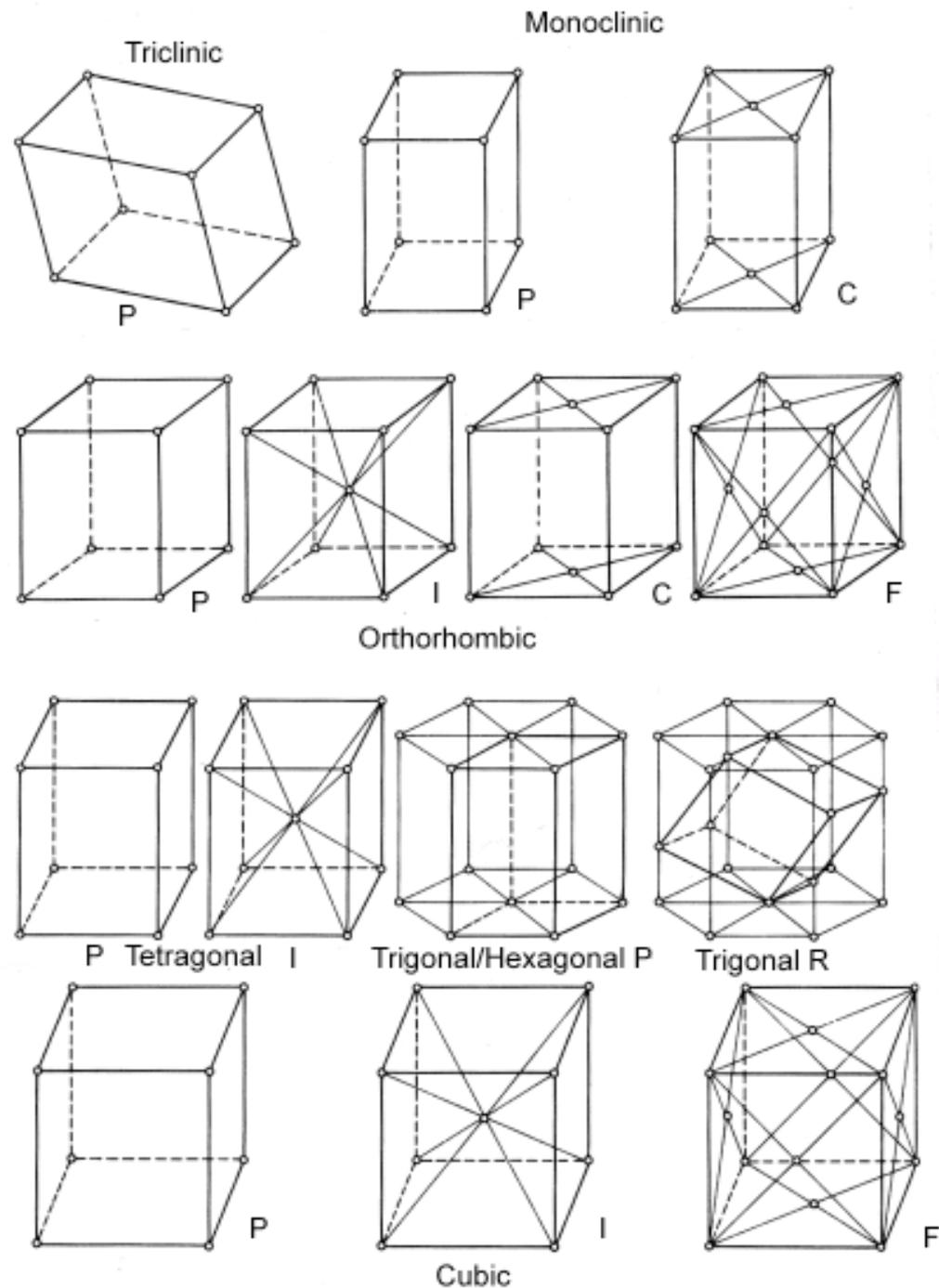
Crystal System **Bravais Types** **External Minimum Symmetry** **Unit Cell Properties**

Triclinic	P	None	a, b, c, α , β , γ ,
Monoclinic	P, C	One 2-fold axis, parallel b (b unique)	a, b, c, 90, β , 90
Orthorhombic	P, I, F	Three perpendicular 2-folds	a, b, c, 90, 90, 90
Tetragonal	P, I	One 4-fold axis, parallel c	a, a, c, 90, 90, 90
Trigonal	P, R	One 3-fold axis	a, a, c, 90, 90, 120
Hexagonal	P	One 6-fold axis	a, a, c, 90, 90, 120
Cubic	P, F, I	Four 3-folds along space diagonal	a, a, a, 90, 90, 90

The Bravais Lattices

Here are the 14 ways crystal lattices can be formed in the seven crystal systems.

The international convention in displaying these is to give **a** down, **b** across, and **c** up or towards the viewer.



How many space groups?

- There are **230 space groups** possible
- Only 65 of these employ **only** rotational symmetry (suitable for chiral molecules)
- Here are the most abundant observed in **macromolecular** structures, 65% of the total:

And finally the icosahedral symmetry of spherical viruses

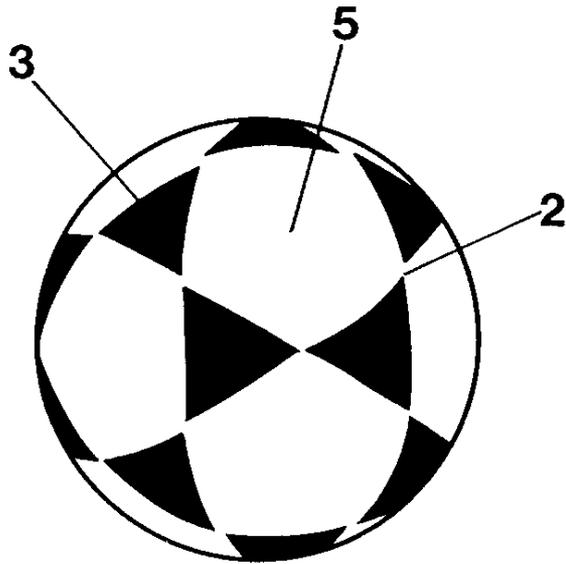


Fig. 2.19 Footballs are often decorated in a way that shows 532 symmetry.

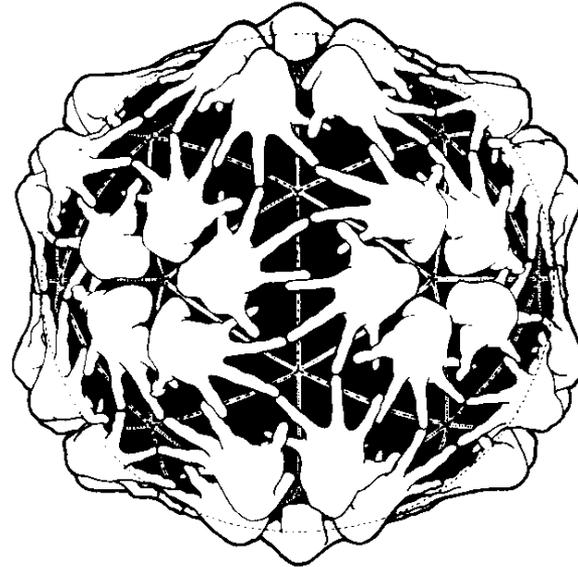


Fig. 2.20 Fanciful drawing of left hands arranged in 532 symmetry by Don Caspar (reproduced from Caspar (1980) by permission of the Biophysical Society).

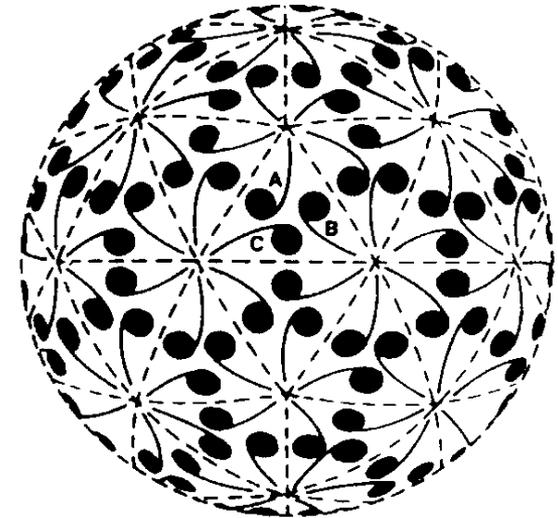


Fig. 2.21 Pseudo-symmetrical arrangement of 180 units (reproduced from Harrison (1980) by permission of the Biophysical Society).

How does symmetry affect a diffraction pattern?

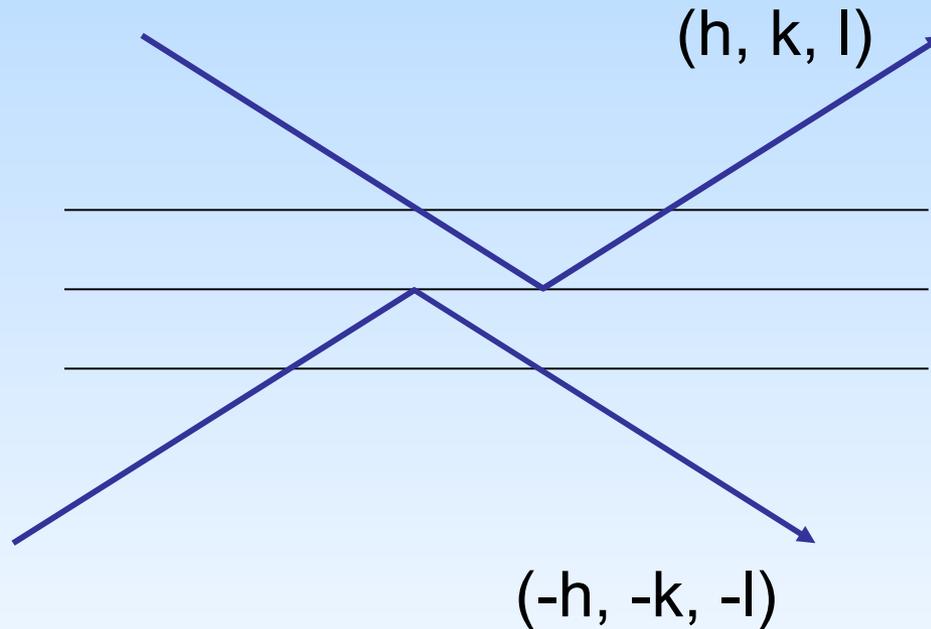
Symmetry affects a diffraction pattern in at least three ways:

Friedel's Law – There's an inversion centre in reciprocal space.

Laue Point Group – Diffraction has symmetry like that of the crystal.

Systematic absences – some of the symmetry operations erase some reflections.

Friedel's Law: Bragg reflection from the front of the planes is the same as from the back.



We can do this algebraically

Friedel's Law: Intensity is the same
for (hkl) and $(\bar{h}\bar{k}\bar{l})$

$$F_{\bar{h}\bar{k}\bar{l}} = \sum_{\text{atoms}} f_j \exp \{ 2\pi i (-hx_j - ky_j - lz_j) \}$$

$$= \sum f_j \exp(-2\pi i \underline{h} \cdot \underline{r}_j)$$

$$= \sum f_j \left[\cos(-2\pi \underline{h} \cdot \underline{r}_j) + i \cdot \sin(-2\pi \underline{h} \cdot \underline{r}_j) \right]$$

$$= \sum f_j \left[\cos(2\pi \underline{h} \cdot \underline{r}_j) - i \cdot \sin(2\pi \underline{h} \cdot \underline{r}_j) \right]$$

$$= F_{hkl}^*$$

Do you know about complex conjugates?

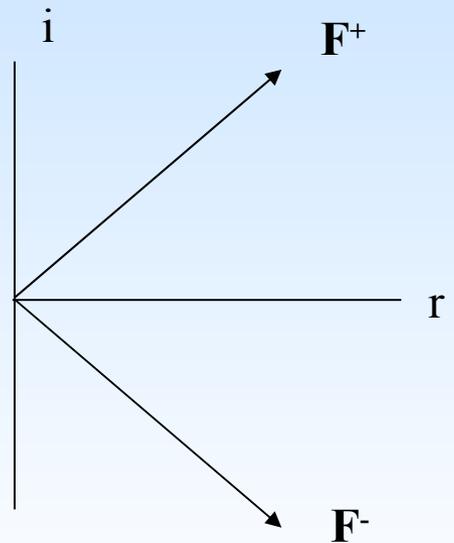
$$\text{For } F = A + iB$$

$$F^* = A - iB$$

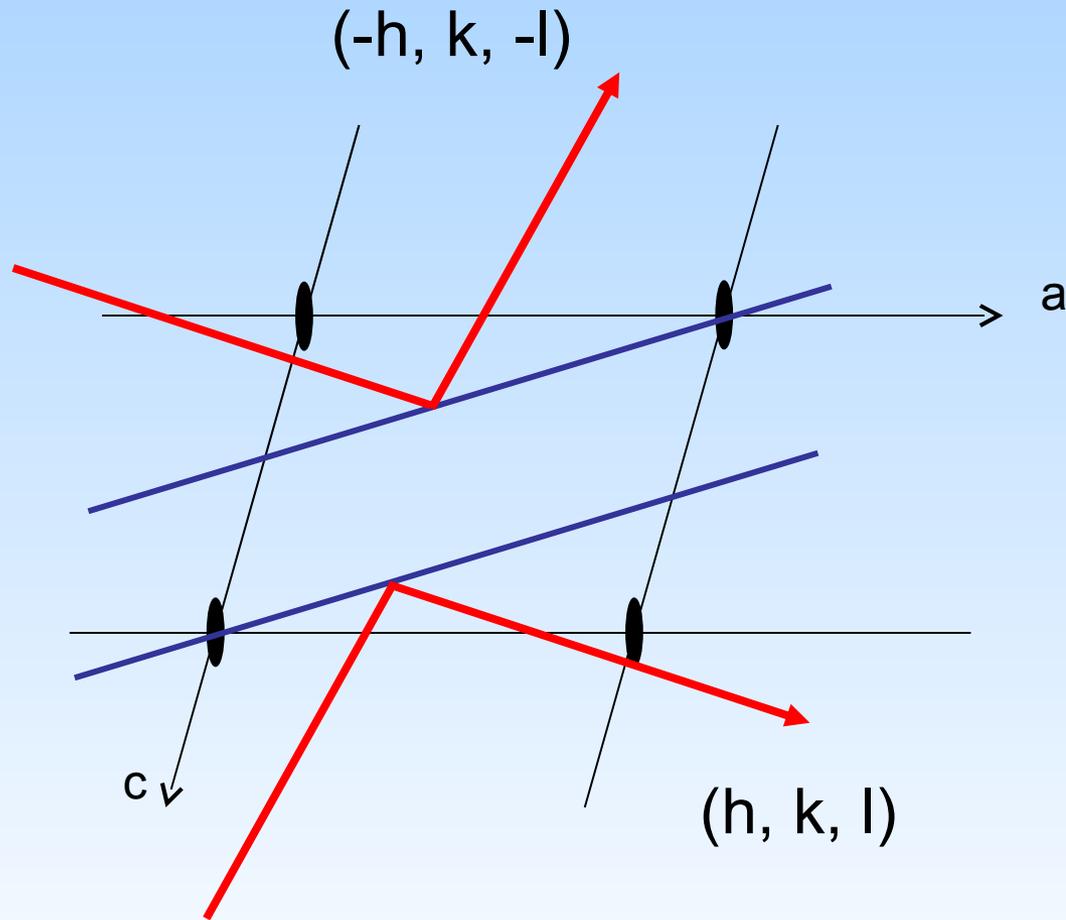
$$\text{and } |F| = (F \cdot F^*)^{1/2} = (A^2 + B^2)^{1/2}$$

$$\text{Therefore } |F_{hkl}| = |F_{\bar{h}\bar{k}\bar{l}}|:$$

Friedel's Law



Laue Point Group: The diffraction will adopt some of the symmetry of the crystal.



Let's say the $(1, 5, 2)$ and the $(-1, 5, -2)$

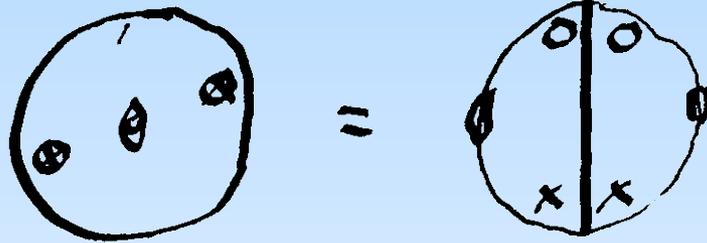
The **Laue Point Group** for a crystal is the rotational or mirror symmetry of the space group, plus Friedel's Law. For example:

$$\mathbf{P2} \text{ or } \mathbf{P2}_1 \rightarrow \mathbf{2/m}$$

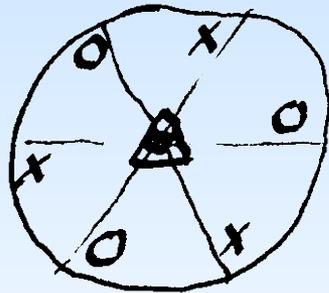
Produces a two-fold, a mirror perpendicular to it, and an inversion centre **in the diffraction pattern / reciprocal space.**

How to describe symmetry - Stereographic Projection

$2/m$

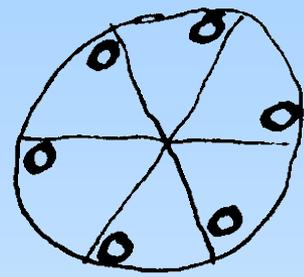


$\bar{3}$

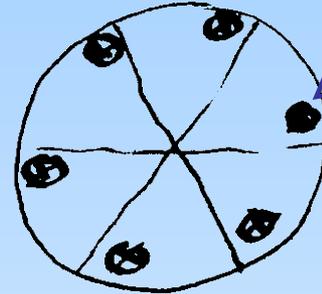


3-fold, followed by inversion

Lane point group for P6,



6



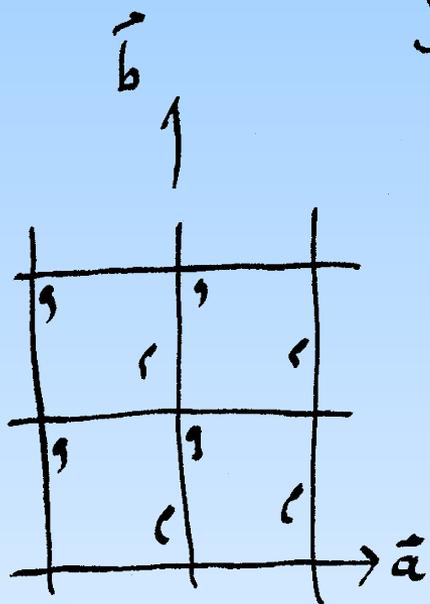
Bijvoet
pair

6/m

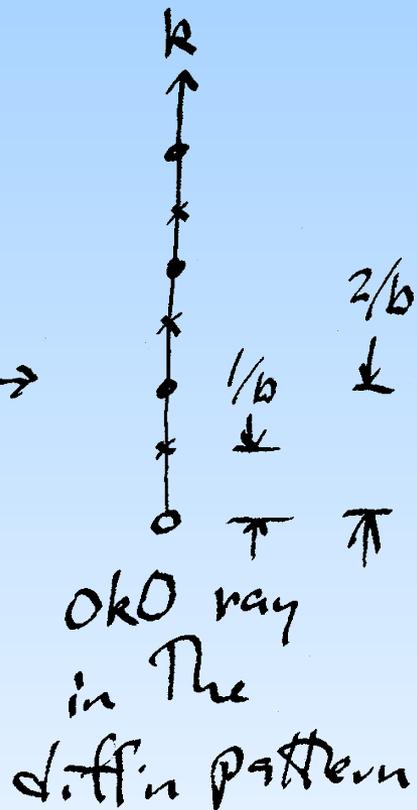
Implication of this for the experiment:

One will need only to record 1/12 of reciprocal space to get complete data. Sometimes one can record anomalously-related reflections on the same image.

Systematic Absences

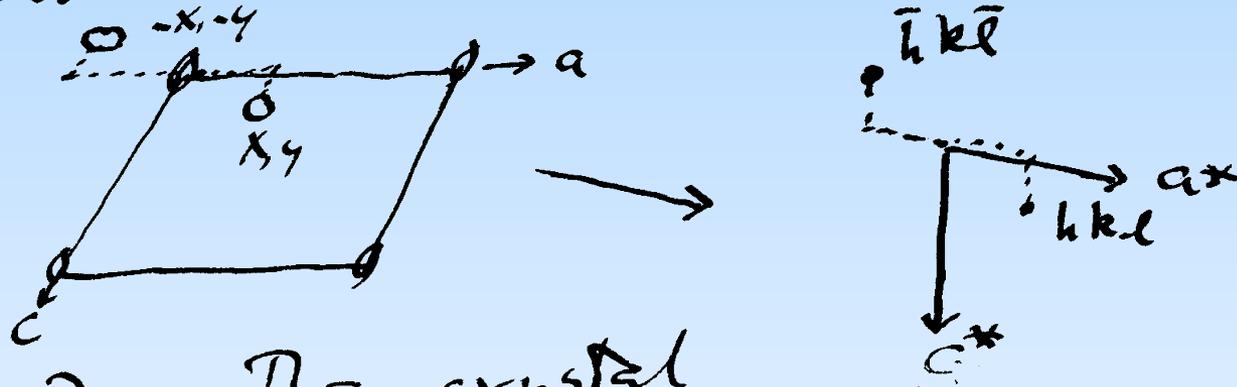


Compress
onto
b axis



We say, For $0k0$,
 $k = 2n$

We also can try to understand how symmetry operations affect the symmetry of the diffraction pattern.
 Look at P2:



Does this crystal symmetry produce the diffraction symmetry we predict?

Evaluate the structure factor for P2:

$$F_{hkl} = \sum_{n/2} f_j \left[\cos 2\pi(hx_j + ky_j + lz_j) + i \cdot \sin 2\pi(hx_j + ky_j + lz_j) \right. \\ \left. + \cos 2\pi(-hx_j + ky_j - lz_j) + i \cdot \sin 2\pi(-hx_j + ky_j - lz_j) \right]$$

Then, use: $\sin(x \pm y) = \sin x \cdot \cos y \pm \cos x \cdot \sin y$

and $\cos(x \pm y) = \cos x \cdot \cos y \mp \sin x \cdot \sin y$

To get:

$$F_{hkl} = 2 \sum_{n/2} f_j \cdot \cos 2\pi(hx_j + lz_j) \cdot [\cos 2\pi ky_j + i \cdot \sin 2\pi ky_j]$$

One can see that $F_{hkl} = F_{\bar{h}\bar{k}\bar{l}}$ as advertised

Also notice that for

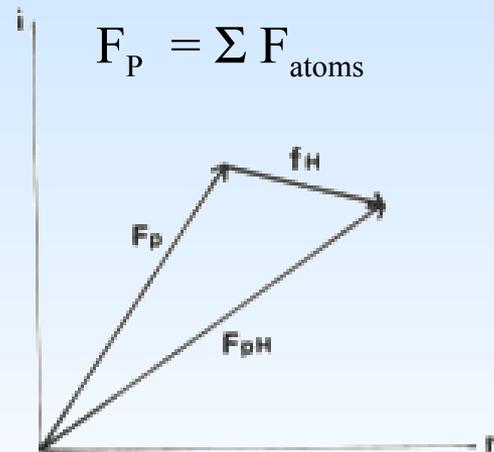
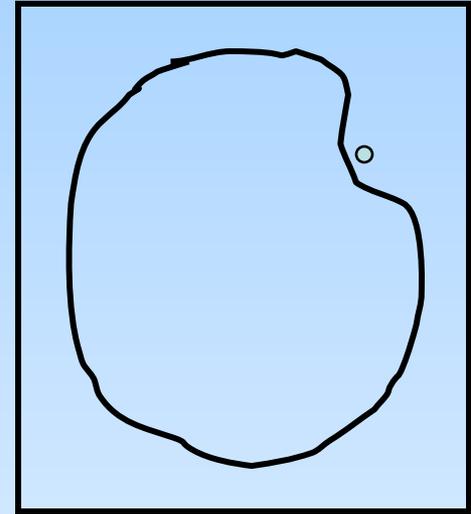
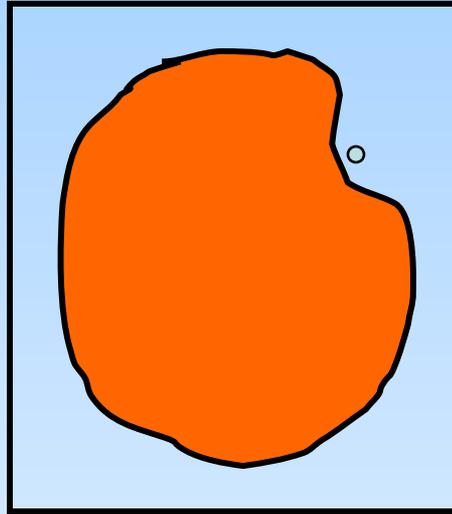
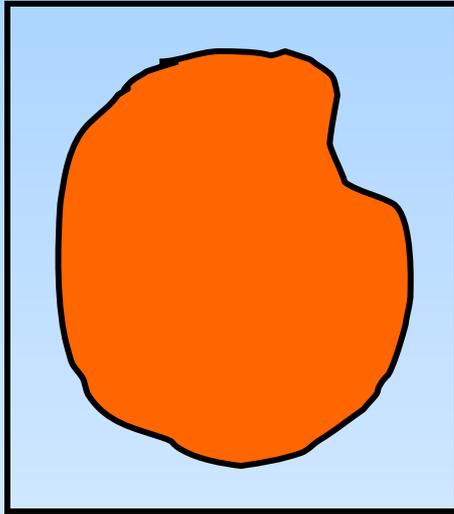
Outline for the Lecture

- Remind you how much you already know -- lenses, crystals
- Show why crystals give diffraction spots.
- Develop the idea of “The Reciprocal Lattice”
- Give some idea how we might actually measure diffraction data
- Show how, given a crystal, we can calculate the diffraction pattern
- Conversely, show how to calculate the structure from the diffraction
- Describe the importance of symmetry to diffraction
- **Outline the structure-solving methods -- heavy atoms and MADness**

How we solve structures? We must somehow estimate phases so we can perform the inverse Fourier transform.

- Isomorphous Replacement with heavy atoms
- MAD/SAD, a variant of IR
- Molecular replacement if we have a decent model.

Perutz's Fundamental Idea: Isomorphous Replacement

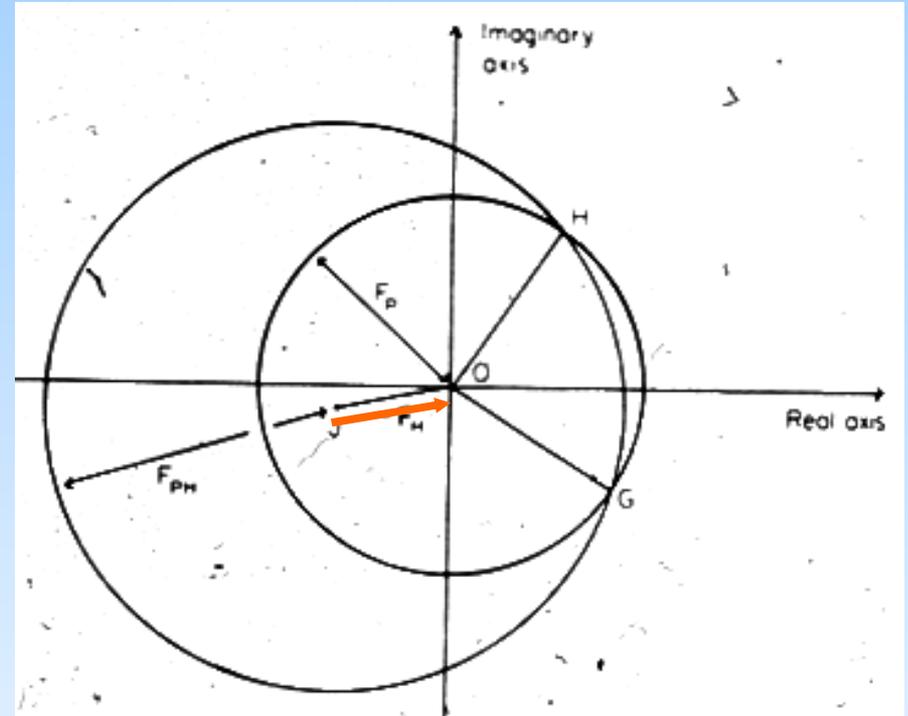
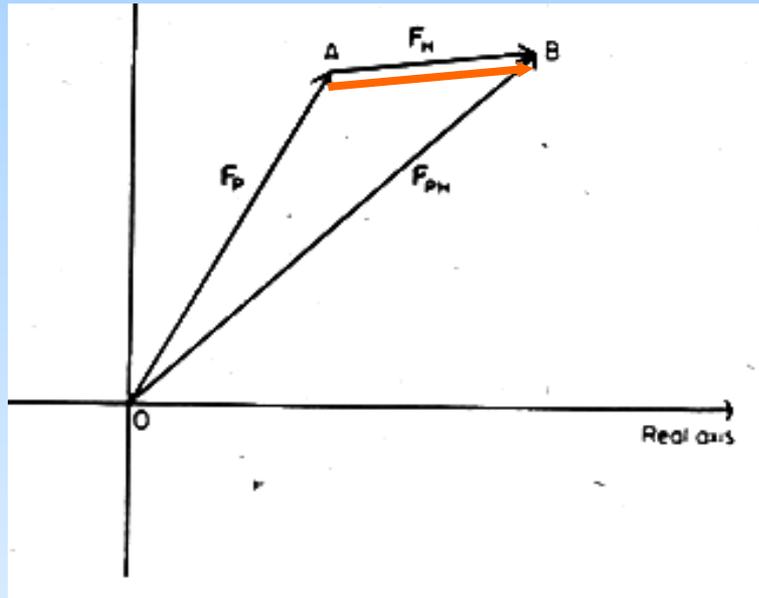


$$F_{PH} = F_P + F_H$$

$$F_H$$

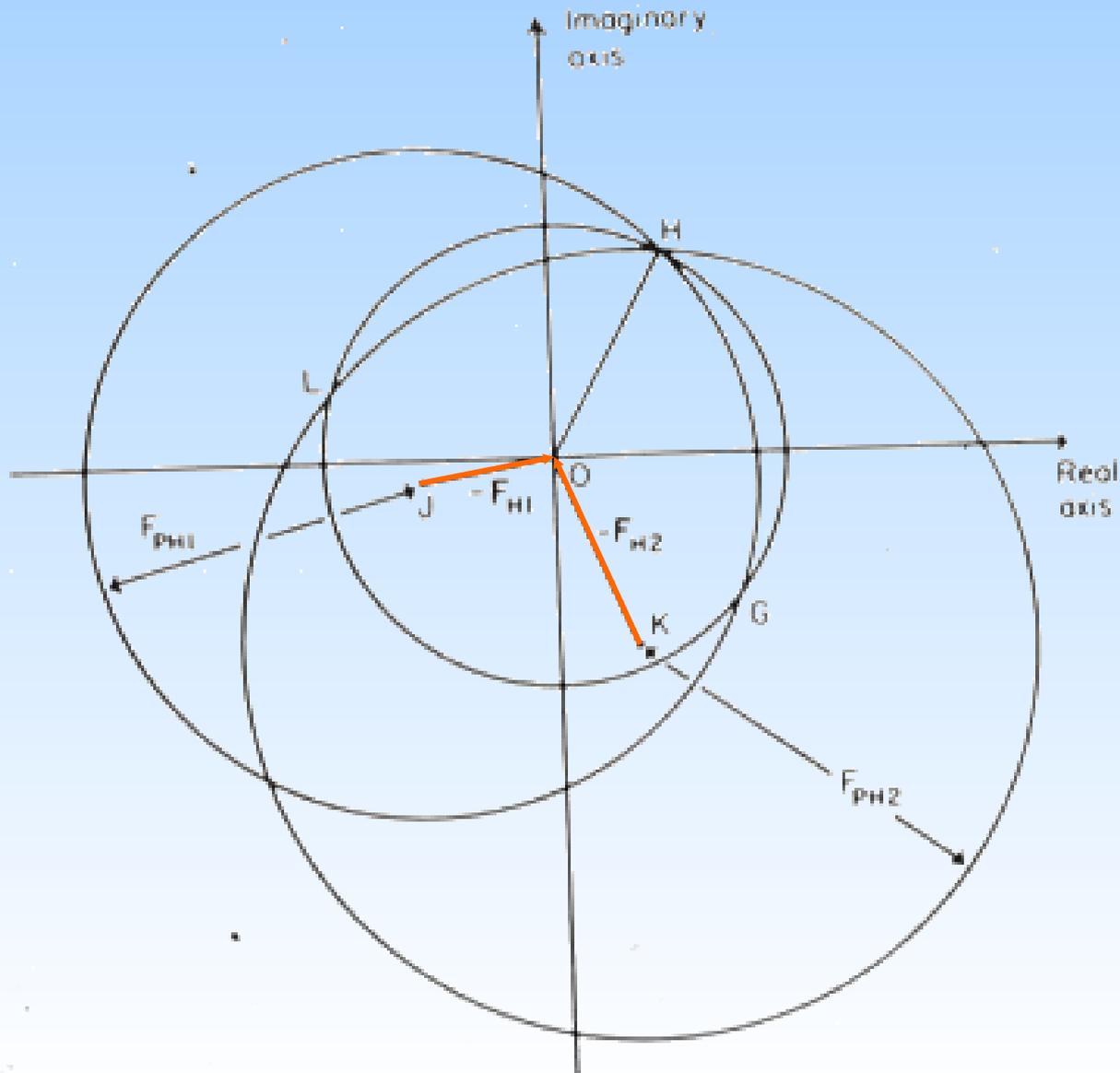
We find that, for some things, we can **approximate** $|F_H|$ with $|F_{PH} - F_P|$. This often suffices for us to solve for the positions of the heavy atom as if it were a small-molecule structure.

So for some particular reflection and a particular heavy atom, we can begin to find the phase:



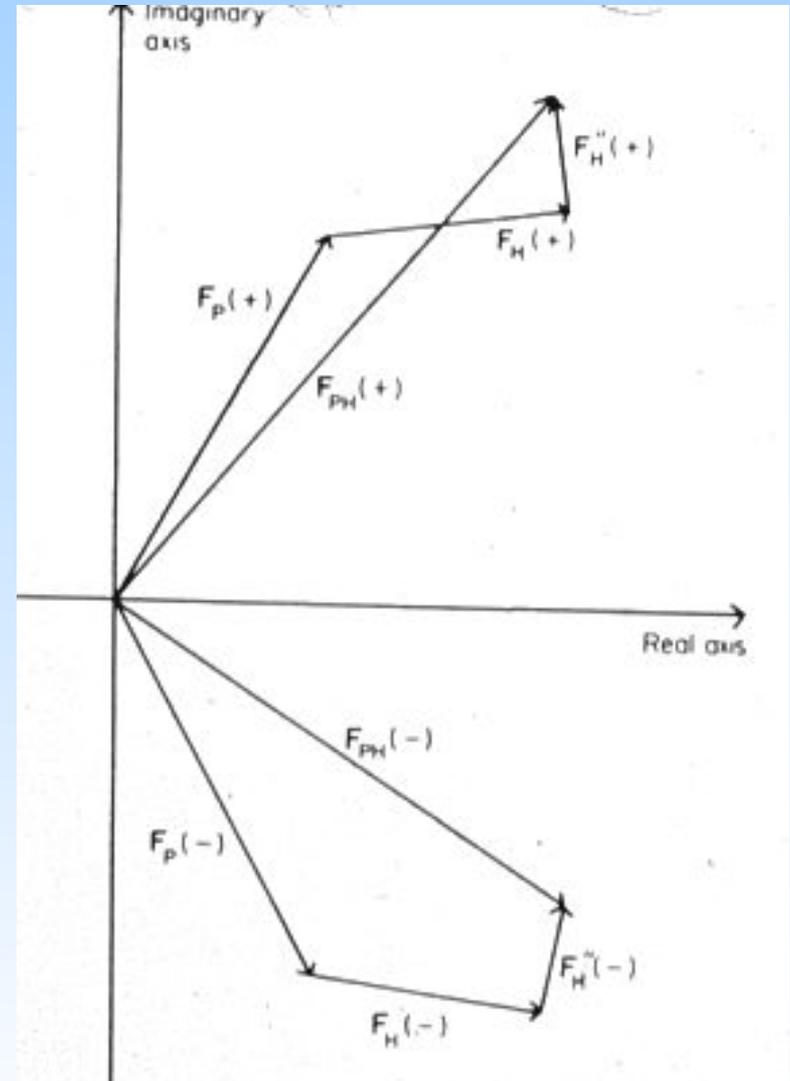
Knowing the position of the heavy atom allows us to calculate F_H . Then we use $F_P = F_{PH} + (-)F_H$ to show that the phase triangles close with a **two-fold ambiguity**, at G and at H. There are several ways to resolve the ambiguity.

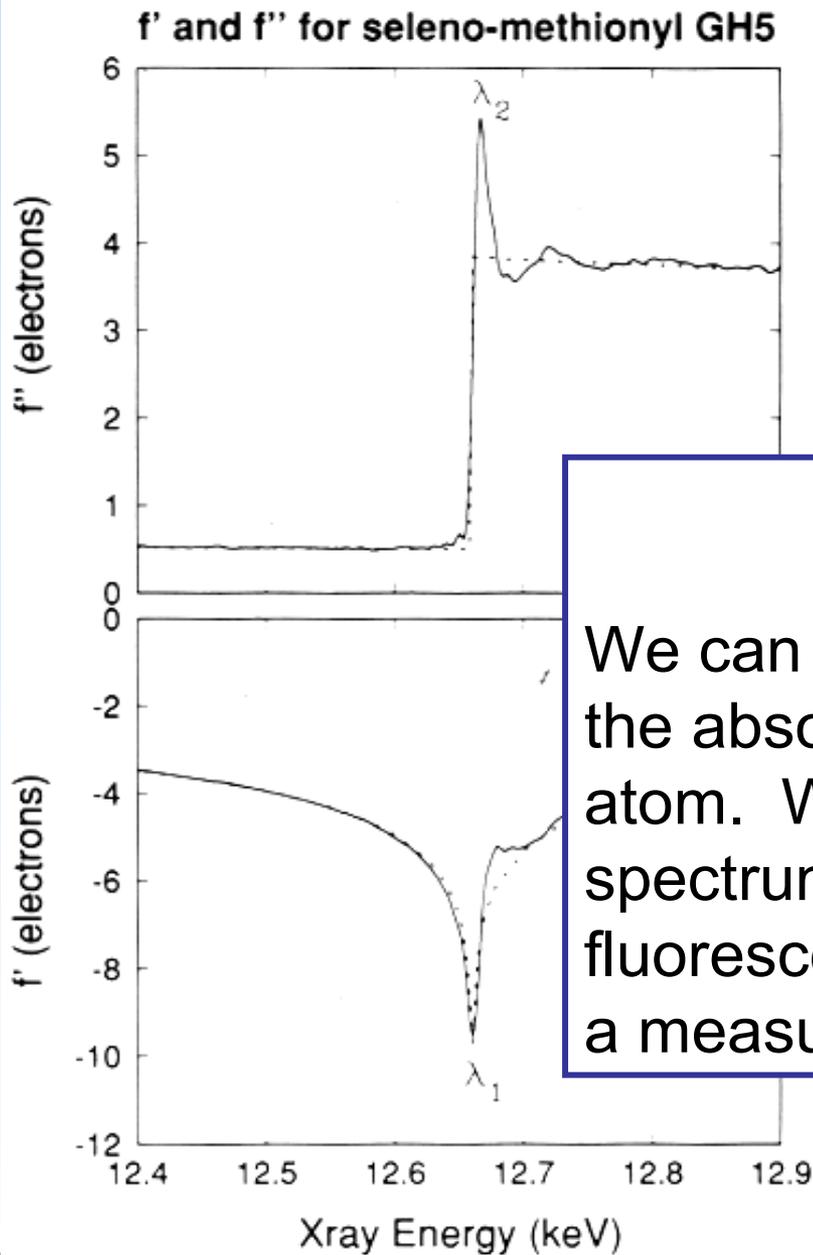
One way to resolve the ambiguity is to use a second isomorphous heavy-atom derivative.



A second technique involves use of anomalous (resonant) scattering from a heavy atom.

In this case the resonance between the electrons on the heavy atom and the x-rays cause a phase and amplitude shift. The symmetry of diffraction (from the front vs back of the Bragg planes) is broken. **Friedel's Law is broken!** This can be measured and used.





Scattering Power

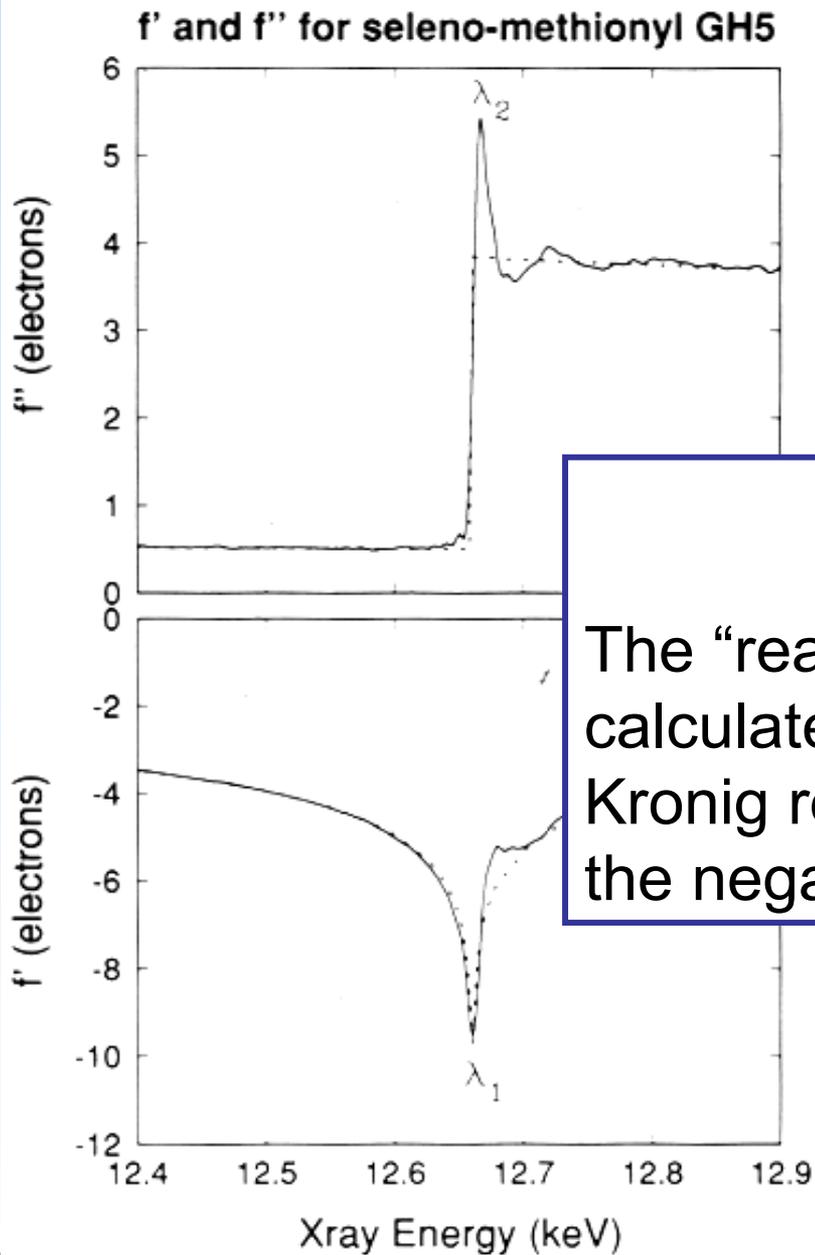
imaginary

— f''
 — $\Delta f''$
 — $\Delta f'$

Excitation Scans

We can observe the f'' by measuring the absorption of the x-rays by the atom. We measure an "excitation" spectrum. Often we use the fluorescence of the absorbing atom as a measure of absorptivity.

x-rays as a function of the photon energy.



Scattering Power

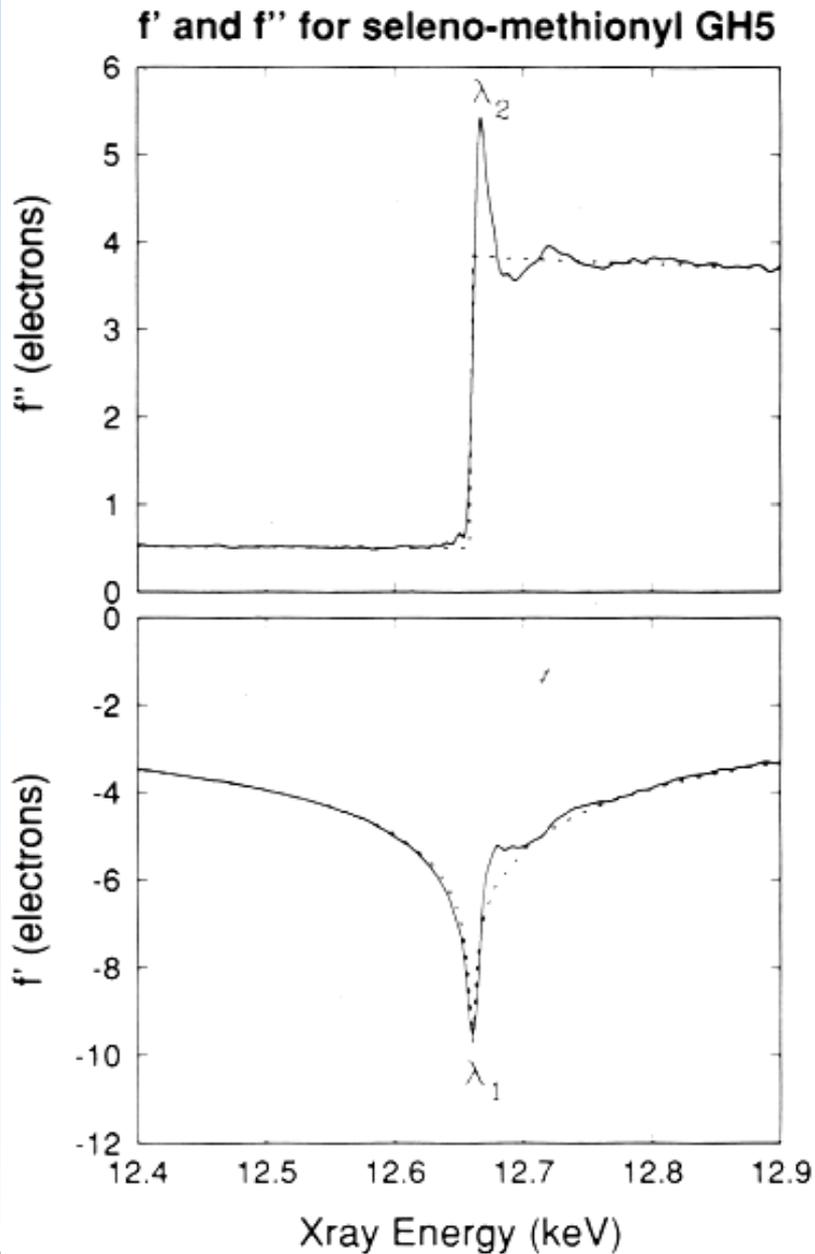
imaginary

— f''
 — $\Delta f''$
 — $\Delta f''$

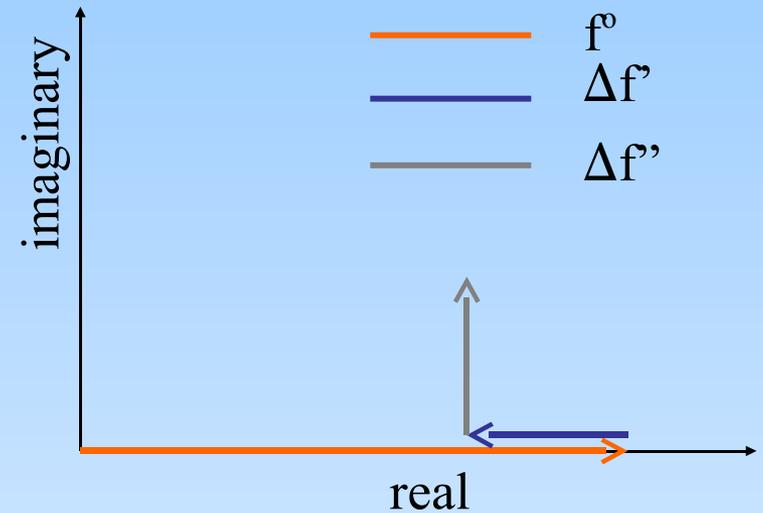
How to get f'' ?

The “real,” dispersive component is calculated from f'' by the Kramers-Kronig relationship. Very roughly, it's the negative first derivative of f'' .

($\Delta f''$) of the scattering of x-rays as a function of the photon energy.

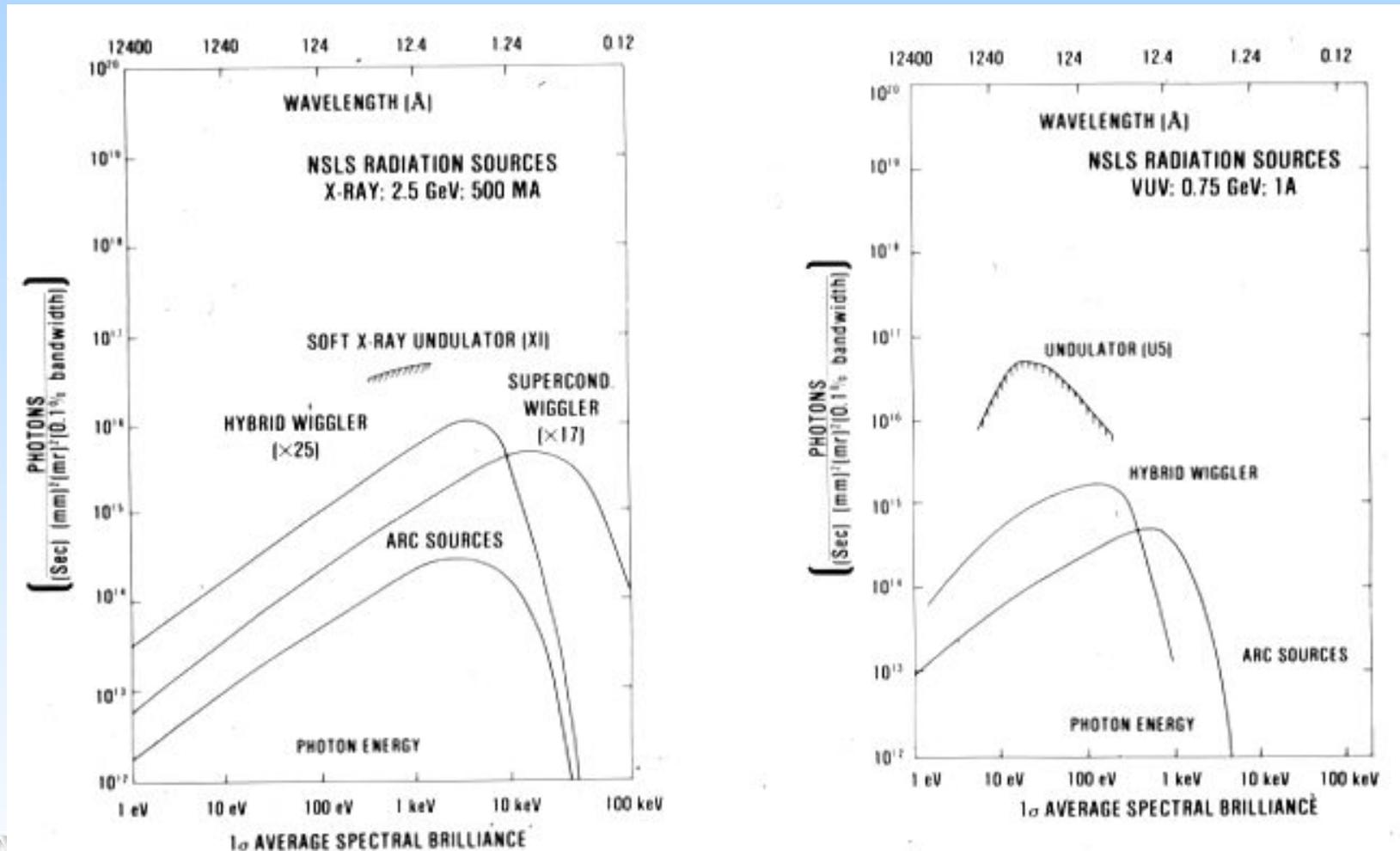


Scattering Power

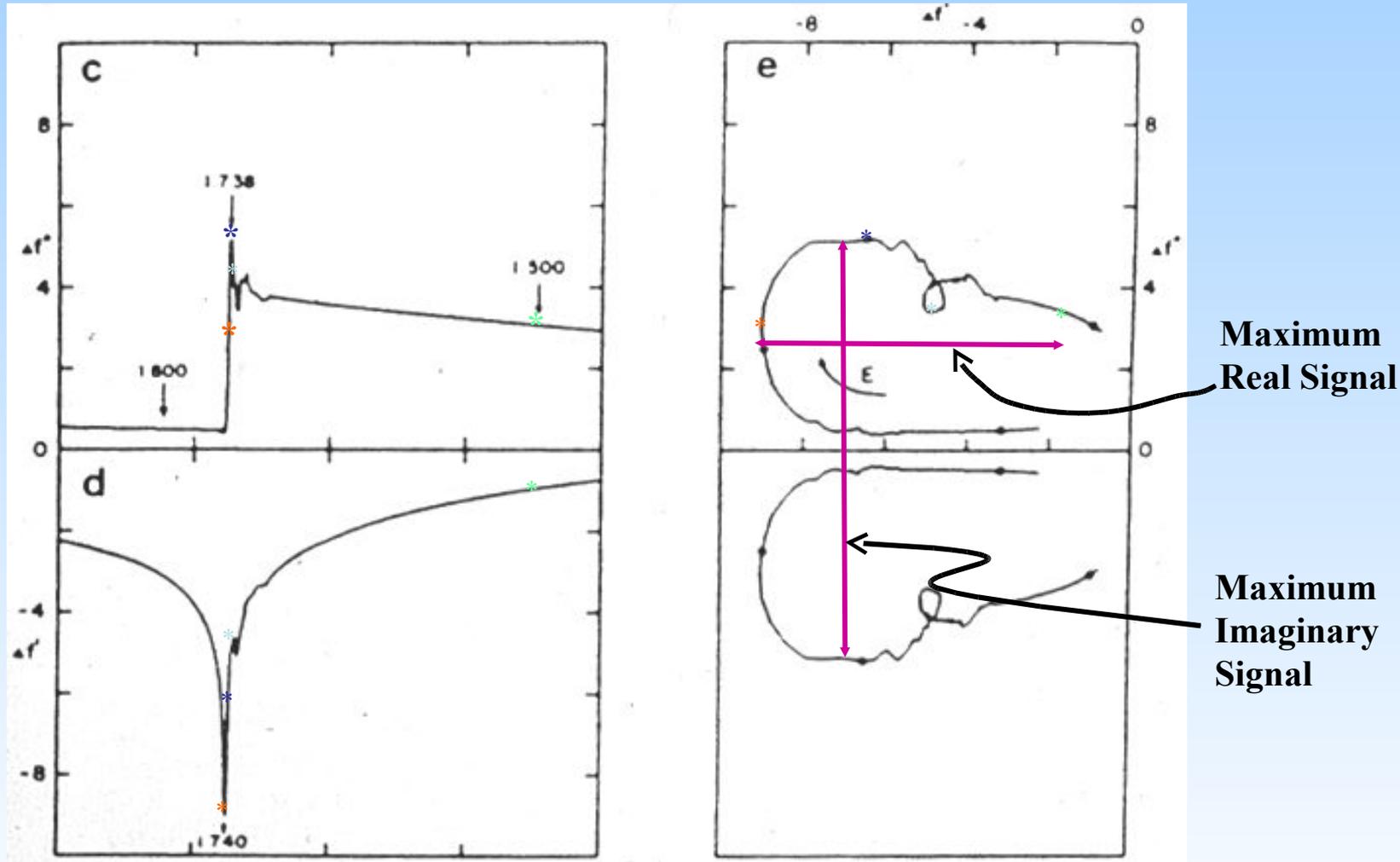


One way to represent this resonance is plots of the shifts in the real part ($\Delta f'$) and imaginary part ($\Delta f''$) of the scattering of x-rays as a function of the photon energy.

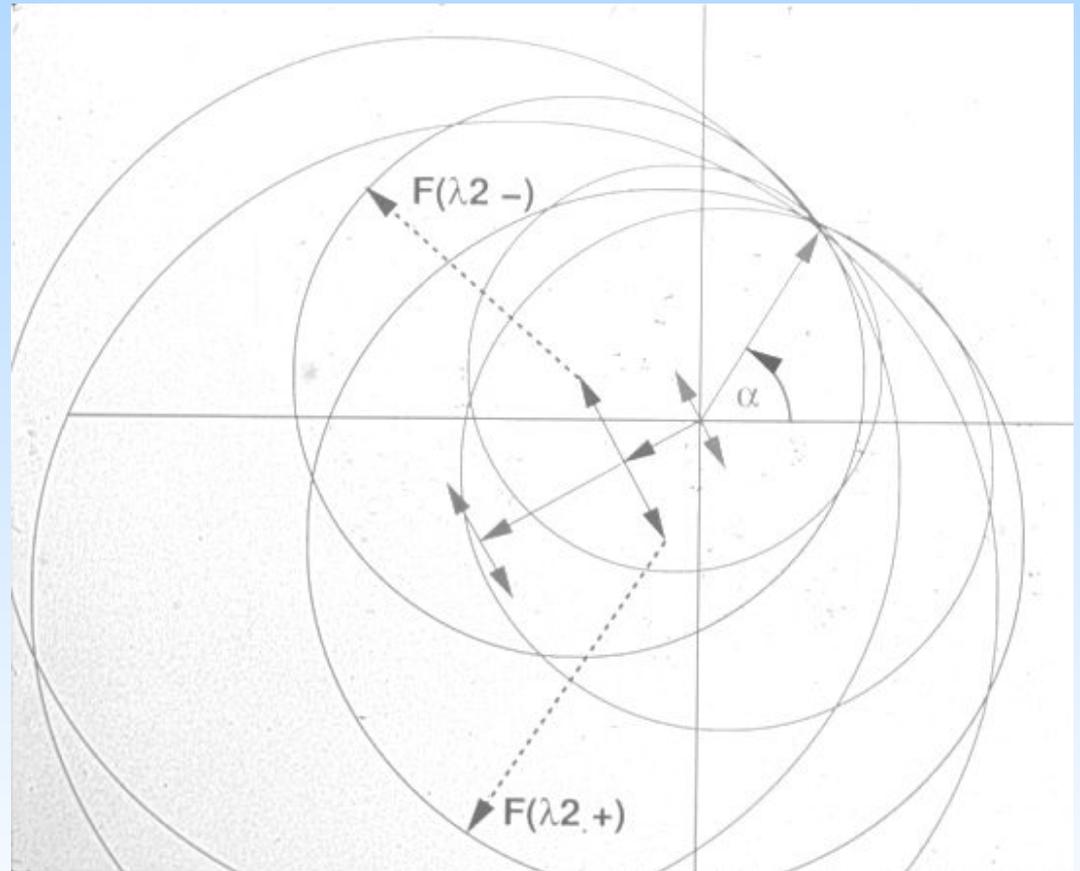
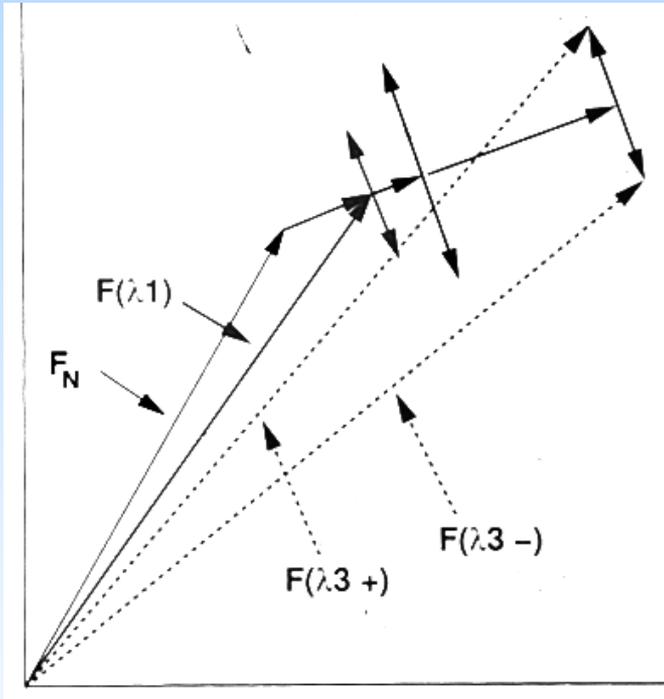
The tunability of the synchrotron source allows us to choose precisely the energy (wavelength) we need.



One can see how to choose wavelengths to get large phase contrast for MAD phasing



This **M**ultiwavelength **A**nomalous **D**iffraction method often gives very strong phase information and is the source of many new structures.



How do we find the heavy-atom positions that allow us to do MIR or MAD phasing?

There are generally two methods:

- Patterson-function methods
- Direct-phasing methods

Lindo Patterson saw that to interpret a diffraction pattern, he could correlate the electron density with itself:

We want to show that $P(\vec{u}) = V \int_{\vec{r}} \rho(\vec{r}) \cdot \rho(\vec{r} + \vec{u}) d\vec{r}$
 subs. for $\rho(\vec{r})$

$$P(\vec{u}) = \frac{V}{V^2} \int_{\vec{r}} \left(\sum_{\vec{s}} F(\vec{s}) \exp(-2\pi i \vec{r} \cdot \vec{s}) \right) \cdot \left(\sum_{\vec{s}'} F(\vec{s}') \exp(-2\pi i \vec{r} \cdot \vec{s}') \right) \exp(-2\pi i \vec{s}' \cdot \vec{u}) d\vec{r}$$

The integral is nonzero only when $\vec{s} = -\vec{s}'$.

So we get

$$P(\vec{u}) = \frac{1}{V} \sum_{\vec{s}} F(\vec{s}) \cdot F^*(\vec{s}) \exp(-2\pi i \vec{u} \cdot \vec{s})$$

Also, since $|F(\vec{s})| = |F(-\vec{s})|$

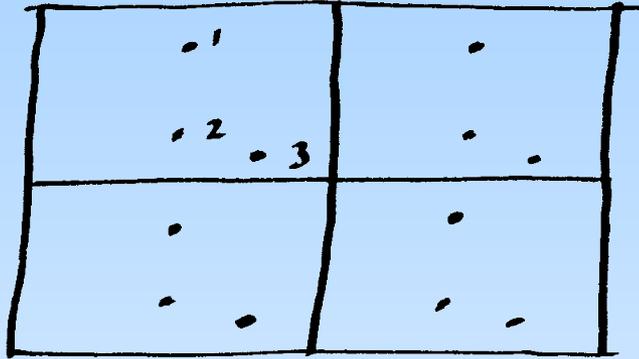
$$P(\vec{u}) = \frac{2}{V} \sum_{\vec{s}=0}^{+\infty} F^2(\vec{s}) \cos(2\pi \vec{u} \cdot \vec{s})$$

This is the cosine transform of intensity!

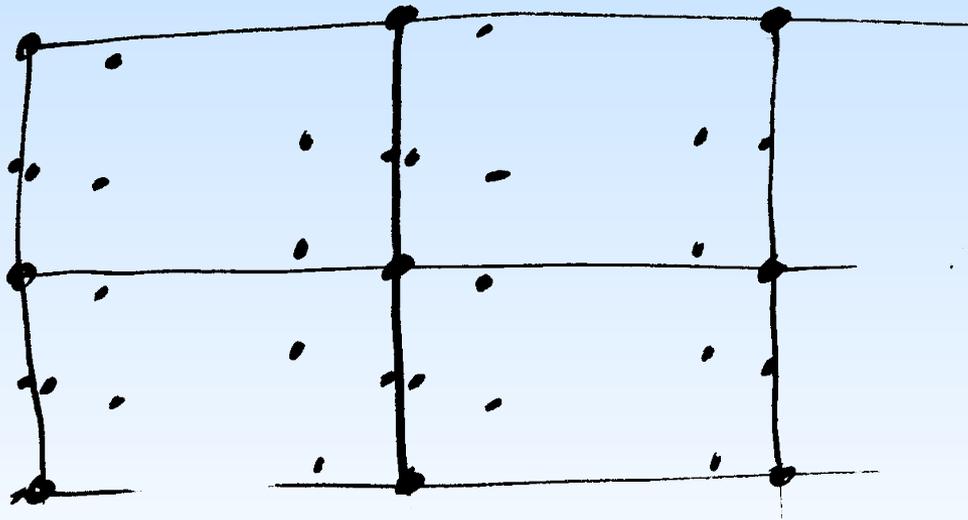
How to interpret the Patterson Function

Consider a structure with 3 atoms

\vec{r} -space



\vec{u} -space



n^2 peaks total
 n at origin
 $n(n-1)$ not at origin.

About the same time (all of this happened only a “short” time ago, in the '50s) David Harker saw a neat way to approach “solving” the Patterson function:

The Harker Section

To find out the vectors you will expect - look at equiv. positions:

Example: P2, look at vectors between atoms related by U.C. symmetry

$$(x, y, z) - (\bar{x}, \frac{1}{2} + y, \bar{z}) = (2x, \frac{1}{2}, 2z)$$

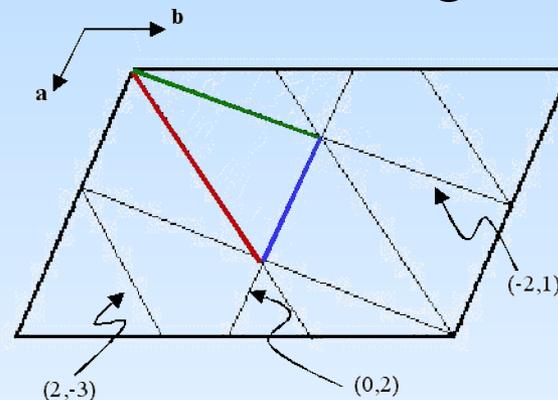
The peak relating x & z will arise at $(uvw) = (u, \frac{1}{2}, w)$ - on the $v = \frac{1}{2}$ section.

This method is the basis of software such as HEAVY (Terwilliger)

Direct Phasing Methods

During the 1950s and '60s Sayre, Hauptmann, and the Karles learned to determine crystal structures from the diffraction intensities directly. They made use of two principles:

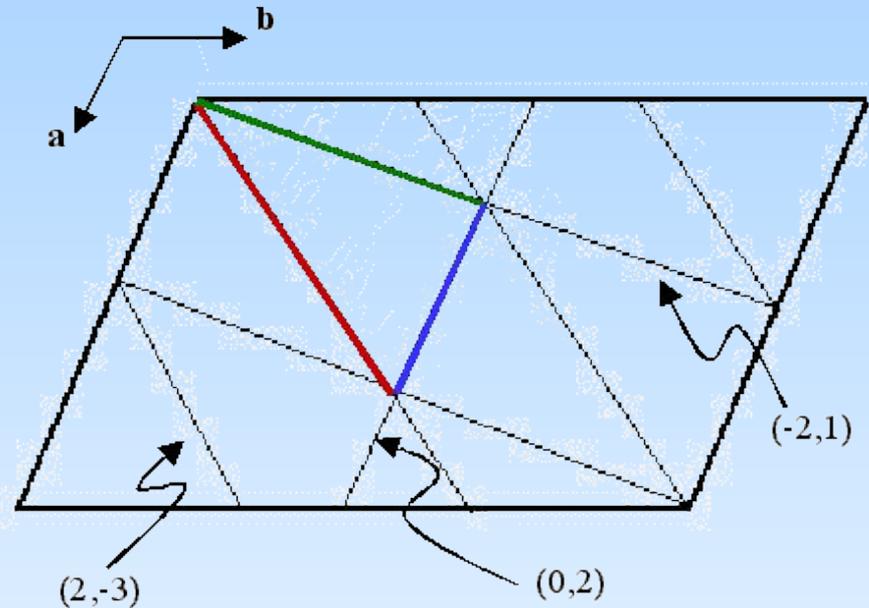
- The first was that the sum of phases of three Bragg planes that form a closed triangle is invariant to the choice of the origin of the crystallographic unit cell.



- Secondly, when the crystal is comprised of **discreet atoms** and all three structure factors from these Bragg planes are large, this **sum of three phases is near to zero.**

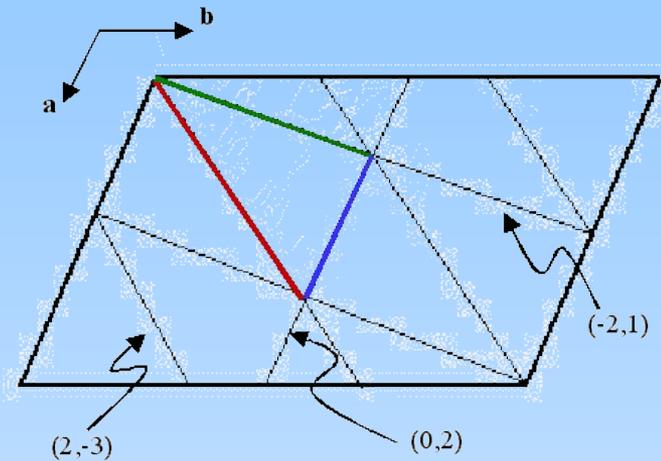
- Notice that the indices of the three sets of planes in the figure sum to zero. This particular set of reflections is called a "triplet," for obvious reasons.

$$h + k + l = 0$$



- Here we have that the sum of phases $\phi_h + \phi_k + \phi_l = \text{const.}$
- It's not so hard to show that this is true: multiply three F s and the three phases end up in a sum.

$$\phi_h + \phi_k + \phi_l \approx 0$$



Finally, it's not so hard to see that if the three F s are large, the sum of phases should be near zero, as follows. If the only three atoms in the unit cell were at the corners of the colored triangle in Figure 5, firstly, all three structure factors would be large since all atoms lie only on the planes, and secondly, since the atoms are on the planes, the phases would be zero. One can see that it makes sense that this sum of phases might be constant. If one moves an atom from one vertex of the colored triangle in the figure to the next along the green line (the $-2,1$ plane), one can see that the other two phases, for the red and blue sets of planes, would shift smoothly by $+2\pi$ and -2π respectively, keeping the total constant.

General Scheme for Applying Direct Methods

- Assign a few phases arbitrarily (this sets the origin).
- Find lots of triplets, where $h+k+l = 0$.
- Use the sum-of-phases ≈ 0 expression to propagate phases

The modern schemes are much more sophisticated (Weeks) and deal with the probabilities that the sum is not precisely zero.

The End

You've seen the fundamentals of crystallography. You *could* figure everything else out from here.

It'll take a few exposures to it for you really to wrap your mind around all of this.

For example,

- I didn't really understand the Fourier transform until I did EM on 2D x-tals
- I didn't figure out how to explain direct methods until the 21st Century.

**But I can tell you this, if you really
want to learn it...**

Teach It!